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No. 48] NEW DELHI, SATURDAY, NOVEMBER 28—DECEMBER 4, 2020 (AGRAHAYANA 7, 1942)

इस भाग में भिन्न पृष्ठ संख्या दी जाती है जिससे कि यह अलग संकलन के रूप में रखा जा सके।
(Separate paging is given to this Part in order that it may be filed as a separate compilation)

भाग IV [PART IV]

[गैर-सरकारी व्यक्तियों और गैर-सरकारी संस्थाओं के विज्ञापन और सूचनाएं]
[Advertisements and Notices issued by Private Individuals and Private Bodies]

NOTICE

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BY ORDER
Controller of Publication

CHANGE OF NAME

I hitherto known as GURPREET SINGH KALER son of DARSHAN SINGH, residing at H.No. 53, Gali No. 3, Kapoor Nagar Drama Wala Bazar Sultanwind Road District Amritsar Punjab, have changed my name and shall hereafter be known as GURPREET SINGH.

It is certified that I have complied with other legal requirements in this connection.

GURPREET SINGH KALER
[Signature (in existing old name)]

I hitherto known as MONIKA SAGGAR daughter of MANOHAR LAL SAGGAR wife of RAJNISH BHATIA, residing at H.No. 62-63, Gagan Colony, Batala Road, Amritsar-143001, Punjab, have changed my name and shall hereafter be known as MONIKA BHATIA.

It is certified that I have complied with other legal requirements in this connection.

MONIKA SAGGAR
[Signature (in existing old name)]

I hitherto known as MASA SINGH son of BACHAN SINGH, residing at VPO Baba Bakala, Amritsar, Punjab-143202, have changed my name and shall hereafter be known as MASSA SINGH.

It is certified that I have complied with other legal requirements in this connection.

MASA SINGH
[Signature (in existing old name)]

I hitherto known as CHANCHAL K C alias CHANCHAL KARKI CHHETRI son of Sh. BHOJ BAHADUR K C, residing at Naya Gaon, Sewla Khurd, Mohabbewala, Dehradun, Uttarakhand-248002, have changed my name and shall hereafter be known as VIVAAN CHHETRI.

It is certified that I have complied with other legal requirements in this connection.

CHANCHAL K C alias CHANCHAL KARKI CHHETRI
[Signature (in existing old name)]

I hitherto known as PINKI daughter of Sh. RAGHUVIR SINGH, residing at 107/6, Railway Colony, Thomson Road Minto Road, Darya Gang, Delhi-110002, have changed my name and shall hereafter be known as DISHA CHAUHAN.

It is certified that I have complied with other legal requirements in this connection.

PINKI
[Signature (in existing old name)]

I hitherto known as VENKATESH SHYAMLAL son of Sh. SHYAMLAL, residing at 157, Nanakpura, South Moti Bagh, Chanakya Puri, New Delhi-110021, have changed my name and shall hereafter be known as VENKATESH CHAUHAN.

It is certified that I have complied with other legal requirements in this connection.

VENKATESH SHYAMLAL
[Signature (in existing old name)]

I hitherto known as RAHUL KUMAR PRASAD GOND son of AKHILESH PRASAD, residing at Sisai Tola Baikunthpur, Post Sisai, PS Bhore, Gopalganj, Bihar-841426, have changed my name and shall hereafter be known as RAHUL PRATAP.

It is certified that I have complied with other legal requirements in this connection.

RAHUL KUMAR PRASAD GOND
[Signature (in existing old name)]

I hitherto known as SUMITRA L PATEL alias SUMITRA LUMBARAM PATEL daughter of LUMBARAM PATEL, residing at #1/1 Patel Bhavan, 8th Main Road, 8th B Cross, Shivanagar, Rajajinagar, Bengaluru, Karnataka-560010, have changed my name and shall hereafter be known as KHUSHI PATEL.

It is certified that I have complied with other legal requirements in this connection.

SUMITRA L PATEL
alias SUMITRA LUMBARAM PATEL
[Signature (in existing old name)]

I hitherto known as KARAN son of SURINDER KAUSHAL, residing at H.No. 227 Ashok Nagar Hoshiarpur Punjab-146001, have changed my name and shall hereafter be known as KARAN KAUSHAL.

It is certified that I have complied with other legal requirements in this connection.

KARAN
[Signature (in existing old name)]

I hitherto known as MC JAYAPRAKASH son of MANDYA CHIKKAMADIAH, residing at #437, 29 Th, Main, 8 Th Cross, BTM Layout 2nd Stage, Bengaluru, Karnataka-560076, have changed the name of minor son J PRAJWAL, aged 14 years and he shall hereafter be known as J R AMITH ARYA.

It is certified that I have complied with other legal requirements in this connection.

MC JAYAPRAKASH
[Signature of Guardian]

I hitherto known as DURGESH KUMAR TATERE alias DURGESH KUMAR son of AMAR SINGH TATERE, employed as Manager in the NTPC Limited, residing at C 228, Ujwal Nagar, Bilaspur, Chhattisgarh-495555, have changed my name and shall hereafter be known as DURGESH KUMAR.

It is certified that I have complied with other legal requirements in this connection.

DURGESH KUMAR TATERE alias DURGESH KUMAR
[Signature (in existing old name)]

I hitherto known as AMANPREET wife of DAVINDERPAL SINGH, residing at Ward No. 8 VPO. Mallan Wala Ferozepur Punjab-152021, have changed my name and shall hereafter be known as GURMIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

AMANPREET
[Signature (in existing old name)]

I hitherto known as DEEPIKA wife of ALEX THALAMATTATHIL JOSE, currently residing at Archenhold Strasse 3, 10315, Berlin, Germany, and having permanent address at Thalamattathil (H), Ernakulam, Kerala, 686663, India., have changed my name and shall hereafter be known as DEEPIKA ATTRI ALEX.

It is certified that I have complied with other legal requirements in this connection.

DEEPIKA
[Signature (in existing old name)]

I hitherto known as PARJEET KUMAR BASSAN son of GURDEV CHAND, residing at H.No. 43, Street No. 9, Kaki Pind, Rama Mandi, Jalandhar-144023, Punjab, India, have changed my name and shall hereafter be known as PARJEET KUMAR.

It is certified that I have complied with other legal requirements in this connection.

PARJEET KUMAR BASSAN
[Signature (in existing old name)]

I hitherto known as MOHINDER PAL SHARMA son of DAULAT RAM SHARMA, residing at H.No. 1266 Gali No. 3 Kabir Nagar Jalandhar Punjab-144008, have changed my name and shall hereafter be known as MOHINDER PAL.

It is certified that I have complied with other legal requirements in this connection.

MOHINDER PAL SHARMA
[Signature (in existing old name)]

I hitherto known as MANISH KUMAR S/o Sh. PRABHAKAR MISHRA, residing at Flat No. 58, Samrat CGHS Ltd., Near Dharmshila Cancer Hospital, Vasundhara Enclave, East Delhi, Delhi-110096, have changed my name and shall hereafter be known as MANISH MISHRA.

It is certified that I have complied with other legal requirements in this connection.

MANISH KUMAR
[Signature (in existing old name)]

I hitherto known as JASWANT KUMAR ANAND alias JASWANT KR. ANAND son of JUGAL KISHORE, residing at House No. 5271, Bharat Nagar, Basant Road, Pahar Ganj, Swami Ram Tirath Nagar, Delhi-110055, have changed my name and shall hereafter be known as JASWANT KUMAR and I have changed only my name not my religion.

It is certified that I have complied with other legal requirements in this connection.

JASWANT KUMAR ANAND
alias JASWANT KR. ANAND
[Signature (in existing old name)]

I hitherto known as KARTHIK SUBBARAJ son of SUBBARAJ KANDASAMY, residing at #No. 297, B4 Block, Kendriya Vihar, Yelahanka, Bangalore 560064, have changed my name and shall hereafter be known as KARTHIK SUBBARAJ.

It is certified that I have complied with other legal requirements in this connection.

KARTHIK SUBBARAJ
[Signature (in existing old name)]

I hitherto known as MANJINDER son of JARNAIL SINGH, residing at Saini House, H.No. 5, J.Pal Avenue, Ram Tirath Road, Mahal, Amritsar (Punjab)-143001, have changed my name and shall hereafter be known as MANJINDER SINGH SAINI.

It is certified that I have complied with other legal requirements in this connection.

MANJINDER
[Signature (in existing old name)]

I hitherto known as SARLA RANI wife of PAWAN KUMAR, residing at H.No. 503, Phaggu Mohalla, PO-Garha, District Jalandhar, Punjab Pin-144022, have changed my name and shall hereafter be known as JYOTI.

It is certified that I have complied with other legal requirements in this connection.

SARLA RANI
[Signature (in existing old name)]

I hitherto known as ANJIL MISHRA son of ARVIND KUMAR MISHRA, residing at Sharda Puram Colony House No. 846 Ward No. 15 District Rewa Pin Code-486001 Madhya Pradesh, have changed my name and shall hereafter be known as ABHAY MISHRA.

It is certified that I have complied with other legal requirements in this connection.

ANJIL MISHRA
[Signature (in existing old name)]

I hitherto known as BALWINDER KAUR wife of KASHMIR SINGH, residing at Village 35 R.B, 26 R.B.A, Tehsil Padampur, Distt. Sri Ganganagar (Rajasthan), have changed my name and shall hereafter be known as MALVINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

BALWINDER KAUR
[Signature (in existing old name)]

I hitherto known as PAPANNA. E son of ERAPPA, residing at House Number 177, Sulthanpete Village, Nandi Post, Chickaballapura District and Taluk, Karnataka Nandi 562103, have changed my name and shall hereafter be known as PRABHAKARA. E.

It is certified that I have complied with other legal requirements in this connection.

PAPANNA. E
[Signature (in existing old name)]

I hitherto known as SUKHWINDER SINGH son of BALVIR SINGH, residing at VPO Malak, District Ludhiana, Punjab, have changed my name and shall hereafter be known as SUKHMINDER SINGH CHHOKER.

It is certified that I have complied with other legal requirements in this connection.

SUKHWINDER SINGH
[Signature (in existing old name)]

I hitherto known as SUKHJIT SINGH MAND son of MOHINDER SINGH, residing at VPO Bhutta, Distt. Ludhiana, Punjab, have changed my name and shall hereafter be known as SUKHJIT SINGH.

It is certified that I have complied with other legal requirements in this connection.

SUKHJIT SINGH MAND
[Signature (in existing old name)]

I hitherto known as CHARAN KAUR wife of SOHAN SINGH, residing at Dera Gardaur Farm, Hamira Farm, P.O. Siana Saidan, Tehsil Pehowa, District Kurukshetra, have changed my name and shall hereafter be known as CHARANJEET KAUR.

It is certified that I have complied with other legal requirements in this connection.

CHARAN KAUR
[Signature (in existing old name)]

I hitherto known as AJIT SINGH son of BELA SINGH, residing at VPO Siana Saidan, Tehsil Pehowa, District Kurukshetra, Haryana, have changed my name and shall hereafter be known as JEET SINGH.

It is certified that I have complied with other legal requirements in this connection.

AJIT SINGH
[Signature (in existing old name)]

I hitherto known as BARINDER KAUR wife of JEET SINGH, residing at VPO Siana Saidan, Tehsil Pehowa, District Kurukshetra, Haryana, have changed my name and shall hereafter be known as JOGINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

BARINDER KAUR
[Signature (in existing old name)]

I hitherto known as SHRIKANT KRISHNAMURTHY IYER alias SHRIKANT KRISHNMURTI AYYAR son of KRISHNAMURTHY, residing at F 5, Uppiliyappan Enclave, Uppiliyappan Kovil North Street, Tirunageswaram, Kumbakonam, Tamilnadu-612204, have changed my name and shall hereafter be known as SHRIKANT K.

It is certified that I have complied with other legal requirements in this connection.

SHRIKANT KRISHNAMURTHY IYER
alias SHRIKANT KRISHNMURTI AYYAR
[Signature (in existing old name)]

I hitherto known as SURAJITA LIMA son of NIMBROD LIMA, residing at #13, 2nd Floor, Chinnatatayya Layout, Railway Parallel Road, Mathikere, Bengaluru, Karnataka-560054, have changed my name and shall hereafter be known as SURAJIT LIMA.

It is certified that I have complied with other legal requirements in this connection.

SURAJITA LIMA
[Signature (in existing old name)]

I hitherto known as TARSEM son of BANARSI SINGH, residing at VPO Chak Muglani, Tehsil Nakodar, Jalandhar 144042 Punjab, have changed my name and shall hereafter be known as TARSEM SINGH.

It is certified that I have complied with other legal requirements in this connection.

TARSEM
[Signature (in existing old name)]

I hitherto known as SUTHAPALLI VEERA VENKATA NAGA SATYA KRISHNA CHAITANYA alias S V V N S K CHAITANYA alias V V N S K CHAITANYA S alias V V N S K CHAITANYA SUTHAPALLI son of VEERA RAGHAVULU SUTHAPALLI, residing at 27-3-28/1A, Vajapeyajula Lane, Digumarthi Vari Street, Kakinada, Andhra Pradesh, 533001, India, have changed my name and shall hereafter be known as CHAITANYA SUTHAPALLI.

It is certified that I have complied with other legal requirements in this connection.

SUTHAPALLI VEERA VENKATA NAGA SATYA
KRISHNA CHAITANYA alias S V V N S K CHAITANYA
alias V V N S K CHAITANYA S
alias V V N S K CHAITANYA SUTHAPALLI
[Signature (in existing old name)]

I hitherto known as MANPREET KAUR alias MANPREET KAUR SANDHA daughter of KULDEEP SINGH and wife of JASWINDER SINGH SANDHA, residing at Suba Qadim, Ferozepur, Punjab-152003, have changed my name and shall hereafter be known as MANPREET KAUR SANDHA.

It is certified that I have complied with other legal requirements in this connection.

MANPREET KAUR alias MANPREET KAUR SANDHA
[Signature (in existing old name)]

I hitherto known as UTSAV MUKHERJEE S/o Sh. AMITAVA MUKHERJEE, residing at A-89, First Floor, A-Block, Shivaji Vihar, Tagore Garden, Delhi-110027, have changed my name and shall hereafter be known as UTSAV RUTSAV MUKHERJEE.

It is certified that I have complied with other legal requirements in this connection.

UTSAV MUKHERJEE
[Signature (in existing old name)]

I hitherto known as AMOLDEEP KAUR DHOT daughter of RAJBIR SINGH, residing at V.P.O Malowal Via Tarsikka Tehsil Baba Bakala Distt. Amritsar-143116 (Punjab), have changed my name and shall hereafter be known as AMOLDEEP KAUR.

It is certified that I have complied with other legal requirements in this connection.

AMOLDEEP KAUR DHOT
[Signature (in existing old name)]

I hitherto known as VENKATA SIVASAI LEELA VINOD PENDELA alias VINOD P V S L son of VENKATA RAMA MOHAN RAO PENDELA, residing at Prime City Apartments, Flat No. C/016, Ground Floor, Bettadasanapura Road, Doddathogur, Bengaluru, Karnataka-560100, have changed my name and shall hereafter be known as VINOD PENDELA.

It is certified that I have complied with other legal requirements in this connection.

VENKATA SIVASAI LEELA VINOD PENDELA
alias VINOD P V S L
[Signature (in existing old name)]

I hitherto known as SARISHTA DEVI wife of SATNAM SINGH, residing at Vill. Litan, P.O. Garhdiwala, Tehsil Dasuya, Distt. Hoshiarpur, Punjab-144207, have changed my name and shall hereafter be known as SATWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

SARISHTA DEVI
[Signature (in existing old name)]

I hitherto known as KULVIR SINGH BHATIA son of GIAN CHAND, residing at V.P.O. Khanaura, Hoshiarpur, Punjab-146001, have changed my name and shall hereafter be known as KULVIR SINGH.

It is certified that I have complied with other legal requirements in this connection.

KULVIR SINGH BHATIA
[Signature (in existing old name)]

I hitherto known as NISHA BATHLA alias HARSEERAT KAUR daughter of RAJ KUMAR wife of HARPREET SINGH, residing at House No. 1027, Street No. 8, Muradpura, Millerganj, Ludhiana, Punjab-141003, have changed my name and shall hereafter be known as HARSEERAT KAUR.

It is certified that I have complied with other legal requirements in this connection.

NISHA BATHLA alias HARSEERAT KAUR
[Signature (in existing old name)]

I hitherto known as SESH CHANDRA VENKAMAMIDI son of LAKSHMI NARAYAN VENKAMAMIDI, residing at 27 Osmania University Teachers Colony, Sainikpuri, Secundrabad, Hyderabad, Telangana-500094, have changed the name of my minor daughter MANIKYA TEJASWI VENKAMAMIDI, aged 13 years and she shall hereafter be known as TEJASWI VENKAMAMIDI.

It is certified that I have complied with other legal requirements in this connection.

SESH CHANDRA VENKAMAMIDI
[Signature of Guardian]

I hitherto known as TARSEM LAL SANDHU son of GURBACHAN DASS, residing at H.No. C-174, Ghag Patti Jandu Singha, Jalandhar, Punjab-144025, have changed my name and shall hereafter be known as TARSEM SANDHU.

It is certified that I have complied with other legal requirements in this connection.

TARSEM LAL SANDHU
[Signature (in existing old name)]

I hitherto known as PARVEEN KUMAR SANDHU son of GURBACHAN DASS, residing at Patti Ghag Jandu Singha, Jalandhar, Punjab-144025, have changed my name and shall hereafter be known as PARVEEN KUMAR.

It is certified that I have complied with other legal requirements in this connection.

PARVEEN KUMAR SANDHU
[Signature (in existing old name)]

I hitherto known as ADARSHA KATTAYA RAMEGOWDA alisa ADARSHA K R son of RAMEGOWDA K, residing at # 224, Ashraya, 3rd Cross, 2nd Main, Hemavathi Nagar, Hassan, Karnataka-573201, India, have changed my name and shall hereafter be known as ADARSH GOWDA.

It is certified that I have complied with other legal requirements in this connection.

ADARSHA KATTAYA RAMEGOWDA
alisa ADARSHA K R
[Signature (in existing old name)]

I hitherto known as KRITI SHARMA daughter of NAGENDRA KUMAR SHARMA, residing at M G P Polytechnic, Hathras, Hathras, Uttar Pradesh-204101, have changed my name and shall hereafter be known as FATIMA SHAHEEN.

It is certified that I have complied with other legal requirements in this connection.

KRITI SHARMA
[Signature (in existing old name)]

I hitherto known as SUDIKSHA SETHIA S alias SUDIKSHA SETHIA SURESH KUMAR SETHIA daughter of SURESH KUMAR SETHIA, residing at C-5/327, Third Floor, Sector-6, Rohini, Delhi-110085, have changed my name and shall hereafter be known as SUDIKSHA SETHIA.

It is certified that I have complied with other legal requirements in this connection.

SUDIKSHA SETHIA S
alias SUDIKSHA SETHIA SURESH KUMAR SETHIA
[Signature (in existing old name)]

I hitherto known as GOLI NARASIMHA RAO son of GOLI CHENNAIAH, residing at H.No. 8-6-127/2, Plot No. 76, Phase-2, FCI Colony, Vanasthalipuram, K.V. Rangareddy (Telangana)-500070, have changed my name and shall hereafter be known as GOLI JOHN.

It is certified that I have complied with other legal requirements in this connection.

GOLI NARASIMHA RAO
[Signature (in existing old name)]

I hitherto known as GURNAM DASS son of KEHRU RAM, residing at Mohalla Talabwala Mehatpur, Tehsil-Nakodar, Distt. Jalandhar, Punjab, have changed my name and shall hereafter be known as NAMDEV.

It is certified that I have complied with other legal requirements in this connection.

GURNAM DASS
[Left Thumb Impression]

I hitherto known as SANTOSH KAUR alias SANTOSH KUMARI wife of JOGINDER RAM, residing at V.P.O. Manguwal, Mangowal, Shaheed Bhagat Singh Nagar, Punjab-144512, have changed my name and shall hereafter be known as SANTOSH KAUR.

It is certified that I have complied with other legal requirements in this connection.

SANTOSH KAUR alias SANTOSH KUMARI
[Signature (in existing old name)]

I hitherto known as DEEPA BISHT daughter of M S BISHT, residing at B-4, 251C, Keshav Puram, Delhi-110035, have changed my name and shall hereafter be known as DIPAKSHI BISHT.

It is certified that I have complied with other legal requirements in this connection.

DEEPA BISHT
[Signature (in existing old name)]

I hitherto known as GULSHAN ANANAT daughter of HRIDAY PRAKASH ANANT, residing at Sasaha Road, behind Govt. Girls High School Pamgarh, CG India, Pincod-495554, have changed my name and shall hereafter be known as GULSHAN ANANT.

It is certified that I have complied with other legal requirements in this connection.

GULSHAN ANANAT
[Signature (in existing old name)]

I hitherto known as SUJOY KUMAR DAS son of DEBIDAS DAS, employed as Assistant Accounts Officer (A.A.O.) 2, Govt. Place (West), Treasury Buildings, Kolkata- 700001, West Bengal and residing at 698/1, Block-P, 2nd Floor, New Alipore, Kolkata-700053, West Bengal, India, have changed my name and shall hereafter be known as SUJOY MUKHERJEE.

It is certified that I have complied with other legal requirements in this connection.

SUJOY KUMAR DAS
[Signature (in existing old name)]

I hitherto known as MANDIGA ARAVIND son of MANDIGA NAGA VENKATA SATYANARYANA, residing at H.No. 82-17-24/1A, Mahalakshmi Temple Street, A.V. Apparao Road, Rajahmundry, East Godavari (Andhra Pradesh)-533106, have changed my name and shall hereafter be known as SRI SAI ARAVIND MANDIGA.

It is certified that I have complied with other legal requirements in this connection.

MANDIGA ARAVIND
[Signature (in existing old name)]

I, PAWAN KUMAR ARYA son of Shri GANGA RAM KUMAR, employed as TGT/AE in the Kendirya Vidyalaya No. 1 Bokaro, residing at Pandarpala (Kumhar Toli), Distt.-Dhanbad, Post-B. Polytechnic, Pin-828130, Jharkhand, have changed the name of my minor son ABHISHEK ARYA, aged 7 years and he shall hereafter be known as SHASHWAT KUMAR.

It is certified that I have complied with other legal requirements in this connection.

PAWAN KUMAR ARYA
[Signature of Guardian]

I hitherto known as BOKKA ARUNALATHA daughter of BOKKA MALLA REDDY wife of MADDELA RAJARATNAM ROY, residing at MIG 973, Mayurinagar, Miyapur, Thirumalagiri, Hyderabad-500049, have changed my name and shall hereafter be known as MADDELA ANJALI ROY.

It is certified that I have complied with other legal requirements in this connection.

BOKKA ARUNALATHA
[Signature (in existing old name)]

I hitherto known as TYRONE BARTON alias TYRONE PATRICK BARTON son of LEONARD ERNEST BARTON, residing at S-2, Sree Mitra Pearl Street No. 14, Lane No. 1, Opposite Galaxy Apartments, Nagarjuna Nagar, Tarnaka, Secunderabad, Hyderabad-500017, have changed my name and shall hereafter be known as TYRONE PATRICK BARTON.

It is certified that I have complied with other legal requirements in this connection.

TYRONE BARTON alias TYRONE PATRICK BARTON
[Signature (in existing old name)]

I, HARISH BHARDWAJ son of JANARDHAN PRASAD RATURI, residing at F-69, Delta-1, Rampur Jagir, Gautam Budh Nagar, UP-201306, have changed the name of my minor son SHIVAM RATURI, aged 12 years and he shall hereafter be known as SHIVAM BHARDWAJ.

It is certified that I have complied with other legal requirements in this connection.

HARISH BHARDWAJ
[Signature of Guardian]

I, NIKHIL BHOSLE son of NAVIN KUMAR KALINGAN, residing at Flat No. 605, HUDA CGHS, Plot No. GH-1, Near Sushant Tower, Sector-56, Gurgaon, Haryana-122011, have changed the name of my minor daughter NEVEAH, aged 12 years and she shall hereafter be known as NEVEAH BHOSLE.

It is certified that I have complied with other legal requirements in this connection.

NIKHIL BHOSLE
[Signature of Guardian]

I hitherto known as ABHISHEK KUHAR son of ARUN KUHAR, residing at House No. 329, Sector-45, Gurgaon-122003, Haryana, have changed my name and shall hereafter be known as ABHISHEK KUHARR.

It is certified that I have complied with other legal requirements in this connection.

ABHISHEK KUHAR
[Signature (in existing old name)]

I hitherto known as TARUNA DEWANI alias TARUNA KAUR wife of RAMNEET SINGH, R/o House No. 1685, Urban Estate, Sector-9, Karnal, Haryana-132001, have changed my name and shall hereafter be known as TARUNA KAUR.

It is certified that I have complied with other legal requirements in this connection.

TARUNA DEWANI alias TARUNA KAUR
[Signature (in existing old name)]

I hitherto known as THAKELLA UDAY KUMAR son of THAKELLA CHIRANJEEVI, residing at H.No. 1/72, Mungilipattu, Mungilipattu Kothapalle, Chandragiri, Mungilipattu Kothapalle, Chittoor, Mungilipattu, Andhra Pradesh, Pin-517102, have changed my name and shall hereafter be known as THAKKILAPATI UDAY KUMAR.

It is certified that I have complied with other legal requirements in this connection.

THAKELLA UDAY KUMAR
[Signature (in existing old name)]

I hitherto known as SHEFALI VERMA daughter of R.P. VERMA wife of SUNIL VERMA, residing at 54/1, Janta Colony Haqeeqat Nagar Rohtak, Rohtak, Haryana-124001, have changed my name and shall hereafter be known as VERONICA VERMA.

It is certified that I have complied with other legal requirements in this connection.

SHEFALI VERMA
[Signature (in existing old name)]

I hitherto known as SHASHANK VISHWAKARMA son of RAM PRAKASH VISHWAKARMA, residing at 95 Gova Garden Devi Sahay Nagar Kalyanpur, Kanpur, Uttar Pradesh-208016, have changed my name and shall hereafter be known as RANJEET KUMAR VISHWAKARMA.

It is certified that I have complied with other legal requirements in this connection.

SHASHANK VISHWAKARMA
[Signature (in existing old name)]

I hitherto known as JAGVINDER YADAV alias JAGVINDER SINGH alias JAGVINDER son of Sh. SHIV NARAYAN, residing at Post Office Ujwa, Najafgarh, Hasan Pur, South West Delhi, Delhi-110073, have changed my name and shall hereafter be known as JAGVINDER.

It is certified that I have complied with other legal requirements in this connection.

JAGVINDER YADAV alias JAGVINDER SINGH
alias JAGVINDER
[Signature (in existing old name)]

I hitherto known as SHWETA NEGI D/o Sh. BACHAN SINGH NEGI wife of Sh. VAIBHAV TYAGI, R/o H.No. D-27, Patel Nagar 2nd, Near Bus Stand, Ghaziabad, Uttar Pradesh-201001, have changed my name and shall hereafter be known as SHWETA TYAGI.

It is certified that I have complied with other legal requirements in this connection.

SHWETA NEGI
[Signature (in existing old name)]

I hitherto known as DINESH KUMAR GUPTA son of SITA RAM GUPTA, residing at B-353, Fourth Floor, J.J Colony, Raghubir Nagar, New Delhi-110027, permanent address 5042, Gaur Gracious Apartment, Moradabad, Uttar Pradesh-244001, have changed my name and shall hereafter be known as DINEYSH GUPTA.

It is certified that I have complied with other legal requirements in this connection.

DINESH KUMAR GUPTA
[Signature (in existing old name)]

I, MANJIT KUMAR S/o PRAKASH CHAND No. 9512925Y Rank Hav Unit HQ Northern Command, C/o 56 APO, R/o VPO Aklia Jalal, Distt. Bathinda-151108 Punjab, have changed the name of my minor son NIKHIL CHAND KAUSHAL, aged 13 years and he shall hereafter be known as NIKHIL SHARMA.

It is certified that I have complied with other legal requirements in this connection.

MANJIT KUMAR
[Signature of Guardian]

I hitherto known as INDU GUPTA daughter of MADAN GOPAL GUPTA wife of SUNIL KUMAR AGGARWAL, residing at H.No. 62, Residency Vihar, Near Sudhama Vihar, Distt. Jalandhar, Punjab-144003, have changed my name and shall hereafter be known as INDU AGGARWAL.

It is certified that I have complied with other legal requirements in this connection.

INDU GUPTA
[Signature (in existing old name)]

I hitherto known as SONIA CHAWLA wife of KHUSHWANT SINGH, residing at H.No. 733, New BRS Nagar, PO Rajguru Nagar, Distt. Ludhiana, Punjab-141012, have changed my name and shall hereafter be known as PRABHLEEN KAUR.

It is certified that I have complied with other legal requirements in this connection.

SONIA CHAWLA
[Signature (in existing old name)]

I hitherto known as CHARANJEET KAUR wife of DALJIT SINGH, residing at Village Badinpur, Tehsil Amloh, District Fatehgarh Sahib, Punjab-147301, have changed my name and shall hereafter be known as CHARANJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

CHARANJEET KAUR
[Signature (in existing old name)]

I hitherto known as RIMA RANI wife of MAHINDER PAL, residing at Village Chak Lohat, Tehsil Samrala, Distt. Ludhiana, Punjab, have changed my name and shall hereafter be known as NEELAM RANI.

It is certified that I have complied with other legal requirements in this connection.

RIMA RANI
[Signature (in existing old name)]

I hitherto known as DALJEET SINGH S/o PIARA SINGH, R/o Village Badinpur, Tehsil Amloh, District Fatehgarh Sahib, Punjab-147301, have changed my name and shall hereafter be known as DALJIT SINGH.

It is certified that I have complied with other legal requirements in this connection.

DALJEET SINGH
[Signature (in existing old name)]

I hitherto known as SUKHWINDER KAUR wife of BHUPINDER SINGH, R/o V.P.O. Bhagrana Tehsil and Distt. Fatehgarh Sahib, Punjab, have changed my name and shall hereafter be known as HARPREET KAUR.

It is certified that I have complied with other legal requirements in this connection.

SUKHWINDER KAUR
[Signature (in existing old name)]

I hitherto known as SUDESH KUMARI BHANOT wife of RAM MURTI BHANOT, R/o V.P.O. Khatkar Kalan, Distt. Shahid Bhagat Singh Nagar, Punjab-144512, have changed my name and shall hereafter be known as SUDESH KUMARI.

It is certified that I have complied with other legal requirements in this connection.

SUDESH KUMARI BHANOT
[Signature (in existing old name)]

I hitherto known as SHANGARA SINGH S/o NASIB CHAND, R/o H. No. 39-BB, Raja Garden Colony, Near Mithapur Chowk, Distt. Jalandhar, Punjab-144022, have changed my name and shall hereafter be known as SHINGARA SINGH.

It is certified that I have complied with other legal requirements in this connection.

SHANGARA SINGH
[Signature (in existing old name)]

I hitherto known as ARVINDER KAUR W/o PARVINDER SINGH, R/o Nial Bypass, Viridi Motors Service, Narwan Road, Patran, Patiala, Punjab, have changed my name and shall hereafter be known as PARMJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

ARVINDER KAUR
[Signature (in existing old name)]

I hitherto known as MOHINDER KAUR W/o BHAJAN SINGH, R/o Rajewal, District Ludhiana, Punjab, have changed my name and shall hereafter be known as RANJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

MOHINDER KAUR
[Signature (in existing old name)]

I hitherto known as MD SALIM alias SALIM MOHAMMED son of MOHAMMED ISLAM, residing at C-806, Kristal Beryl, Gottigere, Bannerghatta Road, Bengaluru-560083, have changed my name and shall hereafter be known as SALIM MOHAMMED.

It is certified that I have complied with other legal requirements in this connection.

MD SALIM alias SALIM MOHAMMED
[Signature (in existing old name)]

I hitherto known as DIVYA PRABHAKAR son of SARUP PRABHAKAR, residing at S.C.F. 31, New Grain Market Road, Near Workshop Chowk, Jalandhar-144008, Punjab, India, have changed my name and shall hereafter be known as DIVY PRABHAKAR.

It is certified that I have complied with other legal requirements in this connection.

DIVYA PRABHAKAR
[Signature (in existing old name)]

I hitherto known as NIRMALA RANI D/o MADAN LAL W/o SATVIR SINGH, R/o St. No.-4-R, Puri Colony, Faridkot, Punjab, have changed my name and shall hereafter be known as NIRMALJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

NIRMALA RANI
[Signature (in existing old name)]

I hitherto known as MOHINDER SINGH son of SUKHCHAIN SINGH, R/o V.P.O. Sulhani Tehsil & District, Ferozepur, Punjab, have changed my name and shall hereafter be known as MAHINDER SINGH JATTANA.

It is certified that I have complied with other legal requirements in this connection.

MOHINDER SINGH
[Signature (in existing old name)]

I, KRISHNAMURTHY DHAKSHINAMURTHY son of DHAKSHINAMURTHY, R/o Flat-105, Block-A, Samhita Rainbow MTB, Opp. to Vibgyor School, Thubrahalli, Bangalore, Karnataka-560066, have changed the name of my minor son JAYANTH KRISHNAMURTHY, aged 10 years and he shall hereafter be known as K. RITHVIK.

It is certified that I have complied with other legal requirements in this connection.

KRISHNAMURTHY DHAKSHINAMURTHY
[Signature of Guardian)]

I, SONU KUMAR, S/o RAJESH SINGH, R/o 33/5, Fakir Bagan Lane, Haora (M. Corp.), Howrah, West Bengal-711101, have changed my name and shall hereafter be known as SONU KUMAR SINGH.

It is certified that I have complied with other legal requirements in this connection.

SONU KUMAR
[Signature (in existing old name)]

I, YASHASWI KASHYAP, S/o M SHYAMSUNDAR, R/o Plot No. 164-166, Flat 102, Srinivasam residency, J V Colony, H P Petrol Pump, Gachibowli, K.V. Rangareddy, Telangana-500032, have changed my name and shall hereafter be known as YASHUSWWI KARTHIK HRISEEKEISH SREENIEVAS.

It is certified that I have complied with other legal requirements in this connection.

YASHASWI KASHYAP
[Signature (in existing old name)]

I hitherto known as KULWINDER KAUR W/o SWARN SINGH, residing at Vill. Valoor Tehsil and Distt. Ferozepur Punjab-152002, India, have changed my name and shall hereafter be known as RASHPINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

KULWINDER KAUR
[Signature (in existing old name)]

I hitherto known as BINDER KAUR wife of NIRMAL SINGH, R/o Nawan Qila Tehsil Guruharsahai Distt. Ferozepur Punjab-152002, India, have changed my name and shall hereafter be known as BALWANT KAUR.

It is certified that I have complied with other legal requirements in this connection.

BINDER KAUR
[Thumb Impression]

I hitherto known as KAMALJEET KAUR W/o BINDER SINGH, R/o Vill. Phule Wala, Distt. Sri Muktsar Sahib, Punjab-152032, India, have changed my name and shall hereafter be known as KANWALJIT KAUR.

It is certified that I have complied with other legal requirements in this connection.

KAMALJEET KAUR
[Signature (in existing old name)]

I hitherto known as REETU DUA W/o BITTAN KUMAR DUA, R/o H. No. 778 Ward No. 17 Street No. 2, Ram Ganj Road. Moga. Punjab-142001, India, have changed my name and shall hereafter be known as USHA DEVI.

It is certified that I have complied with other legal requirements in this connection.

REETU DUA
[Signature (in existing old name)]

I hitherto known as SURINDER SINGH AUJLA son of BHAJAN SINGH, R/o Vill. Nai Mazara, Tehsil Nawanshahr, Distt. Shahid Bhagat Singh Nagar, Punjab-144516, have changed my name and shall hereafter be known as SURINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

SURINDER SINGH AUJLA
[Signature (in existing old name)]

I hitherto known as KANWALJIT KAUR BHULLAR wife of NIRMAL SINGH, R/o Saide Ke Rohela, Tehsil and Distt. Ferozepur, Punjab-152002, have changed my name and shall hereafter be known as KANWALJEET KAUR.

It is certified that I have complied with other legal requirements in this connection.

KANWALJIT KAUR BHULLAR
[Signature (in existing old name)]

I hitherto known as NIRMAL SINGH BHULLAR son of MAKHAN SINGH, R/o Saide Ke Rohela, Tehsil and Distt. Ferozepur, Punjab-152002, have changed my name and shall hereafter be known as NIRMAL SINGH.

It is certified that I have complied with other legal requirements in this connection.

NIRMAL SINGH BHULLAR
[Signature (in existing old name)]

I hitherto known as SAVNEET KAUR BHULLAR D/o NIRMAL SINGH, R/o Saide Ke Rohela, Tehsil and Distt. Ferozepur, Punjab-152002, have changed my name and shall hereafter be known as SAVNEET KAUR .

It is certified that I have complied with other legal requirements in this connection.

SAVNEET KAUR BHULLAR
[Signature (in existing old name)]

I hitherto known as SUBHASH MANCHANDA wife of HAKUMAT RAI, R/o Sarabha Nagar, Maloke Road. Zira Tehsil Zira Distt Ferozepur, Punjab-142047, India, have changed my name and shall hereafter be known as SUBHASH ARORA.

It is certified that I have complied with other legal requirements in this connection.

SUBHASH MANCHANDA
[Signature (in existing old name)]

I hitherto known as HAKUMAT RAI MANCHANDA S/o KASHMIRI LAL MANCHANDA, R/o Sarabha Nagar, Maloke Road. Zira Tehsil Zira Distt Ferozepur, Punjab-142047, India, have changed my name and shall hereafter be known as HAKUMAT RAI.

It is certified that I have complied with other legal requirements in this connection.

HAKUMAT RAI MANCHANDA
[Signature (in existing old name)]

I hitherto known as CHINTU S/o Sh. NARENDER, R/o H. No. 273/9, Dehri Mohalla, Rohtak, Haryana-124001, have changed my name and shall hereafter be known as CHIRAG

It is certified that I have complied with other legal requirements in this connection.

CHINTU
[Signature (in existing old name)]

I, SANTOSH BALA W/o SATYA PRAKASH, R/o 15, Saraswati Puram, Gomti Nagar, Near Kamakhya Mandir, Khargapur, Malesemau, Lucknow Uttar Pradesh-226010, have changed the name of my minor son AKSHAY KUMAR alias AKSHAY KUMAR PORWAL aged about 17 years and he shall hereafter be known as AKSHAY PORWAL.

It is certified that I have complied with other legal requirements in this connection.

SANTOSH BALA
[Signature of Guardian]

I hitherto known as NIRMAL MISRA son of MAHESH CHANDRA MISRA, residing at Shakti Dham Building, Nariman Chauraha, Kathgodam, Nainital, Uttarakhand-263126, have changed my name and shall hereafter be known as NITIN MISRA.

It is certified that I have complied with other legal requirements in this connection.

NIRMAL MISRA
[Signature (in existing old name)]

I hitherto known as GOGINENI SAI BRAHMA SREE HARSHA S/o GOGINENI SHIVAJI, R/o Flat No. 104, Mani Enclave, Ramakrishna Street, Near Peddamma Temple, Vivekanand Nagar, VTC, Kukatpally, Rangareddy District, Telangana-500072, have changed my name and shall hereafter be known as GOGINENI S B S HARSHA.

It is certified that I have complied with other legal requirements in this connection.

GOGINENI SAI BRAHMA SREE HARSHA
[Signature (in existing old name)]

I hitherto known as KORUTURU SEENAIAH son of KORUTURU VEERASWAMY, R/o Plot No. 192A, Kalyan Nagar Ph-3, SVS Viswam Apartments, Kalyan Nagar, Hyderabad-500018, have changed my name and shall hereafter be known as SREEVIKAS KORUTURU SEENAIAH.

It is certified that I have complied with other legal requirements in this connection.

KORUTURU SEENAIAH
[Signature (in existing old name)]

I hitherto known as PARAMJIT KAUR W/o KARAMJIT SINGH WIRring, R/o J.P. Nagar, Old Cantt Road, Faridkot, Punjab, have changed my name and shall hereafter be known as PARAMJIT KAUR KHOSA WIRring.

It is certified that I have complied with other legal requirements in this connection.

PARAMJIT KAUR
[Signature (in existing old name)]

I, RAJU NIMJE son of VITHALRAO NIMJE, residing at Dwarka, New Delhi, have changed the name of my minor daughter KRITIKA RAJU NIMJE, aged 17 years and she shall hereafter be known as KRITIKA NIMJE.

It is certified that I have complied with other legal requirements in this connection.

RAJU NIMJE
[Signature of Guardian]

I hitherto known as SATYANARAYAN PARMAR son of KAILASH PARMAR, residing at 169 Sector "F" Rajnagar Near Pankaj Kirana Store, Indore (M.P.) Pin-452002, have changed my name shall hereafter be known as REYANSH PARMAR.

It is certified that I have complied with other legal requirements in this connection.

SATYANARAYAN PARMAR
[Signature (in existing old name)]

I, SHUCHI D/o KRISHAN GOPAL, R/o Vill Behranga, PO Behlakhana, Teh Mukerian, Hoshiarpur-144223, have changed my name and shall hereafter be known as SHUCHI SHARMA.

It is certified that I have complied with other legal requirements in this connection.

SHUCHI
[Signature (in existing old name)]

I hitherto known as HARVINDER KAUR wife of SUKHDEEP SINGH, resident of Vpo Hansron, Shaheed Bhagat Singh Nagar, Punjab, Pin-144421, have changed my name and shall hereafter be known as KAUR HARVINDER.

It is certified that I have complied with other legal requirements in this connection.

HARVINDER KAUR
[Signature (in existing old name)]

I hitherto known as JAGDIP SINGH son of GURCHARAN SINGH, residing at Aliwal Road, Bharat Colony, Batala, Gurdaspur, Punjab-143505, have changed my name and Shall hereafter be known as JAGDIP SINGH SIDHU.

It is certified that I have complied with other legal requirements in this connection.

JAGDIP SINGH
[Signature (in existing old name)]

I hitherto known as MANOJ KUMAR HANDA son of SATPAL, R/o H. No. 66 Inside Zira Gate Ferozepur City Punjab-152002, have changed my name and shall hereafter be known as MANOJ KUMAR.

It is certified that I have complied with other legal requirements in this connection.

MANOJ KUMAR HANDA
[Signature (in existing old name)]

I hitherto known as SEEMA RANI W/o MANOJ KUMAR, R/o H. No. 66 Inside Zira Gate Ferozepur City Punjab-152002, have changed my name and shall hereafter be known as SEEMA.

It is certified that I have complied with other legal requirements in this connection.

SEEMA RANI
[Signature (in existing old name)]

I hitherto known as SUNIL KUMAR alias SUNIL KUMAR MAHAJAN S/o MANOHAR LAL, R/o H. No. 2340, C Block Ranjit Avenue Amritsar, Punjab India-143001, have changed my name and shall hereafter be known as SUNIL MAHAJAN.

It is certified that I have complied with other legal requirements in this connection.

SUNIL KUMAR alias SUNIL KUMAR MAHAJAN
[Signature (in existing old name)]

I, SACHIN VERMA S/o SUSHIL KUMAR VERMA, R/o C-157, Golf View Apartments, Saket, Delhi-110017, have changed the name of my minor son YUVRAJ VERMA, aged 4 years and he shall hereafter be known as YUVRAJ SEHGAL.

It is certified that I have complied with other legal requirements in this connection.

SACHIN VERMA
[Signature of Guardian]

I hitherto known as GURMIT KAUR alias DEVI wife of KULTARN SINGH, R/o Mohalla Delhi Gate Vpo. Hariana Distt. Hoshiarpur, Punjab-144208, have changed my name and shall hereafter be known as GURMEET KAUR.

It is certified that I have complied with other legal requirements in this connection.

GURMIT KAUR alias DEVI
[Signature (in existing old name)]

I hitherto known as VINEET GUPTA son of Sh. DARSHAN GUPTA, residing at B-39, Maharana Partap Enclave, Rani Bagh, Delhi-110034, have changed my name and shall hereafter be known as VINEET KUMAR GUPTA.

It is certified that I have complied with other legal requirements in this connection.

VINEET GUPTA
[Signature (in existing old name)]

I hitherto known as LALMOHAN KUMAR son of CHHANGUR PRASAD, residing at Vill.- Gaura Post- Gaura, Rampur Karkhana, Distt.- Deoria, Uttar Pradesh-274405, have changed my name and shall hereafter known as DHEERAJ KUMAR.

It is certified that I have complied with other legal requirements in this connection.

LALMOHAN KUMAR
[Signature (in existing old name)]

I hitherto known as NAVEEN S/o JAGANNATH, R/o A-232, First Floor, Shastri Nagar, Delhi-110052, have changed my name and shall hereafter be known as NAVEEN GARG.

It is certified that I have complied with other legal requirements in this connection.

NAVEEN
[Signature (in existing old name)]

I hitherto known as SEEMA W/o NAVEEN, R/o A-232, First Floor, Shastri Nagar, Delhi-110052, have changed my name and shall hereafter be known as SEEMA GARG.

It is certified that I have complied with other legal requirements in this connection.

SEEMA
[Signature (in existing old name)]

I hitherto known as SATASH KUMAR son of SOOM NATH BHAT, employed as Senior Technician in the All India Radio, Jammu, residing at House No. 80/1, Ganga Nagar Bantalab, Jammu, Jammu and Kashmir-181123, have changed my name and shall hereafter be known as SATISH KUMAR BHAT.

It is certified that I have complied with other legal requirements in this connection.

SATASH KUMAR
[Signature (in existing old name)]

I hitherto know as RITA GEORGE alias RITA M GEORGE wife of MANOJ KUMAR, employed as Senion Nursing officer at AIIMS, New Delhi and residing at 440, Type-3, Ayurvigyan, Nagar, Ansal Plaza, South Extn. Part-2, New Delhi-110049, have changed my name and shall hereafter be known as RITA MANOJ GEORGE.

It is certified that I have complied with other legal requirements in this connection.

RITA GEORGE alias RITA M GEORGE
[Signature (in existing old name)]

I hitherto known as SHEETAL wife of JAI PRAKASH TIWARI, residing at B-2/64, Gali No.-3, Agar Nagar, Kirari Suleman Nagar, North-West Delhi, Delhi-110086, have changed my name and shall hereafter be known as ABHILASHA TIWARI.

It is certified that I have complied with other legal requirements in this connection.

SHEETAL
[Signature (in existing old name)]

I hitherto known as MEENU JINDAL alias MEENU SINGH PIPPAL D/o JOGINDER SINGH PIPPAL, W/o Shri ARROON JINDALL, R/o C-959, 1st Floor, Arjun Nagar, Kotla Mubarak Pur, New Delhi-110003, have changed my name and shall hereafter be known as MINOO JINDALL.

It is certified that I have complied with other legal requirements in this connection.

MEENU JINDAL alias MEENU SINGH PIPPAL
[Signature (in existing old name)]

I, KAMALAKNAT TIWARI S/o RASH BIHARI TIWARI, R/o WZ-65-A Upper First Floor, Gali No.-2, Gurunanak Nagar, Tilak Nagar, New Delhi-110018, have changed the name of my minor daughter DIKSHA aged 10 years and she shall hereafter be known as DIKSHA TIWARI.

It is certified that I have complied with other legal requirements in this connection.

KAMALAKNAT TIWARI
[Signature of Guardian)]

I hitherto known as SMILE SIDHU S/o JAGSEER SINGH, R/o Bhagta Bhai Ka Bathinda, Punjab-151206, have changed my name and shall hereafter be known as SMILEPREET SINGH SIDHU.

It is certified that I have complied with other legal requirements in this connection.

SMILE SIDHU
[Signature (in existing old name)]

I hitherto known as DIWAN CHAND alias DIWAN KHACHI S/o Late Shri G.R KHACHI, employed as Assistant Field officer in the Cabinet Secretariat, New Delhi, residing at A-11, Tower-14, Type-3, East Kidwai Nagar Flats, New Delhi-110023, have changed my name and shall hereafter be known as DIWAN KHACHI.

It is certified that I have complied with other legal requirements in this connection.

DIWAN CHAND alias DIWAN KHACHI
[Signature (in existing old name)]

I hitherto known as JASVIR SINGH son of Late Shri SAMPURAN SINGH, employed as Senior Personal Assistant at Supreme Court of India, residing at C-7/175-176, 3rd Floor, Sector-8, Rohini, Delhi-110085, have changed my name and shall hereafter be known as JASVIR SINGH MANGAT.

It is certified that I have complied with other legal requirements in this connection.

JASVIR SINGH
[Signature (in existing old name)]

I hitherto known as UMESH SHARMA son of SATANARAYAN, R/o H. No.-69, Goyal Farm, Tajpur Road, Taj Pur, South Delhi-110044, have changed my name and shall hereafter be known as MAHESH SHARMA.

It is certified that I have complied with other legal requirements in this connection.

UMESH SHARMA
[Signature (in existing old name)]

I hitherto known as MALKIAT SINGH TALWAR S/o BALDEV SINGH, R/o Village Azampur, P.O. Kala Afghana, Teh. Batala, Distt. Gurdaspur, Punjab-143513, have changed my name and shall hereafter be known as MALKIAT SINGH.

It is certified that I have complied with other legal requirements in this connection.

MALKIAT SINGH TALWAR
[Signature (in existing old name)]

I hitherto known as ABHAY PANT S/o PURAN CHAND PANT, R/o C9/6 Third Floor, Sector-15 Block C, Relaxo Showroom, Rohini, North-West, Delhi-110089, have changed my name and shall hereafter be known as ADIEN PANT.

It is certified that I have complied with other legal requirements in this connection.

ABHAY PANT
[Signature (in existing old name)]

I hitherto known as JASWINDER KUMAR son of Sh. DARSHAN LAL, residing at V. Ganna Pind, Tehsil Phillaur, Distt. Jalandhar, Punjab-144410, have changed my name and shall hereafter be known as JASWINDER SINGH.

It is certified that I have complied with other legal requirements in this connection.

JASWINDER KUMAR
[Signature (in existing old name)]

I hitherto known as RAVI KUMAR S/o GIAN CHAND, R/o H. No. B-4/51, Raja Mohalla, Nawanshahr, Distt., SBS Nagar, Punjab-144514, have changed my name and shall hereafter be known as RAVI KUMAR KHUTTAN.

It is certified that I have complied with other legal requirements in this connection.

RAVI KUMAR
[Signature (in existing old name)]

I hitherto known as KUSHWANT KAUR D/o GULZARA RAM, W/o RAVI KUMAR KHUTTAN, R/o H. No. B-4/51, Raja Mohalla, Nawanshahr, Distt., SBS Nagar, Punjab-144514, have changed my name and shall hereafter be known as KHUSHWANT KAUR.

It is certified that I have complied with other legal requirements in this connection.

KUSHWANT KAUR
[Signature (in existing old name)]

I hitherto known as PUTTI LAL S/o SHRINATH KANAUIYA, employed as Goods Guard with Prayagraj Division, North Central Railway at Prayagraj R/o 602/C, Loco Colony, 9th Marg, Prayagraj (Uttar Pradesh), have changed my name and shall hereafter be known as NARENDRA KUMAR.

It is certified that I have complied with other legal requirements in this connection.

PUTTI LAL
[Signature (in existing old name)]

I, THYELSAANGPHOM KHALING son of KOSHANG KHALING, employed as Under Secretary in the Ministry Of Agriculture, residing at N-539, Type-4, N-block, R.K. Puram, Sector-9, New Delhi-110022, have changed the name of my minor son JADEN SAWNMAYER HENGUNSAANG KHALING, aged 14 years and he shall hereafter be known as JAYDEN KHALING.

It is certified that I have complied with other legal requirements in this connection.

THYELSAANGPHOM KHALING
[Signature of Guardian]

I hitherto known as MOHD SIDDIQI AHMED son of MOHD AHMED KHAN, residing at H. No. 2 - 9 - 847, NGO Colony, Waddepalli, Waddepalle (Rural), Warangal, Telangana-506370, have changed my name and shall hereafter be known as MOHAMMED ABUBAKAR SIDDIQI.

It is certified that I have complied with other legal requirements in this connection.

MOHD SIDDIQI AHMED
[Signature (in existing old name)]

I hitherto known as DEEPANSHU GIRI D/o SAHDEV GIRI R/o G-30/222, Sector-3, Rohini, new Delhi-110085, have changed my name and shall hereafter be known as DRISHTI GIRI.

It is certified that I have complied with other legal requirements in this connection.

DEEPANSHU GIRI
[Signature (in existing old name)]

I hitherto known as SHWETA D/o ASHWANI KUMAR R/o V.P.O. Dehlan, Dehlan Lowar, Una Himachal Pradesh-174306, have changed my name and shall hereafter be known as SHWETA SHARMA.

It is certified that I have complied with other legal requirements in this connection.

SHWETA
[Signature (in existing old name)]

I hitherto known as CHETAN M S S/o MAHESH KUMAR, Residing at V.P.O Nangthala, Tehsil and Distt. Hisar, Haryana-125047, have changed my name and shall hereafter be known as CHETAN SHARMA.

It is certified that I have complied with other legal requirements in this connection.

CHETAN MS
[Signature (in existing old name)]

I hitherto known as GAJENDER KUMAR S/o BHAGIRATH JHA R/o Plot No-67 & 68, Block-D, Vijay Nagar, Mohan Garden, Uttam Nagar, New Delhi-110059, have changed my name and shall hereafter be known as GAJENDER KUMAR JHA.

It is certified that I have complied with other legal requirements in this connection.

GAJENDER KUMAR
[Signature (in existing old name)]

I hitherto known as LAVEENA PAUL D/o DEVENDER PAUL W/o MANUJ CHAUHAN, R/o 272 Satya Niketan Moti Bagh-2, New Delhi-110021, have changed my name and shall hereafter be known as LAVEENA CHAUHAN.

It is certified that I have complied with other legal requirements in this connection.

LAVEENA PAUL
[Signature (in existing old name)]

I hitherto known as ADITYA KAPOOR S/o Sh. PANKAJ KAPOOR R/o 7/39, Govind Sadan, Tilak Nagar, Kanpur-208002, Uttar Pradesh, have changed my name and shall hereafter be known as ADDTYA KAPOOR.

It is certified that I have complied with other legal requirements in this connection.

ADITYA KAPOOR
[Signature (in existing old name)]

I hitherto Known as HARJIT SINGH S/o MUKHTAR SINGH employed as HC/GD CISF unit at Centre Revenue Building, ITO, IP Estate, New Delhi 110002, R/o VPO- Chhina Bidhi Chand, Distt./Teh. - Tarn Taran, Punjab 143305, have changed my name and shall hereafter be known as HARJEET SINGH.

It is certified that I have complied with other legal requirements in this connection.

HARJIT SINGH
[Signature (in existing old name)]

I hitherto known as SIMMI VERMA alias SIMMI SAINI, W/o VIRENDER SINGH, R/o H. No.-328, Second Floor, Jagriti Enclave, Delhi-110092, have changed my name and shall hereafter be known as SIMMI SAINI.

It is certified that I have complied with other legal requirements in this connection.

SIMMI VERMA alias SIMMI SAINI
[Signature (in existing old name)]

I hitherto known as VANSI MANYARYA S/o VINOD KUMAR not currently employed, residing at H.No. 289 Sadh Gali Khera Kalan, Delhi - 110082, have changed my name and shall hereafter be known as VANSI MOR.

It is certified that I have complied with other legal requirements in this connection.

VANSI MANYARYA
[Signature (in existing old name)]

I hitherto known as MOHIT KUMAR S/o UMESH CHAND YADAV, residing at F-54, Vijay Vihar Phase-2, Rohini, Delhi-110085, have changed my name and shall hereafter be known as MOHIT YADAV.

It is certified that I have complied with other legal requirements in this connection.

MOHIT KUMAR
[Signature (in existing old name)]

I hitherto known as AKSHAY KUMAR S/o ANIL KUMAR, R/o 238, Municipal Colony, Ward No-28, Tehsil / Distt. Pathankot, Gurdaspur, Punjab- 145001, have changed my name and shall hereafter be known as AKUL SHARMA.

It is certified that I have complied with other legal requirements in this connection.

AKSHAY KUMAR
[Signature (in existing old name)]

I hitherto known as RAM DHANI S/o VISHRAM DAS Employed as Technician in The Indian Railway, Residing At Village-Bidanpur Kakodha, Tahsil Sirathu, Post- Kakodha Distt- Kaushambi, UP Pin-212201, have changed my name and shall hereafter be known as RAM SHAKYA.

It is certified that I have complied with other legal requirements in this connection.

RAM DHANI
[Signature (in existing old name)]

I hitherto known as DIVYANSHU GIRI D/o SAHDEV GIRI R/o G-30/222, Sector-3, Rohini, New Delhi-110085, have changed my name and shall hereafter be known as DIVYANSHA GOSWAMI.

It is certified that I have complied with other legal requirements in this connection.

DIVYANSHU GIRI
[Signature (in existing old name)]

I hitherto known as AYESHA.A W/o S. SATHISHKUMAR, R/o B 804, G R Heights, 80 Feet Road, Royalcounty, J P Nagar 8th Phase, Kothanur, Bengaluru 560083, have changed my name and shall hereafter be known as ASHA SATHISHKUMAR.

It is certified that I have complied with other legal requirements in this connection.

AYESHA.A
[Signature (in existing old name)]

I hitherto known as MAMTA D/o RAJE R/o House No. 313, Pasonda, Shahibabad, Ghaziabad-201005, have changed my name and shall hereafter be known as AYESHA.

It is certified that I have complied with other legal requirements in this connection.

MAMTA
[Signature (in existing old name)]

I, hitherto known as SHAMIM QURESHI daughter of Late M. BASHIR W/o ADITYA CHAUDHURI, residing at House No. B-202, JMD Garden Sohna Road, Gurugram, have changed my name and shall hereafter be known as ADITI CHAUDHURI.

It is certified that I have complied with other legal requirements in this connection.

SHAMIM QURESHI
[Signature (in existing old name)]

I, hitherto known as BABY AFSARA KHATOON D/o Sh. ANWAR SEIKH W/o Sh. HARSHAL ARORA R/o 2390, Gali Munde Wali, Sadar Nala Road, Sadar Bazar, Delhi-110006, have changed my name and shall hereafter be known as AFSARA ARORA.

It is certified that I have complied with other legal requirements in this connection.

BABY AFSARA KHATOON
[Signature (in existing old name)]

I hitherto known as PRIYA MISHRA D/o Sh. JAGDAMBA PRASAD MISHRA, R/o House No. 1, Gali No. 5, Himgiri Enclave, Santnagar, Burari, Delhi-110084, have changed my name and shall hereafter be known as AYESHA SIDDIQUI.

It is certified that I have complied with other legal requirements in this connection.

PRIYA MISHRA
[Signature (in existing old name)]

CHANGE OF RELIGION

I, AYESHA.A W/o S.SATHISHKUMAR, R/o B 804, G R Heights, 80 Feet Road, Royal County, J P Nagar 8th Phase, Kothanur, Bengaluru 560083, do hereby solemnly affirm and declare that I have embraced HINDUISM and renounced ISLAM with effect from 25 Dec. 2014.

It is certified that I have complied with other legal requirements in this connection.

AYESHA.A
[Signature]

I, MAMTA D/o RAJE, R/o H.No. 313, Pasonda, Sahibabad, Ghaziabad-201005, do hereby solemnly affirm and declare that I have embraced MUSLIM religion and renounced HINDU with effect from 15.10.2020.

It is certified that I have complied with other legal requirements in this connection.

MAMTA
[Signature]

I, SHAMIM QURESHI D/o Late M. BASHIR W/o ADITYA CHAUDHURI, residing at House No. B-202, JMD Garden, Sohna Road, Gurugram, do hereby solemnly affirm and declare that I have embraced HINDUISM and renounced ISLAM w.e.f. 10/02/1990.

It is certified that I have complied with other legal requirements in this connection.

SHAMIM QURESHI
[Signature]

I, BABY AFSARA KHATOON D/o Sh. ANWAR SEIKH W/o Sh. HARSHAL ARORA, R/o 2390, Gali Munde Wali, Sadar Nala Road, Sadar Bazar, Delhi-110006 do hereby solemnly affirm and declare that I have embraced HINDU Religion and renounced ISLAM religion w.e.f. 25/09/2020.

It is certified that I have complied with other legal requirements in this connection.

BABY AFSARA KHATOON
[Signature]

I, PRIYA MISHRA D/o Sh. JAGDAMBA PRASAD MISHRA, R/o House No. 1, Gali No. 5, Himgiri Enclave, Santnagar, Burari, Delhi-110084, do hereby solemnly affirm and declare that I have embraced ISLAM Religion and renounced HINDU Religion w.e.f. 12/11/2020.

It is certified that I have complied with other legal requirements in this connection.

PRIYA MISHRA
[Signature]

I, SANJEET SINGH son of Shri GURMAIL SINGH, employed as Assistant Sub Inspector in the CISF under MOH, residing at Vill. Nalas Khurd Tehsil Rajpura Dist. Patiala, Punjab do hereby solemnly affirm and declare that I have embraced SIKH and renounced HINDU with effect from 28.10.2020.

It is certified that I have complied with other legal requirements in this connection.

SANJEET SINGH
[Signature]

I, JAIREEN AKHTAR D/o ADIL SHAH W/o ANISH KUMAR OJHA, R/o H.No. A-160, 4th Floor St.No-26, Near Sangam Appartments, Chhattarpur Enclave, Phase-2, New Delhi-110074, do hereby solemnly affirm and declare that I have embraced HINDUISM and renounced ISLAM w.e.f. 03/12/2018.

It is certified that I have complied with other legal requirements in this connection.

JAIREEN AKHTAR
[Signature]

I, GOLI NARASIMHA RAO S/o GOLI CHENNAIAH, R/o H.No. 8-6-127/2, Plot No.-76, Phase-2, FCI Colony, Vanasthalipuram, K.V. Rangareddy (Telangana) - 500070, do hereby affirm and declare that I have embraced CHRISTIANITY and renounced HINDUISM with effect from 30.12.2005.

It is certified that I have complied with other legal requirements in this connection.

GOLI NARASIMHA
[Signature]

I, KRITI SHARMA daughter of NAGENDRA KUMAR SHARMA, R/o M G P Polytecnic, Hathras, Hathras, Uttar Pradesh-204101, do hereby solemnly affirm and declare that I have embraced ISLAM and renounced HINDU Religion w.e.f. 13.03.2019.

It is certified that I have complied with other legal requirements in this connection.

KRITI SHARMA
[Signature]

PUBLIC NOTICE

It is for general information that I, RAJESH KUMAR ARE son of ARE SHANKARAIHA, residing at 3-66, Vempally, Mancheria, Telangana - 504209, my father name has been wrongly written as ARE SHANKAR and SHANKAR in my Aadhar Card and educational certificates. The actual name of my father name is ARE SHANKARAIHA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAJESH KUMAR ARE
[Signature]

I, SARITA SAROJ D/o BABBAN SAROJ, resident of Vill. Dalapur Dhanepur, Saifabad, Patti, Dist. Pratapgarh State Uttar Pradesh Pin- 230138, hereby undertake that I, SARITA SAROJ want to change my name to VIRANSH and Gender as male.

I, SARITA SAROJ henceforth be known as VIRANSH S/o BABBAN SAROJ.

The above statement made by me is true & correct to the best of my knowledge and belief. If any legal issue in this regard at any stage, I will be personally responsible for the same and the Department of Publication will not be liable for any consequences arising there from.

It is certified that I have complied with other legal requirements in this connection.

SARITA SAROJ
[Signature]

I, SUMAN D/o Sh. SUKAL CHAND, R/o H.No. 214, Block X-30, Trilokpuri, Chilla Saroda Khadar, East Delhi, Delhi-110091, hereby undertake that I, SUMAN want to change my name to JATIN Gender as male.

I, SUMAN henceforth be known as JATIN S/o Sh. SUKAL CHAND.

The above statement made by me is true & correct to the best of my knowledge and belief. If any legal issue in this regard at any stage, I will be personally responsible for the same and the Department of Publication will not be liable for any consequences arising there from.

It is certified that I have complied with other legal requirements in this connection.

SUMAN
[Signature]

It is for general information that I, NIVEDITA BAROI D/o Sh. INDRAJIT BAROI Ex. wife of Sh. SANJIB MITRA, R/o RZ-2A/3, Near By Palam Police Station, Puran Nagar, Palam Dwarka Main Road, Bagdola, Raj Nagar-II, Delhi Contonment, South-West Delhi, Delhi-110077, declare that I got divorce from my husband Sh. SANJIB MITRA vide court decree HMA No.1060/2017 dated 05.01.2018. Further I have remarried with Sh. SUKANTA DAS on 09.10.2019 and have changed the name of mine and name of my minor son namely SUMAN MITRA, aged about 13 years and shall hereafter be known as NIVEDITA DAS and SUMAN DAS respectively, in future for all purposes.

It is certified that I have complied with other legal requirements in this connection.

NIVEDITA BAROI
[Signature]

It is for general information that I A. JANAKIRAMAN son of P AYYAPPARAJ, residing at H.No.-3, Kandasamy Pillai Street, Vill. & P.O. Mamsapuram Tehsil Srivilliputtur, Distt. Virudhunagar, State Tamil Nadu, Pin-626110, declare that name of mine has been wrongly written as A JANAKIRAM in my Army Service Record. The actual name of mine is A JANAKIRAMAN respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

A. JANAKIRAMAN
[Signature]

It is for general information that I, BHAVNA SHARMA W/o Sh. LALIT SHARMA, R/o 1380, Krishna Gali, Bazar Gulian, Dariba Kalan, Chandni Chowk, Delhi-110006, declare that name of mine has been wrongly written as BHAWNA SHARMA in the School Record of my minor daughter CHARVI SHARMA aged 14 years. The actual name of mine is BHAVNA SHARMA, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

BHAVNA SHARMA
[Signature]

It is for general information that I, MOHAMMED KHALID S/o KHURSHID ALAM, R/o H.No. 1707, Street Madarsa Mir Jumla, Lal Kuan, Delhi-110006, declare that name of mine and my minor daughter has been wrongly written as Mohd. KHALID and UMME KULSUM in the school record of my minor daughter KULSUM aged 14 years and name of mine has been wrongly written as MOHAMMAD KHALID in the Aadhar Card of my minor daughter KULSUM. The actual name of mine and my minor daughter are MOHAMMED KHALID and KULSUM, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MOHAMMED KHALID
[Signature]

It is for general information that I, SUNITA RANI W/o JAGMOHINDER SINGH LOHCHAB, R/o 1-2, Top Floor, Om Apartments, Kh. No. 32/6, Plot No.-12, Bharat Vihar, Block-C, Kakrola, South West Delhi-110078, declare that name of my husband has been wrongly written as JAGMINDER SINGH LOHCHAB in my Service Records, Aadhar Card No.-983921908643, Voter ID Card No.-WGO4505434, Pan Card No.-AGYPR3758C, Passport No.-J0507524. The actual name of my husband is JAGMOHINDER SINGH LOHCHAB respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SUNITA RANI
[Signature]

It is for general information that I, SREEKANTH REDDY SINGAREDDY son of RAMIREDDY SINGAREDDY, R/o No. 1906, C Block, Aditya Empress Towers, Aziz Bagh, Shaikpet, Hyderabad, Telangana - 500004, hereby undertake that I want to change my name to SREE RUPA SIRIGIREDDY and Gender as Female.

I, SREEKANTH REDDY SINGAREDDY henceforth be known as SREE RUPA SIRIGIREDDY D/o RAMIREDDY SINGAREDDY W/o SIRIGIREDDY RAJESH KUMAR REDDY.

It is certified that I have complied with other legal requirements in this connection.

SREEKANTH REDDY SINGAREDDY
[Signature]

It is for general information that I, ARENDER SINGH S/o ANEK PAL SINGH, R/o-C-30, Guru Ram Das Nagar, Laxmi Nagar, Delhi-110092, declare that name of mine has been wrongly written as ARENDRA SINGH in school record of my minor son EKANSI (Aged-15Years) School Record. The actual name of mine "ARENDER SINGH", which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ARENDER SINGH
[Signature]

It is for general information that I, SUSANTA KUMAR PATNAIK S/o UDAYANATH PATTANAYAK, R/o H.A.L Township, Sunabeda-2, Vide Qtr. No. Y-150, Sunabeda Dist-koraput Odisha, declare that the name of mine has been wrongly written as SUSANTA KUMAR PATTNAYAK in my service record. That the actual name of mine is SUSANTA KUMAR PATNAIK, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SUSANTA KUMAR PATNAIK
[Signature]

It is for general information that I, BIDDIKA BHASKARA RAO S/o MATCHA BIDDIKA, R/o Kurupam, Dharmalakshampuram post, Mahendrapuram, Vizianagaram, Andhra Pradesh, Pin-535534, declare that name of my father has been wrongly written as LUKKO in Transfer Certificate, LUKKO BIDDIKA in SSC. The actual name of my father is MATCHA BIDDIKA respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

BIDDIKA BHASKARA
[Signature]

It is for general information that I, DHARANIKOTA DINESH KUMAR S/o DHARANIKOTA NARSIMHMA, R/o Gaddamidivada, Bollepalle, Bhuvanagiri mandalam, Bolle Palle, Bollepally, Nalgonda, Telangana, Pin-508285, declare that name of mine and my father names have been wrongly written as B DINESH KUMAR, NARASIMHA in SSC, Intermediate, Degree, B. DINESH KUMAR, B. NARISMHA in M.A, B. DINESH KUMAR in Bar Council of The State of Andhra Pradesh, Darnikota, DINESH KUMAR, NARSIMHMA in Voter ID, B DINESH KUMAR, B NARSIMHA in Bar Council of India. The actual name of mine and my father names are DHARANIKOTA DINESH KUMAR and DHARANIKOTA NARSIMHMA respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DHARANIKOTA DINESH KUMAR
[Signature]

It is for general information that I, PAMIREDDY CHANDRA SEKHAR REDDY S/o late PAMIREDDY NARAYANA REDDY, R/o H.No.-1-68, Velpmadugu, Vidapanakal, Velpumadugu, Velpumadugu, Ananthapuram, Andhra Pradesh, Pin-515870, declare that name of mine and my father's names have been wrongly written as GANGALAPATI SEKHAR, G NARAYANA REDDY in SSC, Aadhaar, Intermediate, GANGALAPATI SEKHAR,

GANGALAPATI NARAYANA REDDY in PAN, my name has been wrongly written as GANGALAPATI SEKHAR in Degree. The actual name of mine and my father's names are PAMIREDDY CHANDRA SEKHAR REDDY and PAMIREDDY NARAYANA REDDY respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PAMIREDDY CHANDRA SEKHAR REDDY
[Signature]

It is for general information that I, HARI GURU SHARVAN S/o HARI LOKESH SHARMA, R/o H.No.-5-195/2 Sai sagar golden city, Kakinada Rural, Panduru, Panduru, Kakinada, Rural East Godavari, Andhra Pradesh, Pin-533005, declare that name of mine and my father's names have been wrongly written as KATTA GURU SARVAN, KATTA LOKESH in SSC, Intermediate and B-Tech. The actual name of mine and my father's names are HARI GURU SHARVAN and HARI LOKESH SHARMA respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

HARI GURU SHARVAN
[Signature]

It is for general information that I, AILENI SHOBHAKAR S/o AILENI MALLAREDDY, R/o Kistagudem, Kundaram, Warangal, Telangana, Pin-506303, declare that name of my father has been wrongly written as AILENI MAHENDER in SSC, Intermediate, MAHENDER in Degree. The actual name of my father is AILENI MALLAREDDY respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

AILENI SHOBHAKAR
[Signature]

It is for general information that I, NITI PANESAR W/o SHRI RAHUL RANJAN, R/o H.No.-390-B, Ground Floor, Chand Nagar, Tilak Nagar, New Delhi - 110018, declare that name of my minor daughter aged about 3 years has been wrongly written as TANAIIRA RANJAN in my daughter's Birth Certificate. The actual name of my minor daughter is TANAIIRA PANESAR, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NITI PANESAR
[Signature]

It is for general information that I, PANKAJ S/o ASHOK KUMAR, R/o C-207/208, Block-C, Nehru Vihar, Timarpur Civil Lines S.O. North Delhi, Delhi-110054, declare that name of mine and my father has been wrongly written as PANKAJ BHOLA and BALVEER SINGH in my T.C. and 10th class documents. The actual name of mine and my father are PANKAJ and ASHOK KUMAR, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PANKAJ
[Signature]

It is for general information that I, LALLAN SINGH S/o SATY NARAYANAN SINGH, R/o RZ/2514-C, 2nd Floor, Gali No.-27, Tughlakabad Extension, Kalkaji, Delhi-110019, declare that name of mine has been wrongly written as LALAN SINGH in 10th class certificate of my minor son HIMANSHU KUMAR SINGH having aged 16 years. The actual name of mine is LALLAN SINGH which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

LALLAN SINGH
[Signature]

It is for general information that I, TRILOKI NATH VISHWAKARMA son of Late J R VISHWAKARMA, residing at 2557/1, Vishwakarma Purani Basti Jhanda Chowk, Shiv Mandir, Ranjhi Jabalpur, M.P - 482005, declare that name of mine has been wrongly written as TRILOKI NATH in my Service Book. The actual name of mine is TRILOKI NATH VISHWAKARMA, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

TRILOKI NATH VISHWAKARMA
[Signature]

It is for general information that I, ROHIT SHOKEEN son of Sh. SATBIR, residing at House No. 545, Dichaon Kala, Najafgarh, Delhi-110043, declare that name of my father has been wrongly written as SATBIR SHOKEEN in my Driving Licence No. DL-09 20150304332. The actual name of my father is SATBIR, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ROHIT SHOKEEN
[Signature]

It is for general information that I, REJAUL S/o KASIRUDDIN, R/o Plot No. 26, Kh. No. 16/14, PP No. 1739, Major Bhola Ram Enclave, Pochanpur, Sector-23, Dwarka, New Delhi-110077, declare that name of mine and my wife has been wrongly written as RIZAUL and KOHINOOR BIBI in my minor son MD GULAM aged 16 years in his school records. The actual name of mine and my wife are REJAUL and KOHINUR KHATUN BIBI, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

REJAUL
[Signature]

It is for general information that I, JAVED KHAN S/o SHAMSHER KHAN, R/o R Z H-104, Nihal Vihar, Nangloi, West Delhi, Delhi-110041, declare that name of my father has been wrongly written as SHAMSHER ALI in my all educational documents. The actual name of my father is SHAMSHER KHAN respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

JAVED KHAN
[Signature]

It is for general information that I, MUSTAKEEM S/o ABDUL WAHID, R/o G-4/9, 1st Floor, Sunder Nagri, Nand Nagri, Delhi-110093, declare that the name of my father has been wrongly written as ABDUL WAHID QURESHI in my passport. That the actual name of my father is ABDUL WAHID which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MUSTAKEEM
[Signature]

It is for general information that I, RAJESH YADAV S/o PANCHU YADAV, R/o H. No.-56, Gali No.-3, Jeewan Park, Siraspur, Delhi-110042, declare that name of mine has been wrongly written as RAM BHOJ in my all educational documents. The actual name of mine is RAJESH YADAV respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAJESH YADAV
[Signature]

It is for general information that I, QAMAR ALAM S/o MAHMOOD HASAN, R/o H. No. 119, GDA Flat Tulsi Niketan, Bhopura, Ghaziabad, Uttar Pradesh-201005, declare that name of my wife has been wrongly written as TAJBEE in my minor daughter UROOJ aged 16 year in her school record. The actual name of wife is TAJBI respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

QAMAR ALAM
[Signature]

It is general information that I, HARISH son of UDHAL SINGH, residing at H.No. G-181, Ganga Vihar, Delhi-110094, declare that the name of mine has been wrongly written as HARSH CHAUHAN in my minor son SHIVAM aged 16 years in his Secondary Educational Documents. The actual name of mine is HARISH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

HARISH
[Signature]

It is for general information that I, AFTAB ALAM S/o SAYEED AHMAD, R/o S-10 E/9 Top Floor, Jogabal Extension, Jamia Nagar, South Delhi, Delhi-110025, declare that name of mine and my wife has been wrongly written as AFTAB AHMAD and PERVEZ KHATOON in my minor son MOHD UMAR aged 14 years in his School Records. The actual name of mine and my wife are AFTAB ALAM and FARHEEN NAZ respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

AFTAB ALAM
[Signature]

It is for general information that I, NOOR ISLAM son of SK RAHEMAT BUX, residing at 470 I G Camp 2, Taimoor Nagar, New Friends Colony, New Delhi-110025, declare that name of my father has been wrongly written as SEIKH KHAN in my Passport No. T 5661864 and DL No. DL-0320100199358 and PAN Card No. AAZPI8542C. The actual name of my father is SK RAHEMAT BUX respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NOOR ISLAM
[Signature]

It is for general information that I, RAJANDAR KUMAR son of BHAWANI SHANKAR, residing at R-170, Nand Gram, Shahpur Nij Mota, Ghaziabad, Uttar Pradesh-201003, declare that name of mine has been wrongly written as RAJANDER KUMAR in my service records. The actual name of mine is RAJANDAR KUMAR respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAJANDAR KUMAR
[Signature]

It is for general information that I, SUSHANT KAMWAL S/o MUKESH KAMWAL, R/o Flat No.-Gh-13/331, Paschim Vihar Sunder Vihar West Delhi-110087, declare that name of mine has been wrongly written as SUSHANT in my SC Certificate No.-SC/03/31/27197/26/2/2010/8931016793. The actual name of mine is SUSHANT KAMWAL respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SUSHANT KAMWAL
[Signature]

It is for general information that I, RAJ SINGH son of SRI RAM SINGH, residing at Adalbari Budha Colony, Hajipur, Vaishali, Bihar-844101, declare that name of mine has been wrongly written as DHARAMVIR SINGH in my Aadhaar card. The actual name of mine is RAJ SINGH respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAJ SINGH
[Signature]

It is for general information that I, CHHOTE LAL PRAJAPATI S/o RAM NARESH PRAJAPATI, R/o 443, Vijay Nagar By Pass, Krishna Nagar Bagu-1, Ghaziabad, Uttar Pradesh-201009, declare that name of mine has been wrongly written as CHHOTEY LAL in my service records. The actual name of mine is CHHOTE LAL PRAJAPATI respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

CHHOTE LAL PRAJAPATI
[Signature]

It is for general information that I, SHANTI W/o Sh. PAWAN KUMAR, R/o 29/11, URI Enclave, Delhi Cantt., Delhi-110010, declare that name of my husband has been wrongly written as PAWAN in my Aadhar Card and Voter ID Card and name of mine has been wrongly written as KUSHBOO in the school records of my minor son ABHISHEK aged 14 years and my minor daughter PRACHI aged 13 years and name of mine and my husband has been wrongly written as KHUSHBOO, KHUSHBU and PAWAN in the birth certificates of my minor son ABHISHEK and my minor daughter PRACHI. The actual name of mine and husband are SHANTI and PAWAN KUMAR, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SHANTI
[Signature (in existing old name)]

It is for general information that I, PANKAJ DEVI wife of Sh. MANOJ KUMAR, residing at B-6/41, Sector-5, Rohini, Delhi-110085, declare that name of mine has been wrongly written as PINKY in my minor son MANISH KUMAR aged 14 years and minor daughter GUNJITA aged 11 years in their School Records. The actual name of mine is PANKAJ DEVI, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PANKAJ DEVI
[Signature (in existing old name)]

It is for general information that I, PAWAN KUMAR S/o JAGBIR SINGH, R/o H-No-85, Ward No.-9, Baljeet Nagar, Bahadurgarh, Jhajjar, Haryana-124507, declare that the names of mine and my father has been wrongly written as PAWAN and JAGBIR in my 12th class documents. That the actual

names of mine and my father are PAWAN KUMAR and JAGBIR SINGH, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PAWAN KUMAR
[Signature (in existing old name)]

It is for general information that I, RITIK KUMAR Son of AMRESH CHAND, Residing at P-139, Block-P, Mohan Garden, Uttam Nagar, West Delhi, Delhi-110059, declare that name of my father has been wrongly written as AMRESH KUMAR in my all Educational Documents. The actual name of my father is AMRESH CHAND, respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RITIK KUMAR
[Signature (in existing old name)]

It is for general information that I, ANIL KUMAR S/o SHRI BHOPAL SINGH, R/o House No-19A, Gali No. 2, D Block, Brijpuri, Gokalpuri, North East Delhi-110094, declare that name of mine, my minor son and my wife has been wrongly written as ANIL KUMAR KASHYAP, MANTHAN KASHYAP and SHANTI DEVI in my minor son's namely MANTHAN KASHYAP aged 14 years in his educational documents. The actual name of mine, my minor son and my wife are ANIL KUMAR, MANTHAN and SHANTI, respectively, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ANIL KUMAR
[Signature (in existing old name)]

एनएसई क्लियरिंग लिमिटेड

पंजीकृत कार्यालय: एक्सचेंज प्लाजा, सी-1, ब्लॉक जी, बांद्रा-कुर्ला कॉम्पलेक्स, बांद्रा (ईस्ट), मुम्बई-400051

एनसीएल उपनियमावली (कैपिटल मार्केट, फ्यूचर्स एवं ऑप्शन्स, करेंसी डेरिवेटिव्स और कोमोडिटी सेगमेंट्स) में नीचे दिए गए ड्राफ्ट संशोधनों को जनरल क्लॉजिंग एक्ट, 1897 की धारा 23 के प्रावधानों के अनुसार भारत सरकार के गजट में आलोचना के लिए प्रकाशित किया जाता है। कोई भी व्यक्ति जिसके पास विनियमावली में प्रस्तावित संशोधनों के संबंध में कोई अवलोकन है तो वह उसे अधोहस्ताक्षरी के पास एक्सचेंज प्लाजा, सी-1, ब्लॉक जी, बांद्रा-कुर्ला कॉम्पलेक्स, बांद्रा (ईस्ट), मुम्बई-400001 पर गजट में इस प्रकाशन की तिथि से 15 दिन के अंदर भेज सकता है। उक्त तिथि के बाद प्राप्त अवलोकनों पर कोई विचार नहीं किया जाएगा, जब ड्राफ्ट संशोधन पर विचार किया जाएगा।

- कैपिटल मार्केट खण्ड, फ्यूचर्स एवं ऑप्शन्स खण्ड, करेंसी खण्ड और कोमोडिटी खण्ड के लिए लागू एनसीएल उपनियमावली के "अध्याय VI: क्लियरिंग सदस्यों द्वारा कारोबार" में वर्तमान उपनियम संख्या 7 के बाद उपनियम संख्या 8 के रूप में निम्न नया अनुच्छेद जोड़ा जाना प्रस्तावित है:—

उद्धरण चिह्न शुरू

8- क्लीयरिंग सदस्य द्वारा संभावित चूक

- (1) क्लीयरिंग कॉर्पोरेशन चूक करने वाले क्लीयरिंग सदस्यों के मामले में मानक संचालन प्रक्रिया के संबंध में सेबी द्वारा समय-समय पर जारी परिपत्रों के अनुसार कार्यवाही करेगा।
- (2) उक्त उपनियमावली के अंतर्गत सेबी द्वारा जारी परिपत्रों के अनुसार क्लीयरिंग कॉर्पोरेशन क्लीयरिंग सदस्य के बैंक खाते को फ्रीज करने के संबंध में बैंक को निर्देश जारी करेगा, जिसे क्लीयरिंग सदस्य द्वारा संभावित चूक की अवस्था में डेबिट/निकासी के लिए रखा गया है, ताकि एक मान्यताप्राप्त एक्सचेंज/क्लीयरिंग सदस्य/क्लीयरिंग कॉर्पोरेशन और/या उसके/इसके ग्राहकों को निधियों/प्रतिभूतियों को चूकता किया जा सके।

उद्धरण चिह्न बंद

एनएसई क्लीयरिंग लिमिटेड के लिए

चिराग एस. नगडा
कम्पनी सचिव

टिप्पणी: हिन्दी पाठ में भिन्नता की स्थिति में अंग्रेजी पाठ अभिभावी होगा।

एनएसई क्लीयरिंग लिमिटेड

पंजीकृत कार्यालय: एक्सचेंज प्लाजा, सी-1, ब्लॉक जी, बांद्रा कुर्ला कॉम्पलेक्स, बांद्रा (ईस्ट), मुम्बई-400051

एनसीएल नियमावली (कैपिटल मार्केट, सेगमेंट्स) कोमोडिटी डेरिवेटिव्स सेगमेंट्स, फ्यूचर्स एवं ऑपशन्स सेगमेंट्स और करेंसी डेरिवेटिव्स सेगमेंट) में निम्नलिखित संशोधन किए गए हैं:

कैपिटल मार्केट सेगमेंट, कोमोडिटी डेरिवेटिव्स सेगमेंट, फ्यूचर्स एंड ऑपशन्स सेगमेंट एवं करेंसी डेरिवेटिव्स सेगमेंट में लागू एनसीएल नियमावली के "अध्याय VI; पंजीकरण" में वर्तमान उपनियम संख्या 3 के बाद निम्नलिखित नए अनुच्छेदों को नए उपनियम अर्थात् उपनियम 4 और उपनियम 5 के रूप में जोड़ा गया है:-

उद्धरण चिह्न शुरू

(4) एक अचूककर्ता क्लीयरिंग मेम्बर एनसीएल उपनियमावली के अध्याय ग् के उपनियम 8 की व्याख्या 2 में निर्दिष्ट परिस्थितियों में क्लीयरिंग कॉर्पोरेशन की मांग के अनुसार कोर सेटलमेंट गारंटी फंड में अतिरिक्त योगदान के बाद 30 दिन के अवधि के अंदर बिना शर्त त्यागपत्र दे सकता है। इस प्रकार के त्यागपत्र के मामलों में उक्त अनुच्छेद (2) के प्रावधान लागू नहीं होंगे।

(5) उक्त अनुच्छेद (4) के अंतर्गत त्यागपत्र निम्न शर्तों के पूर्ण करने के अधीन होगा:

- क) अचूककर्ता (नॉन-डिफॉल्टिंग) क्लीयरिंग सदस्य ने बकाया पॉजिशन को बंद/निपटारा कर दिया है
- ख) अचूककर्ता क्लीयरिंग सदस्य ने क्लीयरिंग कॉर्पोरेशन की अतिरिक्त टोपित योगदान का भुगतान कर दिया है
- ग) अचूककर्ता क्लीयरिंग सदस्य ने सेबी को बकाया शुल्कों का भुगतान कर दिया है

उद्धरण चिह्न बंद

एनएसई क्लीयरिंग लिमिटेड के लिए

चिराग एस. नगडा
कम्पनी सचिव

टिप्पणी: हिन्दी पाठ में भिन्नता की स्थिति में अंग्रेजी पाठ अभिभावी होगा।

नैशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड

पंजीकृत कार्यालय: एक्सचेंज प्लाजा, प्लॉट नं. सी-1, ब्लॉक जी, बांद्रा-कुर्ला कॉम्पलेक्स, बांद्रा (ईस्ट), मुम्बई 400051

नैशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड (एनएसईआईएल) की उपनियमावली में निम्नलिखित संशोधन किए जाते हैं:

एनएसईआईएल उपनियमावली

1. परिभाषा

परिभाषा के अध्याय में निम्नलिखित जोड़ा जाना है:

अधिकृत व्यक्ति- कोई भी व्यक्ति-व्यक्ति, साझेदारी फर्म, एलएलपी या कॉर्पोरेट निकाय-जिसे एक स्टॉक ब्रोकर द्वारा इस प्रकार से नियुक्त किया गया है (जिसमें कारोबारी सदस्य शामिल है) और जो स्टॉक ब्रोकर के एक एजेंट के रूप में एक स्टॉक एक्सचेंज के कारोबार मंच को उपलब्ध करता है।

2. अध्याय V—कारोबार खण्ड

2(i) एक करोबारी सदस्य उस सब—ब्रोकर के साथ करोबार नहीं करेगा जो सेबी के साथ पंजीकृत नहीं है और न ही इसके पंजीकृत कार्यालय, भाखा कार्यालय और इसके पंजीकृत सब—ब्रोकर के कार्यालयों के अलावा किसी अन्य स्थान पर इसके कारोबार टर्मिनल के संचालन को अनुमति नहीं देगा।

संशोधित प्रावधान

हटाए गए

3 अध्याय XI—मध्यस्थता

i परिभाषा

निम्नलिखित संशोधन प्रस्तावित है

वर्तमान प्रावधान

अनुमतिप्राप्त दावा मूल्य का अर्थ होगा वह दावा मूल्य जो निवेशक शिकायत निवारण समीति या पैनल द्वारा निर्धारित किए गए अनुसार कंस्टिट्यूट को स्वीकृत है और जिसे निर्देशों या आदेश में दर्ज किया जाता है।

संशोधित प्रावधान

अनुमति प्राप्त दावा मूल्य का अर्थ होगा वह दावा मूल्य जिसे जो शिकायत निवारण समीति या पैनल द्वारा निर्धारित किए गए अनुसार कंस्टिट्यूट को स्वीकार्य है और जिसे निर्देशों या आदेश में दर्ज किया जाता है।

ii. सब—ब्रोकर भाब्द को नीचे मोटे अक्षरों में दिए गए शब्दों के साथ बदलना प्रस्तावित है:

(1) (क)— कारोबारी सदस्यों और अधिकृत व्यक्तियों के बीच और अधिकृत व्यक्तियों और अधिकृत व्यक्तियों के ग्राहकों के बीच एक्सचेंज की उपनियमावली, नियमावली और विनियमावली के अधीन किए गए सौदों, अनुबंधों और लेनदेनों के कारण या उनके संबंध में या अन्य किसी आकस्मिक संदर्भ में उत्पन्न या उनके अनुसार या उनकी वैधता, रचना, व्याख्या, शर्तों के संबंध में उत्पन्न या उनके पक्षों के अधिकारों, बाध्यताओं और देनदारियों के संबंध में उत्पन्न सभी दावों, मतभेदों या विवादों को इन नियमावलियों और उपनियमावलियों के प्रावधानों के अनुसार मध्यस्थता के लिए दाखिल किया जाएगा जिसमें किए गए इस प्रकार के सौदों, लेनदेनों और अनुबंधों के संबंध में कोई भी प्रश्न शामिल है, बशर्ते कि अधिकृत व्यक्तियों और अधिकृत व्यक्तियों के ग्राहकों के बीच विवादों में कारोबारी सदस्य को एक अनिवार्य पक्ष के रूप में शामिल किया जाए।

(13)(ख)— उक्त उपनियम 13 क की परिधि के अंतर्गत आने वाले मामलों को छोड़ कर ऐसे मामलों के लिए जहां मध्यस्थता का निर्णय कारोबारी सदस्य और/या इसके अधिकृत व्यक्तियों के विरुद्ध पारित किया जाता है और एक कंस्टिट्यूट के पक्ष में पारित किया जाता है, एक्सचेंज कारोबारी सदस्य के जमाओं या अन्य राशियों में से ब्याज सहित, यदि कोई है, अवार्ड को कारोबारी सदस्य और/या उसके अधिकृत व्यक्ति के प्रतिदावे का निपटारा करने की तिथि तक देय राशि को डेबिट कर सकता है, जो अवार्ड के अंतर्गत अनुमति प्राप्त है, यदि कोई है, और कथित राशि को एक अलग खाते में रख सकता है जिसका लेनदेन नीचे दिए गए उपनियम 13सी में किए गए उल्लेख के अनुसार किया जाना है।

बशर्ते कि, जहां प्रतिभूतियों की डिलिवरी के लिए आदेश दिया जाता है, वहां एक्सचेंज आदेश की तिथि को या उचित प्राधिकार द्वारा निर्धारित अन्य तिथि को एक्सचेंज में इस प्रकार की प्रतिभूतियों के अंतिम मूल्य पर इस प्रकार की प्रतिभूतियों के मूल्य और आदेश के मूल्य की गणना के लिए उचित कारणों का उल्लेख करते हुए विचार कर सकता।

iii. उपनियम 13क में अनुच्छेद (i), (ii), (iii) और (vii) को निम्नप्रकार से विस्थापित किया जाएगा:—

(i) ऐसे मामलों में जहां कारोबारी सदस्य कंस्टिट्यूट के पक्ष में आईजीआरपी के आदेश के विरुद्ध मध्यस्थता के लिए लाने के इरादे को आईजीआरपी के आदेश पर हस्ताक्षर की तिथि के बाद 7 दिन के अंदर एक्सचेंज को सूचित करता है, और स्वीकार्य दावा मूल्य बीस लाख रुपये से अधिक नहीं है तो स्वीकार्य दावे का 50 प्रतिशत या 2.0 लाख रुपये, जो भी कम हो, एक्सचेंज की निवेशक सुरक्षा निधि (आईपीएफ) में से जारी करेगा।

(ii) यदि उक्त अनुच्छेद (i) के मामले में मध्यस्थता का निर्णय कंस्टिट्यूट के पक्ष में होता है या मध्यस्थता के लिए प्रत्यक्ष रूप से दायर मामले में कंस्टिट्यूट के पक्ष में मध्यस्थता निर्णय की राशि बीस लाख रुपये से अधिक नहीं है और सदस्य निर्णय आने के बाद 7 दिन के अंदर इस प्रकार के मध्यस्थता के निर्णय के विरुद्ध याचिका दायर करना चाहता है, तो निर्णय की राशि का 50 प्रतिशत या 3.00 लाख रुपये, जो भी कम हो, स्टॉक एक्सचेंज के आईपीएफ से कंस्टिट्यूट को जारी करेगा। जारी की गई राशि में उक्त अनुच्छेद (i) में कंस्टिट्यूट को पहले से जारी राशि शामिल नहीं होगी।

(iii) यदि मध्यस्थता याचिका का निर्णय कंस्टिट्यूट के पक्ष में होता है और कारोबारी सदस्य निर्णय प्राप्त होने की तिथि के बाद 7 दिन के अंदर मध्यस्थता याचिका के निर्णय को रद्द करवाने के लिए मध्यस्थता एवं सुलह अधिनियम, 1996 की धारा 34 के अंतर्गत न्यायालय में जाने के इरादे को जाहिर करता है, तो मध्यस्थता याचिका में पारित निर्णय में निर्धारित राशि का 75 प्रतिशत या 5.00 लाख रुपये (पांच लाख

रुपये), जो भी कम हो, स्टॉक एक्सचेंज के आईपीएफ से कंस्टिट्यूट को जारी किए जाएंगे। जारी की गई राशि में उक्त अनुच्छेद (i) 3 और (ii) में कंस्टिट्यूट को पहले से जारी राशि शामिल नहीं होगी।

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(vii) (क) यदि कंस्टिट्यूट कार्यवाही के किसी भी स्तर पर हार जाता है और मामले को आगे न ले जाने का निर्णय करता है, तब कंस्टिट्यूट आईपीएफ से जारी लौटाई गई राशि को वापिस एक्सचेंज के आईपीएफ में लौटा देगा। यदि कंस्टिट्यूट आईपीएफ से जारी राशि की भरपाई करने में विफल रहता है तो कंस्टिट्यूट को (कंस्टिट्यूट के पैन के आधार पर) आईपीएफ में राशि को वापिस लौटाने तक किसी भी एक्सचेंज में करोबार करने की अनुमति नहीं होगी। इसके अतिरिक्त कंस्टिट्यूट के डीमैट खाते में जमा प्रतिभूतियों को कंस्टिट्यूट द्वारा आईपीएफ में राशि को लौटाए जाने तक फ्रिज कर दिया जाएगा।

(viii) (ख) उक्त के अतिरिक्त, कंस्टिट्यूट को चूककर्ता घोषित किया जा सकता है यदि वह आईजीआरपी/मध्यस्थता/मध्यस्थता याचिका के आदेश में निर्देश के अनुसार निर्णय की राशि का भुगतान करोबारी सदस्य को नहीं करता है और सेबी द्वारा निर्धारित समय सीमा के अंदर निवारण तंत्र के अगले स्तर पर याचिका दायर नहीं करता है या मध्यस्थता एवं सुलह अधिनियम, 1996 की धारा 34 के अनुसार (मध्यस्थता या याचिका निर्णय के विरुद्ध मामले में) इस प्रकार के आदेश को रद्द करवाने के लिए न्यायालय में याचिका दायर नहीं करता है। इस प्रकार के ग्राहकों का सामान्य डाटाबेस स्टॉक एक्सचेंज/डिपोजिटरीज में सदस्यों/डिपोजिटरी हिस्सेदारों को उपलब्ध होगा।

iv. अनुच्छेद (4), उप-अनुच्छेद (i), (ii) और (iii) को निम्नलिखित के साथ प्रतिस्थापित किया जाएगा:—

(i) ऐसे मामलों में जहां करोबारी सदस्य कंस्टिट्यूट के पक्ष में आईजीआरपी के आदेश के विरुद्ध मध्यस्थता के लिए ले जाने के अपने इरादे को आईजीआरपी के आदेश पर हस्ताक्षर की तिथि के बाद 7 दिन के अंदर एक्सचेंज को सूचित करता है, और दावे का स्वीकार्य मूल्य बीस लाख रुपये से कम है, तो स्वीकार्य दावे के मूल्य का 50 प्रतिशत या 2.00 लाख रुपये, जो भी कम हो, एक्सचेंज के आईपीएफ से कंस्टिट्यूट को जारी किए जाएंगे।

(ii) उक्त अनुच्छेद (i) में उल्लिखित मामले में यदि मध्यस्थता का निर्णय कंस्टिट्यूट के पक्ष में होता है या प्रत्यक्ष रूप से दायर मध्यस्थता याचिका के मामले में कंस्टिट्यूट के पक्ष में मध्यस्थता निर्णय की राशि बीस लाख रुपये से अधिक नहीं है और सदस्य निर्णय की तिथि के बाद 7 दिन के अंदर इस प्रकार के मध्यस्थता निर्णय के विरुद्ध याचिका दायर करना चाहता है, तो निर्णय की राशि का 50 प्रतिशत या 3.00 लाख रुपये की राशि, जो भी कम हो, स्टॉक एक्सचेंज के आईपीएफ से कंस्टिट्यूट को जारी किया जाएगा। जारी की गई राशि में अनुच्छेद (i) में कंस्टिट्यूट को पहले से जारी राशि शामिल नहीं होगी।

(iii) यदि मध्यस्थता याचिका का निर्णय कंस्टिट्यूट के पक्ष में होता है और कारोबारी सदस्य निर्णय प्राप्त होने की तिथि के बाद 7 दिन के अंदर मध्यस्थता याचिका के निर्णय को रद्द करवाने के लिए मध्यस्थता एवं सुलह अधिनियम, 1996 की धारा 34 के अंतर्गत न्यायालय में जाने के इरादे को जाहिर करता है, तो मध्यस्थता याचिका में पारित निर्णय में निर्धारित राशि का 75 प्रतिशत या 5.00 लाख रुपये (पांच लाख रुपये), जो भी कम हो, स्टॉक एक्सचेंज के आईपीएफ से कंस्टिट्यूट को जारी किए जाएंगे। जारी की गई राशि में उक्त अनुच्छेद (i) और (ii) में कंस्टिट्यूट को पहले से जारी राशि शामिल नहीं होगी।

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(viii)

v. उपनियम (17) को निम्नलिखित प्रकार से प्रतिस्थापित किया जाएगा:—

क्षेत्राधिकार

(17) मध्यस्थता और मध्यस्थता याचिका की प्रक्रिया केवाईसी फार्म में कंस्टिट्यूट द्वारा प्रदान किए गए पते के समीपतम क्षेत्रीय केंद्र पर या कंस्टिट्यूट द्वारा करोबारी सदस्य को सूचित बदले हुए पते के समीपतम क्षेत्रीय केंद्र पर पूरा किया जाएगा। इसके अलावा, यदि निर्णय की राशि 50 लाख से अधिक है, तो अगले स्तर की कार्यवाही (मध्यस्थता और मध्यस्थता याचिका) समीपतम मेट्रो शहर में की जाएगी, यदि शामिल किसी भी पक्ष द्वारा ऐसा करने की इच्छा जाहिर की जाती है। मध्यस्थता और मध्यस्थता याचिका, यदि कोई है, के लिए अतिरिक्त लागत को याचिकाकर्ता पक्ष द्वारा वहन किया जाएगा। इसके अतिरिक्त, याचिका मध्यस्थ द्वारा पारित मध्यस्थता याचिका निर्णय के विरुद्ध अधिनियम की धारा 34 के अंतर्गत याचिका को, यदि कोई है, केवाईसी फार्म में कंस्टिट्यूट द्वारा प्रदान किए गए पते या कंस्टिट्यूट द्वारा कारोबारी सदस्य को सूचित बदले हुए पते के समीपतम सक्षम न्यायालय में दायर किया जाएगा।

रेणु भण्डारी
अधिकृत हस्ताक्षरकर्ता

टिप्पणी: हिन्दी पाठ में भिन्नता की स्थिति में अंग्रेजी पाठ अभिभावी होगा।

नैशनल कोमोडिटी क्लियरिंग लिमिटेड, मुंबई
 आकृति कॉर्पोरेट पार्क, 1 ली मंजिल,
 जी. ई. गार्डन के पास, एप.बी.एस. मार्ग
 कांजूर मार्ग (पश्चिम), मुंबई-400 078.
 सीआईएन: U74992MH2006PLC163550

सेबी के अनुमोदन के तहत, नैशनल कोमोडिटी क्लियरिंग लिमिटेड (एनसीसीएल) के आर्टिकल ऑफ एसोसिएशन में निम्नलिखित संशोधन किये जाने प्रस्तावित हैं, प्रस्तावित संशोधन सूचना/सार्वजनिक टिप्पणी/समालोचना के लिए, प्रतिभूति संविदाएं (विनियम) नियम, 1957 के नियम 18 के अनुसार, प्रकाशित किये जा रहे हैं, एनसीसीएल के आर्टिकल ऑफ एसोसिएशन में इन प्रस्तावित संशोधनों पर यदि किसी व्यक्ति की कोई टिप्पणी/प्रेक्षण हों तो वे उसे, इस प्रकाशन की तारीख से पंद्रह दिनों के अंदर एनसीसीएल rahul.poojari@nccl.co.in को पर भिजवाएं। उल्लिखित पंद्रह दिनों के पश्चात प्राप्त प्रेक्षणों पर विचार नहीं किया जायेगा। पंद्रह दिनों की समाप्ति के पश्चात तुरंत ड्राफ्ट विचारार्थ ले लिया जायेगा।

नैशनल कोमोडिटी क्लियरिंग लिमिटेड के आर्टिकल ऑफ एसोसिएशन में प्रस्तावित संशोधन निम्नानुसार होंगे :

(1) आर्टिकल 2 नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में दिए गए शब्दों को शामिल किया जाय:

कंपनी के प्रबंधन के लिए तथा उनके सदस्यों तथा उनके प्रतिनिधियों द्वारा पालन किये जाने के लिए, विनियम, विशेष अधिसूचना द्वारा, उनके विनियमों में निवारण, परिवर्तन या शामिल किये जाने के संदर्भ में, कंपनी के सांविधिक अधिकारों के उपयोग, या कंपनी अधिनियम 2013 द्वारा निर्धारित किये अनुसार के तहत होंगे, वे इन आर्टिकल्स में निहित होंगे, आर्टिकल्स ऑफ एसोसिएशन का कोई प्रावधान, प्रतिभूति संविदाओं (विनियम) अधिनियम, 1956 के प्रावधानों, प्रतिभूति संविदाओं विनियम नियमों 1957, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 या समय-समय पर सेबी द्वारा जारी किन्हीं नियमों या विनियमों तथा परिपत्रों आदि, के तहत होंगे, इतन आर्टिकल्स के प्रावधान, कंपनी अधिनियम 2013 के लागू प्रावधानों तथा उनके अंतर्गत बनाये गये नियमों तथा लागू कानून की किन्हीं अपेक्षाओं के तहत होंगे.

(2) आर्टिकल 3(2)(आई) नीचे दिये अनुसार संशोधित किया जाय, काट दिये गये शब्दों को अटा दिया जाय:

“नियंत्रण” शब्द का अर्थ, भारतीय प्रतिभूति और विनियम बोर्ड (शेयरों तथा टेकओवर्स के पर्याप्त अधिग्रहण) विनियम, 2011 के विनियम 2 के उप विनियम (1) के खंड (इ) के अंतर्गत निर्दिष्ट किये अनुसार होगा.

(3) आर्टिकल 3(2)(एम) नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में दिए गए शब्दों को शामिल किया जाय तथा काट दिये गये शब्द हटा दिये जाय:

“डिबेंचर में, ऋण प्रमाणित करते हुए कंपनी के डिबेंचर स्टॉक, बॉन्ड्स या अन्य कोई लिखत शामिल हैं, चाहे वह कंपनी की आस्तियों पर प्रभार निर्मित करते हों या नहीं, का अर्थ, कंपनी अधिनियम, 2013 की धारा 2(30) के अंतर्गत उसे निर्दिष्ट किये अनुसार होगा.

(4) आर्टिकल 3(2)(वी) नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में दिए गए शब्दों को शामिल किया जाय तथा काट दिये गये शब्द हटा दिये जाय:

“फिट एंड प्रॉपर” का अर्थ एससीआरए 1956, सेबी अधिनियम 1992, डिपॉजिटरी अधिनियम 1996 में निर्धारित मापदंड तथा इस संबंध में, उसके अंतर्गत सेबी द्वारा, जारी कोई नियमों, विनियमों, परिपत्रों, दिशानिर्देशों या निर्देशों के अनुसार, प्रतिभूति संविदाएं (विनियम) (स्टॉक एक्सचेंजों तथा समाशोधन निगमों) विनियम 2018 के अंतर्गत निर्धारित फिट एंड प्रॉपर मापदंड होगा.

(5) आर्टिकल 3(2)(वी) नीचे दिये अनुसार संशोधित किया जाय, तथा काट दिये गये शब्द हटा दिये जाय:

“स्वतंत्र निदेशक” का अर्थ, अधिनियम की धारा 149(6) में परिभाषित व्यक्ति तथा इसमें अन्य कहीं परिभाषित सार्वजनिक हित निदेशक भी शामिल होगा. सार्वजनिक हित निदेश से अभिप्रेत, एक स्वतंत्र निदेशक, एक स्वतंत्र निदेशक, जो प्रतिभूति बाजार में निवेशकों के हित का प्रतिनिधित्व करता है, जिसका, सेबी की राय में, उसकी समाशोधन नियमों में भूमिका के विपरीत कोई साझेदारी/संबंध न हो.

(6) आर्टिकल 3(2)(बीबी) नीचे दिये अनुसार संशोधित किया जाय, तथा काट दिये गये शब्द हटा दिये जाय:

“मुख्य प्रबंधकीय कार्मिक” से अभिप्रेत, एनसीसीएल के विनियम 2(1)(i) के अंतर्गत परिभाषित तथा शामिल किया गया कोई व्यक्ति, कंपनी अधिनियम, 2013 की धारा 2(51) के अंतर्गत परिभाषित मुख्य प्रबंधकीय कार्मिक.

(7) आर्टिकल 3(2)(00) नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में दिए गए शब्दों को शामिल किया जाय तथा काट दिये गये शब्द हटा दिये जाय:

“विदेशी पोर्टफोलियो निवेशक” से अभिप्रेत वही होगा जो सेबी (विदेशी पोर्टफोलियो निवेशक) विनियम 2019 के विनियम 2(1) (j) के अंतर्गत दिया गया है.

(8) आर्टिकल 3(2)(आरआर) नीचे दिये अनुसार संशोधित किया जाय, तथा काट दिये गये शब्द हटा दिये जाय:

“नियामक विभाग” से अभिप्रेत प्रक्रियामय मानदंडों में निहित प्रावधानों के अनुसार (रेग्युलेटरी डिपार्टमेंट) कंपनी का विभाग जिसे नियामक अधिकार और दायित्व दिये गये हैं तथा इसमें सेबी द्वारा विनिर्दिष्ट किये अनुसार विभाग शामिल हैं.

(9) आर्टिकल 3(2)(डब्ल्यू डब्ल्यू) नीचे दिये अनुसार संशोधित किया जाय, बोल्ड में दिए गए शब्दों को शामिल किया जाय तथा काट दिये गये शब्द हटा दिये जाय:

“एसईसीसी विनियम” से अभिप्रेत, प्रतिभूति संविदाएं (विनियम)(स्टॉक एक्सचेंज एवं समाशोधन निगम) विनियम 2018~~2~~ तथा किसी सांविधिक संशोधन या उसके अधिनियमन, और फिलहाल में लागू जारी परिपत्रों और दिशानिर्देशों को शामिल करें.

(10) आर्टिकल 3(2)(सीसीसी) नीचे दिये अनुसार संशोधित किया जाय, बोल्ड में दिए गए शब्दों को शामिल किया जाय तथा काट दिये गये शब्द हटा दिये जाय:

“शेअरधारक निदेशक” का अर्थ वही होगा जो उसे, प्रतिभूति संविदाएं (विनियम) (स्टॉक एक्सचेंज एवं समाशोधन निगम) विनियम 2018 एसईसीसीके विनियमों की धारा 2 (1)(टी अम्स) के अंतर्गत विनिर्दिष्ट किया गया है.

(11) आर्टिकल 10 को निम्नानुसार संशोधित किया जाय, बोल्ड में शब्दों को जोड़ा जाय:

अधिनियम के प्रावधानों तथा इन आर्टिकल्स के तहत, फिलहाल के लिए, कंपनी की पूंजी में शेअर्स (कंपनी की बढ़ हुई पूंजी का एक हिस्सा निर्मित करनेवाले किन्ही शेयरों सहित) निदेशकों के नियंत्रण में होंगे, जो उन्हें, ऐसे किन्ही व्यक्तियों को, ऐसी मात्रा में तथा ऐसी शर्तों तथा निबंधनों पर तथा या तो प्रिमियम पर या सममूल्य पर, उनके द्वारा समय-समय पर उचित समझे जानेवाले समय पर, या अन्यथा समझे जानेवाले समय पर, जारी आबंटित कर सकता है या अन्यथा उसका या उसमेंसे किसी का निपटान कर सकता है, तथा जनरल मीटिंग में कंपनी की मंजूरी से, किसी व्यक्ति या व्यक्तियों को, ऐसे समय के दौरान निदेशक द्वारा उचित समझे जानेवाले कन्सीडरेशन के लिए किन्ही शेयरों को सममूल्य या प्रिमियम पर कॉल करने का विकल्प या अधिकार दे सकता है, तथा किसी परिसंपत्ती की बिक्री या अंतरण के लिए पूर्ण या आंशिक भुगतान पर या कंपनी के उनके कारोबार के आचरण में दी गयी किन्ही सेवाओं के लिए कंपनी की पूंजी में शेयरों को जारी तथा आबंटित कर सकता है, और ऐसे आबंटित किन्ही शेयरों को पूर्ण प्रदत्त शेयरों की तरह जारी कर सकता है, और ऐसे जारी किये जाने को पूर्ण प्रदत्त शेयर माना जायेगा, बशर्ते कि, शेयरों को कॉल करने का विकल्प या अधिकार किसी व्यक्ति या व्यक्तियों को, जनरल मीटिंग में कंपनी की मंजूरी के बिना नहीं दिया जायेगा.

(12) आर्टिकल 3(डी) को निम्नानुसार संशोधित किया जा, बोल्ड में शब्दों को जोड़ा जाय:

एसईसीसी विनियमों के प्रावधानों के अंतर्गत अभिज्ञात उसके निदेशकों और/या प्रबंधकीय कार्मिक को कंपनी, ऐसे ईएसओपी योजना(ओं) के अंतर्गत कोई स्वेट इक्विटी या विकल्प को मंजूरी नहीं देगी.

(13) आर्टिकल 23 को निम्नानुसार संशोधित किया जाय, बोल्ड में शब्दों को जोड़ा जाय:

बोर्ड, समय समय पर, उनके शेयर्स पर अप्रदत्त धन (शेअर्स के नाममात्र मूल्य के कारण या प्रिमियम के रूप में) के संबंध में सदस्यों को कॉल्स कर सकता है, न कि, निश्चित समय पर देय की गयी आबंटन की शर्तों द्वारा. बशर्ते कि, कोई कॉल शेयर के नाममात्र मूल्य के एक चौथाई से अधिक न हो या अंतिम पूर्ववर्ती कॉल के भुगतान के लिए निश्चित दिनांक से एक माह से कम पर देय हो.

इसके अलावा, बशर्ते कि, बोर्ड, जनरल मीटिंग में कंपनी की मंजूरी के साथ के अलावा, किसी व्यक्ति को शेयर्स पर कॉल करने का विकल्प या अधिकार नहीं देगा.

प्रत्येक सदस्य, समय या समय और भुगतान के स्थान का उल्लेख करते हुए कम से कम चौदह दिनों का नोटिस प्राप्त करने को तहत, उसके शेयर्स पर कॉल की गयी रकम का, निर्धारित समय या समय एवं स्थान पर, कंपनी को भुगतान करेगा.

एक कॉल उस समय किया गया माना जायेगा जब कॉल को प्राधिकृत करते हुए बोर्ड का संकल्प पारित किया गया था और किस्तों में भुगतान किया जाना अपेक्षित होगा.

शेयर का संयुक्त धारक संयुक्त तथा अलग-अलग रूप से वहां कॉलस के संबंध में सभी कॉल्स के भुगतान के लिए जिम्मेदार होगा.

(14) आर्टिकल 47 नीचे दिये अनुसार संशोधित किया जाय, बोल्ड में दिए गए शब्दों को शामिल किया जाय तथा काट दिये गये शब्द हटा दिये जाय:

(i) इन आर्टिकल्स में जो कुछ भी निहित हो, के बावजूद कोई भी व्यक्ति, प्रत्यक्ष या अप्रत्यक्ष रूप से, इक्विटी शेअर्स को या समाशोधन निगम के वोटिंग अधिकार को प्राप्त या धारण नहीं करेगा, जब तक कि, वह सेबी द्वारा निर्धारित मापदंड के अनुसार फिट और उचित न हो.

(ii) कोई भी व्यक्ति, जो प्रत्यक्ष या अप्रत्यक्ष रूप से अकेले या दूसरे व्यक्तियों के साथ सम्मिलित होकर कार्य करते हुए, इक्विटी शेअर्स को या समाशोधन निगम के वोटिंग अधिकार को प्राप्त या धारण करता है, तो वह दो से पांच प्रतिशत की रेंज में किन्ही वोटिंग अधिकारों के उपयोग का हकदार हो जाता है, समाशोधन निगम की प्रदत्त इक्विटी शेयर कैपिटल दो प्रतिशत से अधिक होने पर वह ऐसे अधिग्रहण से पंद्रह दिनों के अंदर सेबी से अनुमोदन की मांग करेगा.

(iii) आर्टिकल 48(ii) एवं (iii) ~~उपर उप आर्टिकल (iii)~~ (समाशोधन निगम में शेयर्स के अंतर्गत प्रदत्त इक्विटी शेअर कैपिटल पांच प्रतिशत से ज्यादा प्राप्त या धारण करने के लिए पात्र कोई व्यक्ति, समाशोधन निगम के पांच प्रतिशत से अधिक प्रदत्त इक्विटी शेअर कैपिटल को प्राप्त या धारण कर सकता है, यदि उसने सेबी से पूर्व अनुमोदन प्राप्त किया हो.

(iv) यदि उपर उप आर्टिकल (ii) के अंतर्गत सेबी द्वारा किसी व्यक्ति को अनुमोदन न दिया गया हो तो, ऐसा व्यक्ति, समाशोधन निगम में अपनी समग्र शेयाधारिता, यदि कोई, से तुरंत वंचित हो जायेगा.

(v) लागू कानून के तहत, यदि कोई व्यक्ति 'फिट एंड प्रॉपर पर्सन' नहीं रहता या सेबी द्वारा, ऐसी घोषणा की जाती है, वह व्यक्ति समाशोधन निगम समाशोधन निगम में अपनी समग्र शेयरधारिता से तुरंत वंचित हो जायेगा, इसके अलावा, शेयरों का लंबित डिवेस्टमेंट, समाशोधन निगम में ऐसे व्यक्ति का वोटिंग अधिकार, समाशोधन निगम द्वारा आस्थगित/रोक कर रखा जायेगा, ऐसे व्यक्ति की शेयरधारिता को तुरंत डिवेस्ट किया जाना सुनिश्चित करने के लिए, समाशोधन निगम, उचित समझो जानेवाले आवश्यक कदम उठायेगा।

इन आर्टिकल्स के प्रयोजन के लिए, एक व्यक्ति, प्रतिभूति संविदाएं (विनियम)(स्टॉक एक्सचेंज एवं समाशोधन निगम) विनियम 2018 के अंतर्गत निर्धारित मापदंड के अनुसार, फिट एंड प्रॉपर व्यक्ति माना जायेगा. (लागू तथा समय समय पर संशोधित किये और इसमें उसका अधिनियमन शामिल होगा). समय समय पर संशोधित सेबी के निदेश, जो वर्तमान में निम्नानुसार हैं:

(ए) ऐसे व्यक्ति की एक सामान्य प्रतिष्ठा और ईमानदारी का रिकॉर्ड होता है, शामिल मगर सीमित नहीं

(i) — बित्तीय ईमानदारी/सत्यनिष्ठा

(ii) — अच्छी साख और चरित्र, और

(iii) — सत्यवादिता;

(बी) — ऐसे व्यक्ति में निम्नलिखित अयोग्यताएं नहीं हैं

(i) — वह व्यक्ति या उसके पूर्णकालिक निदेशकों या प्रबंधकीय भागीदारों को, नैतिक भ्रष्टाचार या किसी आर्थिक अपराध या प्रतिभूति कानून के विरुद्ध किसी अपराध के लिए किसी न्यायालय द्वारा अपराधी नहीं ठहराया गया;

(ii) — व्यक्ति के खिलाफ समापन का आदेश पारित नहीं किया गया;

(iii) — वह व्यक्ति या उसके पूर्णकालिक निदेशकों या प्रबंधकीय भागीदारों को दिवालिया घोषित नहीं किया गया तथा उसे डिस्चार्ज नहीं किया गया।

(iv) — सेबी या अन्य किसी नियामक प्राधिकारी द्वारा, उस व्यक्ति या उसके किसी पूर्णकालिक निदेशकों या प्रबंधकीय भागीदारों को कोमोडिटी डेरिवेटिव्स या प्रतिभूतियों में डिलिंग करने से या कोमोडिटी डेरिवेटिव्स या प्रतिभूति बाजार में पहुंचने से रोक, प्रतिबंधित या बहिष्कृत (डिबार) करने के लिए कोई आदेश पारित नहीं किया गया, तथा आदेश में निर्धारित अवधि की समाप्ति की तारीख से तीन वर्षों की अवधि बीत नहीं गयी है;

(v) — सेबी या अन्य किसी नियामक प्राधिकारी द्वारा, उस व्यक्ति या उसके किसी पूर्णकालिक निदेशकों या प्रबंधकीय भागीदारों के विरुद्ध, जिसका प्रतिभूति बाजार में अप्रामाणिक आचरण हो, अन्य कोई आदेश पारित नहीं किया गया तथा आदेश की तारीख से तीन वर्षों की अवधि बीत नहीं गयी है;

(vi) — कोर्ट ऑफ कन्डम्ट जुरिसडिक्शन द्वारा, व्यक्ति को विक्षिप्त दिमाग का पाया गया हो, तथा जिसका जांच परिणाम लागू हो; तथा

(vii) — वह व्यक्ति आर्थिक रूप से सक्षम/सुस्थित न हो।

इस उठने वाले प्रश्न पर, कि, क्या एक व्यक्ति फिट एंड प्रॉपर है या नहीं, इस संबंध में सेबी का निर्णय अंतिम होगा।

(15) उसके शीर्षकों सहित आर्टिकल 48 को निम्नानुसार संशोधित किया जाय, बोल्ट में शब्दों को शामिल किया जाय तथा काट दिये गये शब्दों को हटा दिया जाय.

मान्यताप्राप्त समाशोधन निगम में शेयरधारिता

(i) मान्यताप्राप्त समाशोधन निगम की प्रदत्त इक्विटी कैपिटल का इक्यावन प्रतिशत एक या अधिक मान्यताप्राप्त एक्सचेंज जों द्वारा रखा जायेगा:

कोई भी मान्यताप्राप्त स्टॉक एक्सचेंज, प्रत्यक्ष या अप्रत्यक्ष रूप से अकेल या संयुक्त रूप से कार्य करनेवाले व्यक्तियों द्वारा, मान्यताप्राप्त समाशोधन निगम में, पंद्रह प्रतिशत से अधिक की प्रदत्त इक्विटी शेयर कैपिटल प्राप्त या धारण नहीं की जायेगी.

(ii) उपर उप आर्टिकल (i) में अनुमत किये अनुसार, मान्यताप्राप्त स्टॉक एक्सचेंज के अलावा, भारत के निवासी किसी व्यक्ति द्वारा, एक समय में, प्रत्यक्ष या अप्रत्यक्ष रूप से, अकेले अकेल या संयुक्त रूप से कार्य करनेवाले व्यक्तियों द्वारा, मान्यताप्राप्त समाशोधन निगम में, पांच प्रतिशत से अधिक की प्रदत्त इक्विटी शेयर कैपिटल प्राप्त या धारण नहीं की जायेगी.

जैसे कि वह—

(i) एक डिपॉजिटरी हो

(ii) एक बैंकिंग कंपनी हो

(iii) एक बीमा कंपनी हो

(iv) एक सार्वजनिक वित्तीय संस्था ही

वह, प्रत्यक्ष या अप्रत्यक्ष रूप से, अकेले अकेल या संयुक्त रूप से कार्य करनेवाले व्यक्तियों द्वारा, मान्यताप्राप्त समाशोधन निगम में, पंद्रह प्रतिशत से अधिक की प्रदत्त इक्विटी शेयर कैपिटल प्राप्त या धारण कर सकते हैं.

(iii) भारत के बाहर रहनेवाले किसी व्यक्ति द्वारा, प्रत्यक्ष या अप्रत्यक्ष रूप से, अकेले अकेल या संयुक्त रूप से कार्य करनेवाले व्यक्तियों द्वारा, ~~मान्यताप्राप्त~~ समाशोधन निगम में, पांच प्रतिशत से अधिक की प्रदत्त इक्विटी शेयर कैपिटल प्राप्त या धारण नहीं की जायेगी।

जैसे कि वह—

- (i) एक विदेशी स्टॉक एक्सचेंज हो;
- (ii) एक विदेशी डिपॉजिटरी हो
- (iii) एक विदेशी बैंकिंग कंपनी हो;
- (iv) एक सार्वजनिक वित्तीय संस्था हो;
- (v) एक विदेशी कोमोडिटी एक्सचेंज और
- (vi) केंद्र सरकार द्वारा अनुमोदित एक द्विपक्षीय या विविधपक्षीय वित्तीय संस्था हो,

वे, प्रत्यक्ष या अप्रत्यक्ष रूप से अकेल या संयुक्त रूप से कार्य करनेवाले व्यक्तियों द्वारा समाशोधन निगम की पंद्रह प्रतिशत से अधिक की प्रदत्त इक्विटी शेयर कैपिटल प्राप्त या धारण कर सकते हैं।

(iv) समय समय पर केंद्र सरकार द्वारा अन्यथा निर्धारित सीमाओं के तहत, भारत के बाहर रहनेवाले व्यक्तियों की ~~मान्यताप्राप्त~~ समाशोधन निगम की प्रदत्त इक्विटी शेयर कैपिटल में, संयुक्त धारिता, किसी भी समय, उसकी कुल प्रदत्त इक्विटी शेयर कैपिटल के उनचालीस प्रतिशत से अधिक नहीं होगी।

(v) समाशोधन निगम अनुश्रवण करेंगे, तथा इस संबंध में सेबी द्वारा जारी अपेक्षाओं तथा दिशानिर्देशों का सभी समय अनुपालन सुनिश्चित करेंगे।

(vi)(i) ~~मान्यताप्राप्त~~ समाशोधन निगम में किसी भी व्यक्ति की शेयर धारिता या बोटिंग अधिकार, इन आर्टिकल्स में निर्धारित सीमाओं से अधिक नहीं होगा।

(ii) इसके अलावा, इन आर्टिकल्स में उल्लिखित किये अनुसार, शेयरधारिता में, प्रत्यक्ष या अप्रत्यक्ष रूप से स्वामित्व या नियंत्रण में कोई लिखत, जो किसी भावी दिनांक को इक्विटी का हकदार या इक्विटी के ऊपर अधिकार शामिल है।

(vii) एससीआए के प्रावधानों, एसईसीसी नियमों तथा विनियमों के प्रति बिना किसी पूर्वाग्रह के, समाशोधन निगम, उसमें निम्नलिखित को शामिल करते हुए, तिमाही आधार पर, प्रत्येक तिमाही समाप्ति से पंद्रह दिनों के अंदर, सेबी द्वारा निर्धारित प्रारूप में, शेयरधारिता पॉटर्न बतलायेंगे (डिस्क्लोज करेंगे)–

(ए) दस बड़े शेयरधारकों के नाम उनके द्वारा धारित शेयरों की संख्या तथा प्रतिशत के साथ;

(बी) एसईसीसी विनियमों के विनियम 17 तथा 18 के अंतर्गत आनेवाले शेयरधारकों, जिन्होंने उस तिमाही में शेयर्स प्राप्त किये हों, उनके नाम।

समाशोधन निगम, सभी समय, पूर्ववर्ती अपेक्षाओं का अनुश्रवण तथा अनुपालन सुनिश्चित करेंगे।

(viii) लागू अन्य कानूनों के अंतर्गत अपेक्षाओं के अतिरिक्त, मान्यताप्राप्त समाशोधन निगम, कम कसे कम दस आठ वर्षों के लिए, उनकी प्रतिभूतियों के जारी या अंतरण किये जाने से संबंधित, सभी बहियों, रजिस्ट्रों, अन्य दस्तावेजों तथा रिकॉर्ड्स का रखरखाव करेंगे।

(16) आर्टिकल 73 को निम्नानुसार संशोधित किया जाए, बोल्ट में शब्दों को जोड़ा जाए:

नये शेयर्स, (उपर उल्लेख किये अनुसार बड़े हुए कैपिटल से परिणत) अधिनियम तथा इन आर्टिकल्स के प्रावधानों के तहत होंगे, एवं कंपनी द्वारा जनरल मीटिंग में या कंपनी द्वारा या निदेशकों द्वारा, इन आर्टिकल्स तथा निम्न प्रावधानों के अनुरूप उनके अधिकारों के अंतर्गत, जारी या निपटान किये जायेंगे:—

1.(ए) ऐसे नये शेयर्स उन व्यक्तियों को प्रस्तावित किये जायेंगे, जो, प्रस्ताव की तारीख को, उस तारीख पर उन शेयरों पर जितना कैपिटल पेइड— अप की परिस्थितिनुसार गुंजाइश हो, के नजदीकी अनुपात में, कंपनी के इक्विटी शेयर धारक हों।

(बी) उक्त प्रस्ताव, प्रस्तावित शेयरों की संख्या तथा प्रस्ताव की तारीख से समय सीमा बांधते हुए, जो पंद्रह दिनों से कम न हो, का उल्लेख करते हुए, नोटिस द्वारा किया जायेगा, जिसके दौरान, यदि स्वीकार न किया जाय, तो उसे अस्वीकार किया गया माना जायेगा।

(सी) उपरोलिखित प्रस्ताव में, संबंधित व्यक्ति द्वारा उसे प्रस्तावित शेयरों को या उसमें से किन्ही को किसी अन्य व्यक्ति के पक्ष में त्याग देने के उसके अधिकार को शामिल किया गया माना जायेगा तथा खंड (बी) में उल्लिखित नोटिस में इस अधिकार का व्यक्तव्य निहित होगा;

(डी) उक्त नोटिस में निर्दिष्ट समय समाप्ति के पश्चात या जिस व्यक्ति को ऐसी नोटिस दी गयी हो से पिछली सूचना प्राप्त होनेपर कि, जिसे प्रस्ताव दिया गया हो उस व्यक्ति द्वारा प्रस्तावित शेयरों को अस्वीकार किया जाता है, बोर्ड के निदेशकों द्वारा, उन्हें कंपनी को अधिक से अधिक लाभ हो सके उस तरह से उनका निपटान किया जा सकता है।

2. उप आर्टिकल(1) के खंड (सी) में किसी भी बात को मान लिया नहीं जायेगा:—

(ए) उस समय को बढ़ाना जिसके अंदर प्रस्ताव स्वीकार किया जाना चाहिए; या

(बी) किया व्यक्ति को दोबारा परित्याग के अधिकार का, इस आधार पर उपयोग करने देना, कि पहले जिस व्यक्ति के पक्ष में परित्याग किया गया था उसके द्वारा परित्याग में समाविष्ट शेयरों को लेने से इंकार कर दिया हो।

3. उप आर्टिकल (1) में निहित किसी भी बात के बावजूद, उपरोल्लिखित शेयरों को किन्हीं व्यक्तियों (चाहे इन व्यक्तियों में, यहां के उप आर्टिकल (1) के खंड (ए) में उल्लेख किये गये व्यक्तियों को शामिल किया गया हो या नहीं) को किसी भी तरह से प्रस्तावित किया जायेगा।

ए) यदि उस आशय का एक संकल्प कंपनी द्वारा जनरल मीटिंग में पारित किया जाय, या

बी) जहां ऐसा संकल्प पारित न किया जाय, यदि डाले गये वोट्स (चाहे वह भो ऑफ हैंड्स हो या चुनाव के आधार पर, जैसा भी मामला हो) उस जनरल मीटिंग में प्रस्तुत किये गये संकल्प में निहित प्रस्ताव के पक्ष में (अध्यक्ष के वोट डाले जाने सहित, यदि कोई) सदस्य द्वारा, जिसे ऐसा करने का हक हो, व्यक्तिगत तौरपर, या जहां प्रॉक्सी अनुमत हो, प्रॉक्सी द्वारा, वोट करता है, और वोट्स बढ़ते हैं, यदि कोई, सदस्यों, जो हकदार हो और जिनके द्वारा प्रस्ताव के विरुद्ध वोट किया जाता है, तथा केंद्र सरकार, बोर्ड के निदेशकोंद्वारा, उनकी तरफ से किये गये आवेदन, कि, वह प्रस्ताव कंपनी के लिए लाभकारी है, संतुष्ट हो।

4. इस आर्टिकल की कोई भी बात, कंपनी द्वारा जारी डिबेंचरों या एकत्र किये गये लोन्स से जुड़े ऑप्शन के उपयोग द्वारा कंपनी की बढ़ी हुई अंशदानित पूंजी को लागू नहीं होगी :

(i) ऐसे डिबेंचर्स या लोन्स को कंपनी में शेअर्स में बदलना; या

(i) कंपनी में शेअर्स के लिए अंशदान करना।

बशर्ते कि, ऐसे डिबेंचरों को जारी करने की शर्तों या ऐसे लोन्स की शर्तों में यह ऐसे ऑप्शन के लिए कोई भात शामिल की गयी हो:

(ए) या तो डिबेंचरों को जारी करने या लोन्स एकत्र करने से पहले केंद्र सरकार ने अनुमोदित किया हो या वह इस संबंध में सरकार द्वारा बनाये गये नियमों, यदि कोई, के समर्थन में हों; और

(बी) सरकार से डिबेंचरों को जारी करने या लोन्स प्राप्त करने के मामले में या इस संबंध में केंद्र सरकार द्वारा निर्दिष्ट किसी संस्था को भी, लोन्स जारी करने से पहले कंपनी द्वारा जनरल मीटिंग में विशेष संकल्प द्वारा पारित किया गया हो।

(17) आर्टिकल 82 को नीचे दिये अनुसार संशोधित किया जाय, बोल्ड में शब्दों को जोड़ा जाय:

कोई भी बांड्स, डिबेंचर्स, डिबेंचर स्टॉक्स या अन्य प्रतिभूतियां छूट या प्रिमियम पर या अन्य किसी तरह जारी किये जाय, वे इस भात पर जारी किये जाय कि, वे किसी भी मुद्रा में शेयरों में रूपांतरित किये जा सकेंगे तथा कंपनी की जनरल मीटिंग में, शेयरों के विमोचन, सुपुर्दगी, आहरण, आबंटन के विशेष अधिकारों के साथ, बैठक में उपस्थित होंगे (परंतु वोट नहीं करेंगे) की निदेशक के रूप में या अन्यथा नियुक्ति की जायेगी, शेयरों में रूपांतरण या शेयरों के आबंटन के अधिकार के साथ डिबेंचरों को, केवल विशेष संकल्प द्वारा कंपनी की जनरल मीटिंग में जारी किया जा सकेगा।

(18) आर्टिकल 126 को नीचे दिये अनुसार संशोधित किया जाय, बोल्ड में शब्दों को जोड़ा जाय, काट दिए गये शब्दों को हटा दिया जाय:

(i) सार्वजनिक हित निदेशक;

(ii) भोयनधारक निदेशक; तथा

(iii) प्रबंध निदेशक

इन आर्टिकल्स, इसईसीसी विनियमों, में निहित किसी बात के बावजूद, बोर्ड के गठन, निदेशकों की नियुक्ति के तरीके से संबंधित सामान्य अपेक्षाएं, प्रमुख प्रबंधकीय कार्मि, निदेशकों तथा प्रमुख प्रबंधकीय कार्मिकों के लिए आचार संहिता तथा कंपनी के अधिशासन से संबंधित, अन्य प्रासंगिक तथा परिणात्मक मामलों के संबंध में समय-समय पर सेबी द्वारा जारी निर्देशों तथा परिपत्रों का पालन किया जायेगा।

निदेशकों के चुनाव, नियुक्ति, कार्य अवधि, त्यागपत्र, वेकेशन आदि को कंपनी अधिनियम, 2013, एसईसीसी विनियमों, सेबी द्वारा जारी निर्देशों तथा समय समय पर जारी परिपत्रों द्वारा भासित किया जायेगा।

(1) एक ~~मान्यताप्रप्त~~ समाशोधन निगम के बोर्ड के निदेशकों पर सार्वजनिक हित निदेशकों की संख्या ~~दो तिहाई से कम नहीं होगी,~~ तथा शेयरधारक निदेशकों की संख्या ~~बोर्ड से एक तिहाई से अधिक नहीं होगी~~ से कम नहीं होगी।

(2) प्रबंध निदेशक, ~~बोर्ड के निदेशक पर पदेन निदेशक होगा तथा वह सार्वजनिक हित निदेशक में शामिल नहीं किया जायेगा~~ या शेयरधारक निदेशकों की श्रेणी में शामिल किया जायेगा प्रबंध निदेशक के अलावा समाशोधन निगम का कोई भी कर्मचारी, बोर्ड के निदेशक के रूप में नियुक्त किया जा सकता है, तथा ऐसा निदेशक शेयरधारक निदेशक माना जायेगा, ~~बोर्ड के निदेशक की बैठक में कोरम पूरा करने के लिए कम से कम एक सार्वजनिक हित निदेशक उपस्थित होगा~~ एक बैठक में बैठक का कोरम गठित करने के लिए, सार्वजनिक हित निदेशकों की संख्या, शेयर धारक निदेशकों की संख्या से कम नहीं होगी।

- (3) निदेशकों के रूप में नियुक्त किये जानेवाले व्यक्तियों द्वारा, सेबी द्वारा निर्धारित फिट एंड प्रॉपर पर्सन मापदंड को पूरा करना होगा।
- (4) किसी भी स्टॉक एक्सचेंज/समाशोधन निगम जिसके वे सदस्य हों, कोई भी ट्रेडिंग सदस्य या समाशोधन सदस्य, या उनके सहायक या एजेंट्स समाशोधन निगम के बोर्ड के निदेशकों में नहीं होंगे।
- (5) किसी भी विदेशी पोर्टफोलियो निवेशक का समाशोधन निगम के बोर्ड के निदेशकों में कोई प्रतिनिधित्व नहीं होगा।
- (19) आर्टिकल 127(ए)1 को नीचे दिये अनुसार संशोधित किया जाय, बोल्ड में शब्दों को जोड़ा जाय, काट गये शब्दों को हटा दिया जाय:

समाशोधन निगम को बोर्ड के निदेशकों में सार्वजनिक हित निदेशक, सेबी द्वारा नियुक्त किये जायेंगे, ~~सार्वजनिक हित निदेशक तीन वर्षों की एक निश्चित अवधि के लिए नामांकित किये जायेंगे या जैसा सेबी द्वारा अनुमोदित किया जाय, ऐसी बढ़ाई गयी अवधि के लिए नामांकित किये जायेंगे~~ सार्वजनिक हित निदेशक तीन वर्षों की टर्म के लिए नामांकित किये जायेंगे, जिसे, सेबी द्वारा निर्धारित तरीके से उनकी निष्पादन समीक्षा के तहत, अगले तीन वर्षों की अवधि (टर्म) तक बढ़ाया जा सकता है। मान्यताप्राप्त स्टॉक एक्सचेंज या मान्यताप्राप्त समाशोधन निगम में अवधि (टर्म) की समाप्ति पर, एक सार्वजनिक हित निदेशक की एस वर्ष की कूलिंग ऑफ अवधि के पश्चात ही, अगले तीन वर्षों की अवधि के लिए, दूसरे मान्यताप्राप्त स्टॉक एक्सचेंज या समाशोधन निगम या डिपॉजिटरी में नामांकित किया जा सकता है, यदि सार्वजनिक हित निदेशक की नियुक्ति या ओहदे के मामले में, उसकी भूमिका के साथ कोई निदेशक की नियुक्ती या ओहदे के मामले में, उसकी भूमिका के साथ कोई विरोधाभास खड़ा होने पर, सेबी का निर्णय अंतिम होगा, सार्वजनिक हित निदेशकों को, कंपनी अधिनियम 2013 में निर्धारित किये अनुसार केवल सिटिंग फीस ही दी जायेगी।

- (20) आर्टिकल 127(ए)2 को नीचे दिये अनुसार संशोधित किया जाय, बोल्ड में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय:

समाशोधन निगम यह सुनिश्चित करेंगे कि, सार्वजनिक हित निदेशक विविध कार्यक्षेत्रों से चुने जाय, किसी व्यक्ति विशेष को सार्वजनिक हित निदेशक के रूप में प्रस्तावित करने का निर्णय लेते समय, समाशोधन निगम द्वारा निम्नलिखित तथ्यों को भी ध्यान में लिया जायेगा :

- ए) कानून, वित्त, अकाउंटिंग, अर्थशास्त्र, प्रबंधन, प्रशासन या वित्तीय बाजार से संबंधित अन्य किसी क्षेत्र में भौक्षणिक योग्यता।
- बी) लेखापरीक्षा समिति में जिन्हें शामिल किया जाय, उनमें से कम से कम एक व्यक्ति के पास वित्त/अकाउंटिंग का अनुभव और पृष्ठभूमि होनी चाहिए।
- सी) व्यक्ति की वर्तमान में किसी प्रतिष्ठित संगठन में विश्वसनीय तथा जिम्मेदार ओहदे पर कार्यरत हो या उसने किसी ऐसे संगठन के पद से सेवानिवृत्ति ली हो।
- डी) व्यक्ति जो कंपनी के वाणिज्यिक करारों तथा वित्तीय मामलों में दिलचस्पी लेने वाला हो, को वर्जित किया जायेगा, और जो बाजार के नियमित ट्रेडर्स/स्पेक्युलेटर्स हों या ~~एक्सचेंज~~ समाशोधन निगम की प्रवर्तक हस्ती के बोर्ड में निदेशक हो, को वर्जित किया जायेगा।

- (21) आर्टिकल 127(ए) 4 को नीचे दिये अनुसार संशोधित किया जाय, बोल्ड में शब्दों को जोड़ा जाय:

सार्वजनिक हित निदेशक, एक साथ समाशोधन निगम की सहायक कंपनी के बोर्ड पर या किसी अन्य एक्सचेंज/समाशोधन निगम/डिपॉजिटरी या उसकी सहायक कंपनी के बोर्ड पर नहीं हे सकता।

- (22) आर्टिकल 127(ए)6 को नीचे दिये अनुसार संशोधित किया जाय, बोल्ड में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय :

सार्वजनिक हित निदेशक की टर्म को बढ़ाने या नये सार्वजनिक हित निदेशक की नियुक्ति के मामले में, समाशोधन निगम टर्म की समाप्ति से दो माह पहले सेबी को आवेदन करेंगे। अन्य निर्धारित अपेक्षाओं के अतिरिक्त, सार्वजनिक हित निदेशक की टर्म को बढ़ाने के लिए आवेदन के साथ, विभिन्न अनिवार्य समितियों तथा समाशोधन निगम की बोर्ड की बैठक में उसकी उपस्थिती का ब्यौरा ~~कुल्लिम ऑफ अवधि के अधित्याग के कारण~~, निष्पादन समीक्षा तथा अवधि बढ़ाएं जाने के लिए कारणों की जानकारी भी भेजी जायेगी।

- (23) वर्तमान आर्टिकल 127(ए)8 के पश्चात निम्नलिखित नया आर्टिकल 127 (ए)9 जोड़ा जाय:

एक व्यक्ति, मान्यताप्राप्त स्टॉक एक्सचेंजों/ मान्यताप्राप्त समाशोधन निगमों/डिपॉजिटरीयों में, अधिकतम पचहत्तर वर्षों की आयु तक, के तहत, अधिकतम तीन टर्म्स के लिए, सार्वजनिक हित निदेशक के रूप में नामांकित किया जा सकता है।

- (24) वर्तमान आर्टिकल 127(ए) 9 के पश्चात निम्नलिखित नया आर्टिकल 127 (ए) 10 जोड़ा जाय:

एक सार्वजनिक हित निदेशक एक साथ, समाशोधन निगम की पांच समितियों से अधिक के सदस्य की तरह कार्य नहीं कर सकता, अधिकतम समितियों की संख्या की सीमा, जिसका सार्वजनिक हित निदेशक सदस्य हो सकता है, केवल एसईसीसी विनियमों के अंतर्गत सेबी द्वारा निर्धारित सांविधिक समितियों के लिए लागू होगी।

- (25) वर्तमान आर्टिकल 127(ए)10 के पश्चात निम्नलिखित नया आर्टिकल 127(ए)11 जोड़ा जाय:

सार्वजनिक हित निदेशक द्वारा, किसी ट्रेडिंग सदस्य या समाशोधन सदस्य या उनके सहायक तथा एजेंटों को प्रत्यक्ष या अप्रत्यक्ष रूप से उपलब्ध करायी गयी सेवाओं के परिणाम स्वरूप हितों में परस्पर विरोध के बारे में सार्वजनिक हित निदेशक, बोर्ड के निदेशकों को अवगत कराता रहेगा।

(26) आर्टिकल 128 नीचे दिये अनुसार संशोधित किया जाय, बोल्ड में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय: सेबी के दिशानिर्देशों के अनुपालन के साथ

ए. कंपनी का प्रत्येक निदेशक, एसईसीसी विनियमों के शेड्यूल 11 के भाग ए के अंतर्गत निर्धारित आचरण संहिता का पालन करेगा।

बी. कंपनी का प्रत्येक निदेशक एसईसीसी विनियमों के शेड्यूल 11 के भागी बी के अंतर्गत निर्धारित नीतिपरक संहिता का पालन करेगा।

सी. कंपनी का प्रत्येक निदेशक एसईसीसी विनियमों के विनियम 20 के अंतर्गत निर्धारित किये अनुसार 'फिट एंड प्रॉपर' व्यक्ति होगा।

आचार संहिता निम्नानुसार होगी

i. बैठक तथा कार्यवृत्त

प्रत्येक समाशोधन निगम का निदेशक

ए) चाहे आर्थिक या अन्य कोई वह किसी विषय पर ऐसी किसी चर्चा में सहभागी नहीं होगा जिसमें मतभेद हो या होने की संभावना हो, तथा ऐसे मामलों में उसे बैठक के कार्यवृत्त में बतलाया और रिकॉर्ड किया जाय;

बी) जब तक की, ऐसी परिस्थिती में आवश्यक न हो, बैठक के दौरान एजेंडा पेपर्स के परिचालन को प्रोत्साहित नहीं करेंगे;

सी) ड्राफ्ट कार्यवृत्त पर अपनी टिप्पणी प्रस्तुत करें तथा सुनिश्चित करें कि उसे अंतिम कार्यवृत्त में शामिल किया जाय;

डी) पिछली बैठक के कार्यवृत्त को आगामी बैठक में अनुमोदन के लिए प्रस्तुत करने का आग्रह किया जाय;

इ) प्रत्येक बोर्ड की बैठक में बोर्ड के अन्य सदस्यों के साथ परामर्श से अगली बैठक की तारीख निश्चित करने का प्रयास करें;

सुनिश्चित करने का प्रयास किया जाय कि, यदि समय के अभाव में बैठक के एजेंडा की सभी मदों को कवर न किया जा सके तो, शेष रह गयी मदों पर विचारार्थ, पंद्रह दिनों के अंदर अगली बैठक का आयोजन किया जाय;

ii. सार्वजनिक हित निदेशकों के लिए आचार संहिता.

ए) उपर पैरा 1 में उल्लिखित शर्तों के अतिरिक्त, समाशोधन निगम के सार्वजनिक हित निदेशक, बोर्ड की सभी बैठकों में उपस्थित रहने का प्रयास करेंगे, तथा यदि वे लगातार बोर्ड की तीन बैठकों में अनुपस्थित रहते हैं या वे एक कैलेंडर वर्ष में बोर्ड की कुल बैठकों के पचहत्तर प्रतिशत बैठकों में उपस्थित नहीं रहते हैं तो वे कार्यालय छोड़ने (वेकेंट) के लिए उत्तरदायी होंगे;

बी) सार्वजनिक हित निदेशक आलोचनात्मक मामलों पर समाशोधन निगम से कम से कम छः माह में एक बार अलग से मिलेंगे.

iii. नीतिगत आयोजन

समाशोधन निगम का प्रत्येक निदेशक

ए) समाशोधन निगम के उत्तम हित में नीतियों को तैयार करने तथा निष्पादन में प्रतिभागी होगा तथा बोर्ड के स्तर पर प्रो एक्टिव निर्णयों में अपना योगदान देगा.

बी) अपने अनुभवों तथा कुशलता का समाशोधन निगम को लाभ देगा तथा नीतिगत आयोजना तथा निर्णयों के निष्पादन में सहायता उपलब्ध करायेगा.

सी) यह सुनिश्चित करने का प्रयास करेंगे कि, समाशोधन निगम द्वारा, सुधारात्मक कार्रवाई करने के लिए सेबी द्वारा निर्धारित समय सीमा के अनुरूप कदम/उपाय करते हैं.

डी) बोर्ड की बैठक में वे किसी ऐसे निर्णय को सपोर्ट नहीं करेंगे जिससे निवेशकों के हित पर प्रतिकूल प्रभाव पड़े तथा ऐसे किसी निर्णय के बारे में वे सेबी को रिपोर्ट करेंगे.

iv. नियामक अनुपाल

समाशोधन निगम का प्रत्येक निदेशक

ए) यह सुनिश्चित करने का प्रयास करेंगे कि, समाशोधन निगम द्वारा, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992, प्रतिभूति संविदाएं (विनियम) अधिनियम, 1956 तथा उनके अंतर्गत तैयार किये गये नियमों तथा विनियमों और समय समय पर सेबी द्वारा जारी परिपत्रों, निदेशों का पालन किया जाता है.

बी) सभी स्तरों पर अनुपालन का प्रयास करें ताकि, नियामक सिस्टम में किसी बात का उत्प्लंघन न हो;

सी) यह सुनिश्चित करने का प्रयास करें कि, समाशोधन निगम द्वारा, सुधारात्मक कार्रवाई करने के लिए सेबी द्वारा निर्धारित समय सीमा के अनुरूप कदम/उपाय करते हैं.

डी) बोर्ड की बैठक में वे किसी ऐसे निर्णय को सपोर्ट नहीं करेंगे जिससे निवेशकों के हित पर प्रतिकूल प्रभाव पड़े तथा ऐसे किसी निर्णय के बारे में वे सेबी को रिपोर्ट करेंगे.

v. सामान्य जिम्मेदारियां

समाशोधन निगम का प्रत्येक निदेशक

ए) निदेशकों की शिकायतों के निवारण तथा उचित ट्रेड पद्धतियों को प्राथमिकता देने का प्रयास करें, ताकि, समाशोधन निगम प्रतिभूति बाजार के विकास का साधन बन सके;

बी) समाशोधन मामलों का, व्यवसायिक योग्यता, न्यायोचित, निष्पक्षता, कुशलता एवं प्रभावशाली तरीके से विश्लेषण तथा संचालन करने का प्रयास करें;

सी) उनके नियमों या आर्टिकल्स ऑफ एसोसिएशन के अनुसार, समय समय पर समाशोधन निगम द्वारा अपेक्षित प्रतिभूतियों में होल्डिंग/डिलिंग के डिस्कलोजर/ विवरण को प्रस्तुत करें;

डी) जब तक कि, कानून द्वारा अन्यथा अपेक्षित न हो, गोपनीयता बनाए रखेंगे तथा उनकी ड्युटी के दौरान प्राप्त की गयी जानकारी किसी को नहीं बतायेंगे तथा ऐसी किसी जानकारी का उपयोग अपने व्यक्तिगत लाभ के लिए नहीं करेंगे;

इ) सार्वजनिक विश्वास को जमाने के लिए अपनी ड्युटी में, व्यक्तिगत इंटीग्रिटी, सच्चाई, ईमानदारी तथा धैर्य के उच्चतम मानकों को बनाए रखें तथा उनकी जिम्मेदारियों को अपकीर्तिकर करें ऐसे किसी कार्य में शामिल न हों:

एफ) उनकी ड्युटी को स्वतंत्र तथा वस्तुनिष्ठ तरीके से करेंगे तथा ऐसी गतिविधियों को करना डालेंगे, जो उनकी स्वतंत्रता या वस्तुनिष्ठता या कार्यालयीन ड्युटी को हानि पहुंचाए या हानि पहुंचाने जैसी लगे:

जी) वे अपनी ड्युटी सकारात्मक मनोवृत्ति से निभायेंगे तथा खुले सप्रेषण, रचनात्मकता, समर्पण तथा संबेदनशीलता को निर्माणात्मक आधार देंगे;

एच) वे नैतिक चरित्रहीनता, बेईमानी, धोखाधड़ी, छल कपट या मित्थ्या निरूपण वाले ऐसे किसी कार्य में शामिल न हों, या अन्य कोई कार्य जो समाशोधन निगम के प्रशासन के विपरीत हो/को हानि पहुंचाने वाला हो:

428.बी. समाशोधन निगम का प्रत्येक निदेशक तथा प्रमुख प्रबंधकीय कार्मिक नीचे प्रस्तुत किये अनुसार आचार संहिता का पालन करेंगे:

नीतिपरक संहिता

i. उद्देश्य तथा अंडरलाइंग सिद्धांत

एक उचित तथा पारदर्शक बाजार स्थान की स्थापना करने की दिशा में, इन निदेशकों तथा प्रबंधकीय कार्मिक द्वारा, कारोबार का एक न्यूनतम स्तर/ व्यावसायिक नीतिपरकता स्थापित करना, समाशोधन निगम के निदेशकों तथा प्रबंधकीय कार्मिक के लिए, नीतिपरक संहिता की मांग करता है

समाशोधन निगम तथा निदेशकों से संबंधित मामलों में डील करते समय न्यायोचित व्यवहार तथा पारदर्शकता:

नियामक एजेंसियों/समाशोधन निगम द्वारा निर्धारित सभी कानूनों/नियमों/विनियमों का अनुपालन:

ड्युटी निष्पादित करते समय उचित सावधानी बरतें:

निदेशकों/प्रमुख प्रबंधकीय कार्मिक के स्व हित तथा समाशोधन निगम/निदेशकों के हितों के बीच मतभेदों को डालें:

ii. नीतिपरक समिति:

इस संहिता के कार्यन्वयन के सर्वेक्षण के लिए, संबंधित बोर्ड के निदेशकों के अंतर्गत समाशोधन निगम द्वारा एक नीतिपरक समिति गठित की जायेगी:

iii. सामान्य मानक

ए) निदेशक तथा प्रबंधकीय कार्मिक नीतिपरक जिम्मेदारियां को समझने तथा अधिक जागरूकता प्रवर्तित करने का प्रयास करेंगे:

बी) निदेशक तथा प्रबंधकीय कार्मिक अपने कारोबारी आचरण में, व्यापारिक ईमानदारी, तथा न्यायसंगत आचरण तथा ट्रेड के औचित्यपूर्ण सिद्धांतों के उच्च मानकों का पालन करें:

सी) कारोबारी जीवन में निदेशकों तथा प्रबंधकीय कार्मिकों का चरित्र अनुकरणीय होना चाहिए, जो समाशोधन निगम के अन्य सदस्यों के लिए एक मानक/उदाहरण निश्चित करेंगे:

डी) निदेशक तथा प्रबंधकीय कार्मिक, समाशोधन निगम के कार्यकारी अधिकारियों तथा प्रशासनिक स्टाफ, तकनीकी या सेवा प्रदाताओं और समाशोधन निगम के विक्रेताओं से अनुग्रह/समर्थन पाने के लिए अपने पद का दुरुपयोग नहीं करेंगे:

इ) निदेशक तथा प्रबंधकीय कार्मिक ऐसा कोई कार्य नहीं करेंगे जो समाशोधन निगम की प्रतिष्ठा को खतरे में डाल दें:

एफ) निदेशकों, समिति के सदस्यों तथा प्रमुख प्रबंधकीय कार्मिकों द्वारा प्रतिभूति बाजार को लागू सभी नियमों तथा विनियमों का पालन किया जाना चाहिए:

iv. समाशोधन निगम के प्रमुख प्रबंधकीय कार्मिकों द्वारा प्रतिभूतियों के डिलिंग्स को बताया जाना

ए) समाशोधन निगम के प्रमुख प्रबंधकीय कार्मिकों द्वारा, समाशोधन निगम द्वारा निश्चित किये अनुसार आवाधिक आधार (जो मासिक हो सकता है) पर, उनकी प्रतिभूतियों में सभी डिलिंग्स के बारे में, प्रत्यक्ष या अप्रत्यक्ष रूप से बोर्ड के निदेशकों/नीतिपरक समिति/अनुपालन अधिकारी को बतलाया जाना चाहिए-

बी) प्रतिभूतियों में डिलिंग्स, प्रतिभूतियों के लिए ट्रेडिंग प्रतिबंधों के तहत होंगे, जिसके बारे में समाशोधन निगम के प्रमुख प्रबंधकीय कार्मिकों के पास, गैर सार्वजनिक भाव संबन्धी जानकारी हो सकती है, इस संबंध में, भारतीय प्रतिभूति और विनियम बोर्ड (प्रोहिबिशन ऑफ इनसाइडर ट्रेडिंग) अधिनियम, 1992 के अंतर्गत निर्धारित अपेक्षाओं का अवलोकन करें-

सी) सारे लेनदेन निवेश स्वरूप के होने चाहिए सट्टे के स्वरूप के नहीं, इस दिशा में खरीदी गयी सभी प्रतिभूतियां, उसे बेचने से पहले कम से कम साठ दिनों की अवधि के लिए रखी जानी चाहिए, तथापि विशिष्ट/अपवादात्मक परिस्थिति में, उस संबंध में, उसकी संतुष्टि को लिखित में रिकॉर्ड करने के पश्चात अनुपालन अधिकारी से पूर्व क्लियरेंस प्राप्त करके, इस शर्त से छूट प्राप्त करने द्वारा कभी भी उसकी विक्री प्रभावी की जा सकती है-

स्पष्टीकरण इस संहिता के प्रयोजन के लिए "प्रतिभूतियों" में म्यूचुअल फंड ईकाईयां शामिल नहीं होंगी-

v. समाशोधन निगम के निदेशकों द्वारा प्रतिभूतियों में डिलिंग्स को बताया जाना

ए) निदेशकों तथा उसके परिवार द्वारा प्रतिभूतियों में सभी लेनदेनों के बारे में समाशोधन निगम के बोर्ड के निदेशकों को बताया जायेगा-

बी) सभी निदेशक फर्मों/कॉर्पोरेट हरितियों द्वारा की गयी ट्रेडिंग, जिसमें उनका बीस प्रतिशत या अधिक लाभकारी हित हो या नियंत्रक इंटरेस्ट हो, उसके बारे में भी नीतिपरक समिति को बतायेंगे-

सी) निदेशक, जो गर्वन्मेंट ऑफ इंडिया नामिति या भारत सरकार सांविधिक निकाय और वित्तीय संस्थाओं के नामिति हो, को इस अपेक्षा से छूट दी जायेगी-

vi. हितों के मतभेद को टालना

ए) बोर्ड का कोई भी निदेशक या एक्सचेंज की किसी भी समिति का सदस्य, किसी व्यक्ति/मामले में, जिसमें वह प्रत्यक्ष या अप्रत्यक्ष, किसी भी रूप में संबंधित या रुचि रखता है, कोई निर्णय लेने या मध्यस्थता करने में प्रतिभागी नहीं होगा-

बी) किसी मामले में हितों का मतभेद है या नहीं, बोर्ड के निदेशकों द्वारा तय किया जाना चाहिए-

vii. लाभकारी हित को बताया जाना

सभी निदेशकों तथा प्रबंधकीय कार्मिकों द्वारा, कार्यालय में कार्यभार ग्रहण करने तथा कार्यकाल की अवधि के दौरान, जब भी निम्न हो, बोर्ड के निदेशकों को बताया जाना होगा-

ए) किसी ट्रेडिंग सदस्य/या समाशोधन सदस्य में स्वयं तथा परिवार के सदस्यों का कोई न्यासिय संबंध तथा स्वयं और परिवार के सदस्यों का निदेशन/भागीदारी संबंध;

बी) शेयरधारिता, ऐसे मामलों में जहां, निदेशक की, शेयरधारिता, सीधे या परिवार के जरिए, किसी सूचीबद्ध कंपनी या प्रतिभूति बाजार से संबंधित अन्य एन्टिटी में 5 प्रतिशत से अधिक हो;

सी) अन्य किसी कारोबार में रुचि-

viii. समाशोधन निगम के दैनंदिन कार्यों में अध्यक्ष और निदेशकों की भूमिका

ए) अध्यक्ष तथा निदेशक समाशोधन निगम के दैनंदिन कार्यों में दखलअंदाजी नहीं करेंगे तथा वे, नीतिगत मामलों में और बोर्ड के निदेशकों द्वारा तय किये गये मामलों पर निर्णय लेने तक अपनी भूमिका को सीमित रखेंगे-

बी) अध्यक्ष एवं निदेशक, समाशोधन निगम के कर्मचारियों को उनकी दैनंदिन गतिविधियों को करने में प्रभावित करने से परहेज करेंगे-

सी) अध्यक्ष तथा निदेशक, कर्मचारियों की नियुक्ति तथा पदोन्नति के कार्य में सीधे शामिल नहीं होंगे, जब तक कि, बोर्ड के निदेशकों द्वारा विशेष रूप से ऐसा करने का निर्णय न लिया जाय-

ix. सूचना तक पहुंच

ए) निदेशक, विशिष्ट समिति के एक हिस्से के रूप में ही या जैसा बोर्ड के निदेशकों द्वारा प्राधिकृत किया जाय, सूचना मंगा सकते हैं-

बी) उसके लिए निर्धारित माध्यम होंगे जिसके जरिए सूचना आगे बढ़ाई जायेगी, और उसकी लेखापरीक्षा की जायेगी, तथा गोपनीय दस्तावेजों/सूचना की पुनःप्राप्ति को उचित तरह से रिकॉर्ड किया जायेगा/रखा जायेगा-

सी) सभी ऐसी सूचना/जानकारी, विशेषकर जो गैर सार्वजनिक तथा भा संबंधी हो, को गोपनीय रखा जायेगा, तथा उसका नीज के विचारार्थ/लाभ के लिए उपयोग नहीं किया जायेगा-

डी) समाशोधन निगम के कारोबार/परिचालनों से संबंध कोई जानकारी, जो निदेशकों/प्रमुख प्रबंधकीय कार्मिक की जानकारी में, उनकी ड्युटी के निष्पादन के दौरान आती है, वो अत्यंत गोपनीय रखी जानी चाहिए, किसी तृतीय पक्ष को बतलायी नहीं जायेगी तथा उनकी ड्युटी के निष्पादन के अलावा अन्य किसी तरह से उपयोग में नहीं लायी जानी चाहिए-

x. पद का दुरुपयोग

निदेशक/समिति के सदस्य, कारोबार प्राप्त करने या संगठन में स्वयं के या परिवार के सदस्यों के आर्थिक लाभ के लिए, पद का उपयोग नहीं करेंगे।

xi. नीतिपरक समिति द्वारा प्रक्रियाओं का निर्धारण

ए) नीतिपरक समिति संहिता के कार्यान्वयन के लिए प्रक्रिया तथा संहिता के अंतर्गत अपेक्षित डिस्कलोजर के लिए रिपोर्टिंग प्रारूपों का निर्धारण करेगी।

बी) अनुपालन अधिकारी, नीतिपरक समिति द्वारा निर्धारित अपेक्षाओं को निष्पादित करेगा।

जबकि, इस संहिता का उद्देश्य बाजार एकीकरण के स्तर को और निवेशक के विश्वास को बढ़ाना है, इस बात पर जोर दिया जाता है कि, लिखित नीतिपरक संहिता, उच्चस्तरीय नीतिगत मानकों के अनुपालन की पूरी तरह से गारंटी नहीं देती। यह केवल तभी संपादित किया जा सकता है, यदि समाशोधन निगम के निदेशक और प्रमुख प्रबंधकीय कार्मिक, पूरी तरह से सिस्टम को व्यापक बनाने तथा एकीकरण के कार्य में खुद को समर्पित कर दें।

(27) आर्टिकल 130 (सी) नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय:

प्रबंध निदेशक की नियुक्ति की अवधि, तीन वर्ष की अवधि के लिए होगी तथा अवधि (टर्म) पांच वर्षों से अधिक के लिए नहीं होगी। प्रबंध निदेशक की अवधि (टर्म) की समाप्ति पर, समाशोधन निगम, निर्धारित नियुक्ति प्रक्रिया अनुरूप, प्रबंध निदेशक की नियुक्ति करेगा। समाशोधन निगम द्वारा, एक व्यक्ति की प्रबंध निदेशक के रूप में नियुक्ति अधिकतम पैंसट वर्षों के आयु के तहत, अधिकतम दो टर्म्स के लिए होगी, जो कि, प्रत्येक पांच वर्षों से अधिक नहीं होगी।

(28) आर्टिकल 130 (डी) नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय:

- (i) शेयरधारक या समाशोधन निगम के शेयरधारक के सहायक या समाशोधन निगम के सहायक का शेयरधारक नहीं होंगे;
- (ii) ट्रेडिंग सदस्य या समाशोधन सदस्य, या उसके सहायक तथा एजेंट, या ट्रेडिंग सदस्य या समाशोधन सदस्य के शेयरधारक या ट्रेडिंग सदस्य या समाशोधन सदस्य के सहायक तथा एजेंट के शेयरधारक नहीं होंगे।
- (iii) एक्सचेंज या समाशोधन निगम की सहायक कंपनी में या एक्सचेंज या समाशोधन निगम के साथ जुड़ी किसी अन्य एन्टीटी में साथ-साथ कोई ओहदा/पद धारण नहीं करेंगे।

बशर्ते कि, समाशोधन निगम का प्रबंध निदेशक बोर्ड के निदेशकों के रूप में नियुक्त किया गया हो, परंतु कंपनी या मान्यताप्राप्त समाशोधन निगम की सहायक कंपनी के प्रबंध निदेशक के रूप में, जैसा भी मामला हो।

(29) आर्टिकल 130 (जी) नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय, काट दिये शब्दों को हटा दिया जाय :

समाशोधन निगम की नामांकन तथा परिश्रमिक समिति, समितियों का गठन करेगी: सीईओ/प्रबंध निदेशक/कार्यकारी निदेशक, जैसा भी मामला हो, के चयन के लिए जिम्मेदार होगी। प्रबंध निदेशक का चयन, पूंजी बाजार/वित्त/प्रबंधन के क्षेत्र में योग्यता तथा पर्याप्त अनुभवी व्यक्तियों में से, कम से कम एक राष्ट्रीय दैनिक समाचार पत्र के सभी संस्करणों में विज्ञापन देने के द्वारा खुले रूप में किया जायेगा। पुनःनियुक्ति या अवधि बढ़ाने के मामले में समाशोधन निगम सेबी को आवेदन करेंगे। वर्तमान प्रबंध निदेशक के अंतिम कार्यदिन से दो माह पहले, समाशोधन निगम सेबी को नियुक्ति के लिए उम्मीदवारों की सूची अग्रेषित करेगा।

(30) आर्टिकल 130(एच) नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय:

यदि किन्हीं अनपेक्षित कारणों से प्रबंध निदेशक की रिक्ति निर्मित होती है, समाशोधन निगम, उम्मीदवारों की सूची, नये नाम त्यागपत्र प्रस्तुत किये जाने या ऐसे कार्यालय को खाली किये जाने की तारीख से 60 दिनों के अंदर सेबी को प्रस्तुत करेगा।

(31) आर्टिकल 130(आई) नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय:

प्रबंध निदेशक की नियुक्ति के लिए सेबी से अनुमोदन प्राप्त करते समय, समाशोधन निगम सेबी से प्रबंध निदेशक के लिए मुआवजे की मांग करेगा। समाशोधन निगम के प्रबंध निदेशक का मुआवजा, सेबी द्वारा निर्धारित निम्नलिखित मुआवजा मापदंडों के अनुरूप होगा :

- (i) परिवर्ती वेतन घटक, कुल वेतन के एक तिहाई से अधिक नहीं होंगे।
- (ii) तीन वर्षों के पश्चात, परिवर्ती वेतन का 50% आस्थगन आधार पर भुगतान किया जायेगा।
- (iii) समाशोधन निगम में ईएसओपीओं तथा इक्विटी संबंध लिखित प्रबंधकीय कार्मिक प्रबंध निदेशक के लिए, मुआवजे का एक हिस्सा निर्मित नहीं करेंगे।
- (iv) मुआवजा नीति में मालुस एंड क्लॉबक व्यवस्थाएं होंगी।

उक्त के अलावा, निम्न को भी विचारार्थ लिया जायेगा:

ए. समाशोधन निगम की आर्थिक स्थिति/लाभप्रदता,

बी. सामान श्रेणी (रैंक्स) के कर्मचारियों को देय मुआवजे का औसत स्तर,

सी. शॉर्ट टर्म में अत्यधिक जोखिम उठाने के लिए इन्सैटिज के संबंध में कोई प्रावधान निहित नहीं होना चाहिए.

डी. राजस्व, समाशोधन निगम का शुद्ध लाभ

इ. उद्यम मानकों के साथ तुलनीय

एफ. प्रबंध निदेशक की भूमिका एवं जिम्मेदारियां/ दायित्व

जी. आवधिक समीक्षा

(32) आर्टिकल 134(1) नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय :

प्रबंध निदेशक/पूर्णकालिक निदेशक सहित निदेशकों को दिया जानेवाला पारिश्रमिक, अधिनियम, एसईसीसी विनियमों तथा इन आर्टिकल्स के लागू प्रावधानों तथा उसके और कंपनी के बीच किसी करार के तहत होंगे तथा समय समय पर जनरल मीटिंग में कंपनी द्वारा निश्चित किये जायेंगे, तथा निश्चित वेतन और/या कंपनी के लाभ या ऐसे लाभ में प्रतिभागिता पर अनुलाभ या कमीशन की तरह निश्चित किये जायेंगे या या अन्य या इन सभी माध्यमों से निश्चित किये जायेंगे, जिन्हें अधिनियम द्वारा स्पष्ट रूप से प्रतिबंधित न किया गया हो.

(33) आर्टिकल 149(1) नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय:

सेवानिवृत्त होनवाले निदेशक के अलावा, कोई भी व्यक्ति, किसी भी जनरल मीटिंग में निदेशक के कार्यालय में चुनाव के लिए पात्र हो सकता है, जब तक कि, वह या कोई और सदस्य उसका नाम प्रस्तावित करना चाहता हो, उसके द्वारा, मीटिंग से चौदह दिन पहले, कार्यालय में उसके सहायक के पास कार्यालय के लिए उसकी उम्मीदवारी के महत्व को सूचित करते हुए, नोटिस छोड़ा गया हो, जैसा भी मामला हो, साथ ही, ऐसी एक निर्धारित की गयी रकम जमा करायी जाय, जो कि, यदि वह व्यक्ति, ऐसे संकल्प पर हाथ उठाकर या चुनाव द्वारा, निदेशक के रूप में चुने जाने के लिए निर्धारित किये गये कुल वैध वोट्स पाता है, तो उस व्यक्ति को या उस सदस्य को, जैसा भी मामला हो, रिफंड की जायेगी.

परंतु रकम जमा करने की वह अपेक्षा, एक स्वतंत्र निदेशक की नियुक्ति या नामांकन तथा पारिश्रमिक समिति के मामले में लागू नहीं होगी.

(34) आर्टिकल 155 नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय :

बोर्ड के निदेशकों की बैठक में संकल्प पर वोटिंग तभी वैध होगी जब ऐसे संकल्प पर जिन्होंने वोट दिया हो ऐसे सार्वजनिक हित निदेशकों की संख्या, संकल्प पर जिन्होंने वोट दिए हों ऐसे शेरधारक निदेशकों की संख्या के बराबर हो. बोर्ड के निदेशकों की बैठक में वोट दिया जाना, किसी बैठक में उठनेवाले प्रश्नों पर बहुमत के आधार पर निर्णय लिया जायेगा, तथा वोट्स के बराबर होने के मामले में, बैठक के अध्यक्ष (चाहे वह इन आर्टिकल्स के आधार पर नियुक्त किया गया हो या

ऐसी बैठक का स्थायी निदेशक हो) का दुसरा या वोट कास्टिंग द्वारा होगा.

(35) आर्टिकल 156 नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय :

कंपनी के बोर्ड के निदेशकों की बैठक के लिए कोरम, कुल संख्या का एक तिहाई होना चाहिए (उस एक तिहाई में निहित किसी भंजन (फ्रैक्शन) को अलगे तक पूर्णांकित किया जायेगा) या दो निदेशकों में से जो बड़ा हो तथा कोरम के प्रयोजन के लिए, निदेशकों की विडियो कॉन्फरेंसिंग या अन्य किसी आडियो विज्युअल माध्यम से प्रतिभागिता को भी गिनती में लिया जायेगा; बशर्ते कि, जहां किसी मीटिंग में, इच्छुक निदेशकों की संख्या कुल संख्या के दो तिहाई से अधिक या के बराबर हो, शेष निदेशकों की संख्या, याने बैठक में मौजूद निदेशकों की वह संख्या जो इच्छुक न हो, वह दो से कम नहीं होनी चाहिए, वह ऐसे समय में कोरम होगा. इसके अलावा बोर्ड की बैठकों में कोरम गठित करने के लिए कम से कम एक सार्वजनिक हित निदेशक उपस्थित रहेगा. इसके अलावा, बोर्ड के निदेशकों की बैठक के लिए सार्वजनिक हित निदेशकों की संख्या शेरधारक निदेशकों की संख्या से कम नहीं होनी चाहिए.

तथापि, चेअरमैन की एक्सप्रेस अनुमति के साथ, प्रतिबंधित मदों के संबंध में, इलेक्ट्रॉनिक माध्यम से प्रतिभागिता करने वाला कोई भी निदेशक, ऐसी प्रतिबंधित मदों के संबंध में न तो वोट कर सकेगा न ही उसे कोरम के प्रयोजन के लिए गिनती में लिया जायेगा.

तथापि, जहां बैठक में कोरम, निदेशकों की प्रत्यक्ष उपस्थिति के जरिए हो, कोई अन्य निदेशक ऐसी प्रतिबंधित मदों पर बैठक में, विडियो कॉन्फरेंसिंग या अन्य ऑडियो विज्युअल माध्यम से प्रतिभागिता कर सकते हैं.

पूरी बैठक के दौरान कोरम उपस्थित रहेगा. कोरम केवल बैठक की शुरुआत में ही नहीं परंतु कारोबार करते समय भी उपस्थित रहेगा.

इस आर्टिकल के प्रयोजन के लिए :-

(1) "कुल संख्या" से अभिप्रेत, उस समय यदि किसी निदेशक की जंगह रिक्त हो तो, उस निदेशक की संख्या, यदि कोई, को निदेशकों की संख्या से घटाकर, अधिनियम के अनुरूप निर्धारित किये अनुसार, कंपनी के निदेशकों की कुल संख्या.

(2) "इच्छुक निदेशक" से अभिप्रेत, कोई निदेशक, जिसकी उपस्थिति आर्टिकल 140 या अधिनियम के किसी अन्य प्रावधान के कारण, किसी मामले में चर्चा या वोट के समय, बोर्ड की बैठक में कोरम निर्मित करने के लिए गिनती में लिया जाय.

(36) आर्टिकल 159 नीचे दिये अनुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय: कंपनी अधिनियम, 2013 से ~~एसईसीसी~~ एसईसीसी विनियमों तथा समय समय पर जारी परिपत्रों के अंतर्गत अधिदेशित समाशोधन निगम के कार्यकलापों पर प्रभावी निरीक्षण के लिए, कंपनी द्वारा समितियों का गठन किया जायेगा. इसके अतिरिक्त, समितियां जो सूचीबद्ध कंपनियों के लिए अधिशेषित हैं, आवश्यक परिवर्तन सहित समाशोधन निगम को आवेदन करेंगी. सांविधिक समितियों के लिए, समाशोधन निगम, बैठकों की आवधिकता, कोरम आदि के लिए नीति तैयार करेगा. ~~सर्वेक्षण समितियों जहां, कम से कम 50% सार्वजनिक हित~~

निदेशकों का उपस्थित होना आवश्यक होगा, के अलावा कम से कम एक सार्वजनिक निदेशक की उपस्थिति के साथ बैठक का आयोजन किया जाएगा। समितियों के संयोजन, विचारार्थ विषय (टर्मस ऑफ रेफरेंस), आवधिकता और कोरम अपेक्षाएं, बोर्ड द्वारा निश्चित की जाएंगी। जो एसईसीसी विनियमों तथा समय समय पर सेबी द्वारा जारी परिपत्रों, कंपनी अधिनियम 2013 तथा उसके अंतर्गत बनाये गये नियमों तथा सेबी (वचन बद्धताओं तथा डिस्कलोजर अपेक्षाओं को सूचीबद्ध करते हुए) विनियम, 2015 जैसा लागू हो, की अपेक्षाओं के साथ संरेखण में होने चाहिए, सार्वजनिक हित निदेशकों की समिति के मामले में, सभी सार्वजनिक हित निदेशक उपस्थित रहेंगे।

समितियों में स्वतंत्र बाहरी व्यक्तियों को नियुक्त करना : एसईसीसी विनियमों द्वारा अधिदेशित सांविधिक समितियों के मामले में बोर्ड, उसके सदस्य के रूप में स्वतंत्र बाहरी व्यक्तियों को नियुक्त कर सकते हैं, तथा उनकी बैठकों के लिए संबंधित समितियों पर नियुक्त स्वतंत्र बाहरी व्यक्तियों के लिए देय परिश्रमिक या फीस भी निश्चित कर सकता है। स्वतंत्र बाहरी व्यक्ति, अच्छी ख्याति प्राप्त साख के साथ सत्यनिष्ठ व्यक्तियों में से होगा तथा जिसका हितों से कोई मतभेद/विरोध न हो। वह समिति को सौंपे गये कार्य के क्षेत्र में दक्ष/विशेषज्ञ होगा। वह किसी भी तरह से समाशोधन निगम तथा उसके सदस्यों से जुड़ा हुआ न हो। समाशोधन निगम, स्वतंत्र बाहरी व्यक्तियों की नियुक्ति, अवधि, आचार संहिता आदि के लिए दिशानिर्देश तैयार करेगा। अवधि की समाप्ति पर, सार्वजनिक हित निदेशकों के लिए सेबी द्वारा निर्धारित तरीके से निष्पादन समीक्षा के तहत, अवधि को बढ़ाया जाना मंजूर किया जा सकता है। ~~समयबद्ध~~ में उपस्थिति के रिकॉर्ड आदि के अनुरूप, समाशोधन निगम की समिति में स्वतंत्र बाहरी व्यक्तियों की अधिकतम अवधि, एसईसीसी विनियमों के अंतर्गत सार्वजनिक हित निदेशकों के लिए निर्धारित की गयी अवधि के समान ही होगी।

समाशोधन निगम, सांविधिक अपेक्षाओं के अनुसार, निम्नलिखित समितियों तथा ऐसी अन्य समितियों का गठन करेगा:

क्र.सं.	समिति का नाम	संचालित कार्य	संयोजन/गठन
4	सदस्यता चयन समिति	सदस्यों का समाशोधन निगम के विभिन्न सेगमेंटों में चयन/एडमिशन	(i) सार्वजनिक हित निदेशक सदस्यता चयन समिति के बहुमत तैयार करेगा। (ii) समाशोधन निगम के कम से कम दोन प्रमुख प्रबंधकीय कार्मिक समिति पर होंगे, जिनमें से एक अनिवार्यतया समाशोधन निगम का प्रबंध निदेशक होगा। (iii) समिति स्वतंत्र बाहरी व्यक्तियों को शामिल कर सकती है।
2	अनुशासनात्मक कार्रवाई समिति	चेतावनी आर्थिक दंड, निलंबन, टर्मिनल को डिफिटिवेट करना, निष्कासन समाशोधन निगम के सदस्यों द्वारा विभिन्न उल्लंघनों के लिए की जानेवाली कार्रवाई सहित नियामक कार्रवाई के लिए, समिति नीति तैयार करेगी। निर्धारित नीति के आधार पर, निरीक्षण के दौरान प्रेक्षित उल्लंघनों के मामलों पर समिति विचार करेगी, तथा समाशोधन निगम के सदस्यों पर उचित नियामक उपाय लागू करेगी। +नियामक उपायों को लागू करते समय समिति नेचुरल जरिटरस के सिद्धांतों के आधार पर निर्धारित प्रक्रिया को अपनावेगी।	(i) अनुशासनात्मक कार्रवाई समिति में सार्वजनिक हित निदेशक तथा समाशोधन निगम के अधिकारी होंगे। (ii) सार्वजनिक हित निदेशक समिति का बहुमत तैयार करेगा। (iii) समाशोधन निगम के कम से कम दो प्रबंधकीय कार्मिक समिति पर होंगे तथा जिनमें से एक अनिवार्यतया समाशोधन निगम का प्रबंध निदेशक होगा।
3.	शिकायत निवारण समिति (जीआरसी)	समाशोधन निगम द्वारा उन्हें बतलाई गयी शिकायतों का निवारण पक्षों की बातें सुनेंगी तथा उनकी शिकायतों/विवादों का निवारण	ए) समाशोधन निगम सेबी के परिपत्र क्रं. सीआई आर/एमआरडी/डीएसए/03/2012 दिनांक 20 जनवरी 2012 से दिशानिर्देशित होंगे। बी) जीआरसी के सदस्य किसी भी तरह से समाशोधन सदस्य से नहीं जुड़ेंगे। सी) सेबी के परिपत्र क्रं. सीआईआर/एमआरडी/डीएसए/24/2010 दिनांक 11 अगस्त 2010 में पैरा 374 तथा 4 के अंतर्गत निर्धारित डिस्कलोजर्स एवं आचार संहिता, जीआरसी के सदस्यों पर भी लागू हो सकती है।
4	चूककर्ता समिति/एसजीएफ	+चूककर्ता/निष्कासित सदस्य की सभी आस्तियों तथा जमाओं को जब्त करता	(i) सार्वजनिक हित निदेशक चूककर्ता

	उपयोग समिति	तथा समाशोधन नियम के नियमों, उप नियमों तथा विनियमों के अनुसार चूककर्ता/ निष्कासित सदस्य के विरुद्ध विभिन्न देयताओं/ दावों का समायोजन करना: + ग्राहकों/ ट्रेडिंग सदस्यों/ समाशोधन सदस्यों के दावों के चूककर्ता/ निष्कासित सदस्य की आस्तियों पर स्वीकार/ अस्वीककार करता: + आईपीएफ के ट्रस्टियों को दावों के संबंध में सिफारिश देना कि, क्या दावा आईपीएफ में से दिया जाना है या अन्यथा: + समान संयोजन के साथ समाशोधन नियम के बोर्ड के निदेशकों द्वारा स्थापित चूककर्ता समिति या अन्य कोई समिति, समाशोधन नियम की निपटान मारट्टी निधि/ ट्रेड मारट्टी निधि का प्रबंधन करेगी:	समिति का बहुमत तैयार करेगा: (ii) समाशोधन नियम के कम से कम दो प्रबंधकीय कार्मिक समिति में होंगे: (iii) समिति में सेवानिवृत्त व्यापारी आदि जैसे स्वतंत्र बाहरी व्यक्तियों को भी शामिल किया जा सकता है:
5.	क्षतिपूर्ति/ मुआवजा समिति	+ क्षतिपूर्ति समिति, सेबी द्वारा निर्धारित क्षतिपूर्ति मापदंडों के अनुसार, प्रमुख प्रबंधकीय कार्मिक को, मुआवजे के लिए नीति तैयार करेगी: + क्षतिपूर्ति समिति, नियामक विभाग में प्रमुख प्रबंधकीय कार्मिक को पदस्त करने की अवधि निश्चित करेगी:	(i) समिति में अधिकार सार्वजनिक हित निदेशक होंगे तथा उसका अध्यक्ष सार्वजनिक हित निदेशक होगा: (ii) ऐसे प्रयोजन के लिए, समाशोधन नियम के बोर्ड के निदेशकों द्वारा नियुक्त शेयरधारक निदेशक या अन्य कोई व्यक्ति समिति का गठन करेगा:
6	चयन समिति	प्रबंध निदेशक क चयन के लिए समिति	चयन समिति में चार लोग होंगे अर्थात्, दो सार्वजनिक हित निदेशक तथा दोन स्वतंत्र बाहरी व्यक्ति पीआईडी या स्वतंत्र बाहरी व्यक्तियों की पर्याप्त संख्या उपलब्ध न हो तो समिति के गठन के लिए अपेक्षित संख्या बढ़ाई जा सकती है: इसके अलावा, समाशोधन नियम यह सुनिश्चित करेगा की, एक पीआईडी हर समय चयन समिति का एक हिस्सा रहेगा:
सर्वेक्षण समिति			
7	तकनीक पर स्थायी समिति	+ यह अनुश्रवण करने के लिए कि, समाशोधन नियम द्वारा उपयोग में लायी जा रही तकनीक अद्यतन है तथा बाजार की बढ़ती मांग को पूरा करती है: + वर्तमान सॉफ्टवेयर/ हार्डवेयर में समाशोधन नियम द्वारा सुझाए गये परिवर्तनों पर ध्यान देना: + कम्प्यूटरीकृत जोखिम प्रबंधन/ समाशोधन तथा निपटान सिस्टम में हैंगिंग/ स्लोडाउन/ ब्रेकडाउन जैसी समस्याओं की जांच पड़ताल करना: + सुनिश्चित करना कि, जोखित प्रबंधन/ समाशोधन तथा निपटान सिस्टम में स्लोडाउन/ ब्रेकडाउन के संबंध में जानकारी प्रसारित करने में पारदर्शिता बनाये रखी जाय: + समिति, समाशोधन नियम के बोर्ड के निदेशकों को एक रिपोर्ट प्रस्तुत करेगी: सेबी रिपोर्ट पर विमर्शपूर्वक विचार करेंगे तथा उचित कार्रवाई/ सुधारात्मक उपाय	समिति में दो बाहरी विशेषज्ञ जो तकनीक में निपुण हों और कम से कम एक सार्वजनिक हित निदेशक:

		किये जायेंगे: +पांच मिनट से ज्यादा के स्टॉपेज को स्पष्ट किया जायेगा तथा सेबी को रिपोर्ट किया जायेगा: समाशोधन निगम ब्रेकडाउन के कारण का उल्लेख करते हुए एक प्रेस रिलीज जारी करेगा:	
8	सेबी की निरीक्षण रिपोर्ट में दिये गये सुझावों के अनुपालन का अनुभव करने के लिए उप समिति	+सेबी की निरीक्षण रिपोर्ट के सुझावों के कार्यान्वयन के लिए की गयी कार्रवाई की समीक्षा के लिए: +उसे समाशोधन निगम के बोर्ड के निदेशकों के समक्ष प्रस्तुत करने के लिए: +अनुवर्ती कार्रवाई करना तथा निरीक्षण प्रेक्षणों का अनुपालन / कार्यान्वयन सुनिश्चित करना:	(i) समिति में अधिकार सार्वजनिक हित निदेशक होंगे: (ii) एक शेरधारक निदेशक और (iii) एक प्रमुख प्रबंधकीय कार्मिक
9	निवेशकर्ता सेवाएं समिति	समाशोधन निगम के निवेशकर्ता सेवा कक्ष के कार्य का पर्यवेक्षण, जिसमें, शिकायत की संविधा संकल्प प्रस्ताव प्रक्रिया, लंबे समय से लंबित शिकायतों की समीक्षा, शामिल है, निवेशकर्ता सेवाओं आदि के लिए समर्पित स्त्रोतों की पर्याप्तता का आकलन:	(i) निवेशकर्ता सेवाओं समिति में अधिकतर सार्वजनिक हित निदेशक होंगे: (ii) समिति में सेवानिवृत्त व्यापारी आदि जैसे स्वतंत्र बाहरी व्यक्तियों को भी शामिल किया जा सकता है:
40	सार्वजनिक हित निदेशकों की समिति	उनकी बैठकों के दौरान, सार्वजनिक हित निदेशक निम्नलिखित की समीक्षा करेंगे: +सेबी के पत्रों/ परिपत्रों के अनुपालन की स्थिति: +नियामक कार्यों के लिए समर्पित स्त्रोतों की पर्याप्तता सहित, नियामक विभागों के कार्य की समीक्षा: +सार्वजनिक हित निदेशक, अन्य समितियों जिसमें वे उपस्थित थे, की रिपोर्ट तैयार करेंगे, तथा रिपोर्ट अन्य सार्वजनिक हित निदेशकों को परिचालित की जायेगी: +फिर एक समेकित रिपोर्ट, समाशोधन निगम के बोर्ड के निदेशकों को प्रस्तुत की जायेगी: +सार्वजनिक हित निदेशक, महत्वपूर्ण मामलों का पता लगायेंगे जिसमें समाशोधन निगम के लिए हितों का मतभेद हो या जिसका बाजार पर अर्थपूर्ण प्रभाव हो तथा उसे सेबी को रिपोर्ट करेंगे:	सभी सार्वजनिक हित निदेशक अनिवार्य रूप से समिति की हर बैठक में उपस्थित रहेंगे:
44	नीतिपरक समिति	नीतिपरक संहिता के कार्यान्वयन का सर्वेक्षण	नीतिपरक समिति में सार्वजनिक हित निदेशक, शेरधारक निदेशक, प्रमुख प्रबंधकीय कार्मिक तथा अनुपालन अधिकारी होंगे:
42	सदस्य नियंत्रण के लिए नियंत्रक बोर्ड की स्वतंत्र सर्वेक्षण समिति	समिति, सदस्यों को प्रवेश, निरीक्षण, अनुशासनात्मक कार्रवाई आदि जैसे सदस्य नियंत्रण संबंधी मामलों का सर्वेक्षण: +उक्त मामलों का संचालन करने वाले विभाग(ओं)के विभाग प्रमुख (यों) द्वारा सीधे समिति और प्रबंध निदेशक को	(i) समिति में अधिकतर सार्वजनिक हित निदेशक होंगे तथा उसकी अध्यक्षता सार्वजनिक हित निदेशक द्वारा की जायेगी: (ii) शेष स्वतंत्र बाहरी विशेषज्ञों द्वारा मंडित होगी:

		रिपोर्ट किया जायेगा: +उपरोल्लिखित प्रमुख(खों) के विरुद्ध मान्यताप्राप्त समाशोधन निगम की कोई कार्रवाई, समाशोधन निगम के बोर्ड के निदेशकों द्वारा निर्धारित समय के अंदर, समिति को अपील के तहत होगी: +समिति, सदस्यता संबंधी मामलों पर सेबी के निरीक्षण प्रेक्षकों का निरीक्षण करेगी: +सदस्य नियंत्रण को समर्पित रत्रोटों की पर्याप्तता का आकलन: +एसईसीसी विनियमों के विनियम 35 के अनुरूप समाशोधन निगम द्वारा किये गये डिस्कलोजर का अनुभवण:	
43	जोखिम प्रबंधन समिति	+विरुद्ध जोखिम प्रबंधन नीति तैयार करना जिसे बोर्ड के निदेशकों द्वारा अनुमोदित किया जायेगा: +जोखिम प्रबंधन विभाग का प्रमुख जोखिम प्रबंधन समिति तथा मान्यताप्राप्त समाशोधन निगम के प्रबंध निदेशक को रिपोर्ट करेगा: +जोखिम प्रबंधन समिति जोखिम प्रबंधन नीति के कार्यान्वयन अनुभवण करेगी और सेबी तथा बोर्ड के निदेशकों को, उसके कार्यान्वयन तथा उल्लंघन यदि कोई, के बारे में अवगत करेगी:	जोखिम प्रबंधन समिति में समाशोधन निगम के सार्वजनिक हित निदेशक तथा स्वतंत्र बाहरी विशेषज्ञ होंगे और वे बोर्ड के निदेशकों को रिपोर्ट करेंगे:
44	सलाहकारी समिति	प्रॉडक्ट डिजाइन, तकनीक प्रभार एवं लेवीस सहित गैर नियामक तथा परिचालनात्मक मामलों पर समाशोधन निगम के बोर्ड के निदेशकों को सलाह देना	+समिति में समाशोधन निगम के समाशोधन सदस्य होंगे: +बोर्ड के निदेशकों का अध्यक्ष सलाहकार समिति का प्रमुख होगा तथा प्रबंध निदेशक, सलाहकार समिति की प्रत्येक बैठक में रथायी आमंत्रित (इनवाइटी) होगा:

(37) आर्टिकल 190 (2) निम्नानुसार संशोधित किया जाय, बोल्ट में शब्दों को जोड़ा जाय, काट दिये गये शब्दों को हटा दिया जाय :

समेकित वित्तीय विवरण, यदि कोई, सहित, वित्तीय विवरण की एक प्रति, लेखापरिक्षक की रिपोर्ट तथा अन्य दस्तावेज विवरण के साथ कानूनी तौरपर, जोड़े जाने अपेक्षित है, जिसे कंपनी की जनरल बैठक में प्रस्तुत किया जाना होगा, को मिटिंग की तारीख से काम से कम इक्कीस दिनों से पहले, कंपनी के प्रत्येक सदस्य, कंपनी द्वारा जारी किन्ही डिबेंचरों के डिबेंचर धारक के लिए प्रत्येक ट्रस्ट को, तथा ऐसे सदस्य या ट्रस्टी के अलावा सभी व्यक्तियों को, जो उसके लिए हकदार हो, भेजा जायेगा।

बशर्ते कि, दस्तावेजों की प्रतियां, मीटिंग की तारीख से इक्कीस दिनों से पहले से पहले भेजी जायेंगी तो वे इस तथ्य के बावजूद, वह विधिवत रूप से भेजी गयी मानी जायेंगी, यदि वोट के हकदार बहुसंख्याधारक सदस्यों द्वारा सहमति दी जाय, जो कंपनी के प्रदत्त शेयर कैपिटल के ऐसे हिस्से के पचानबे प्रतिशत का प्रतिनिधित्व करते हों, जिन्हें मीटिंग में वोट का अधिकार दिया गया हो।

बशर्ते कि, इस सब सेक्शन के प्रावधानों को अनुपालन किया गया माना जायेगा, यदि बैठक की तारीख से पहले इक्कीस दिनों की अवधि के लिए कार्यालय समय के दौरान पंजीकृत कार्यालय पर निरीक्षण के लिए दस्तावेजों की प्रति उपलब्ध करायी जाय तथा ऐसे दस्तावेजों की मुख्य बातों के उल्लेख का विवरण, निर्धारित फॉर्म में या दस्तावेजों की प्रतियों में, जैसा भी कंपनी द्वारा उचित समझा जाय, कंपनी द्वारा जारी किसी डिबेंचर के होल्डर्स के लिए, कंपनी के प्रत्येक सदस्य और प्रत्येक ट्रस्टी को बैठक की तारीख से इक्कीस दिनों से पहले भेज दिया जाय, जब तक की, शेयर धारकों द्वारा पूरे वित्तीय विवरणों की मांग न की जाय:

स्थान:मुंबई

दिनांक:10 / 11 / 2020

राजीव रेल्लान

प्रबंध निदेशक एवं सीईओ

टिप्पणी : हिंदी पाठ में भिन्नता की स्थिति में अंग्रेजी पाठ अभिभावी होगा।

NSE CLEARING LIMITED

Regd. Office:- Exchange Plaz, C-1, Block G, Bandra-Kurla Complex, Bandra (East), Mumbai - 400 051

The draft amendments to NCL Byelaws (Capital Market, Futures & Options, Currency Derivatives and Commodity Derivatives segments) as given hereunder are published for criticism in accordance with the provisions of Section 23 of the General Clauses Act, 1897 in the Official Gazette of India. Any person having any observations on the proposed amendment to the said Regulations can send the same in writing to the undersigned at the Exchange Plaz, C-1, Block G, Bandra-Kurla Complex, Bandra (East), Mumbai - 400 051 within 15 days from the date of this publication in the Gazette. The observations received after the aforementioned date will not be considered when the draft amendment will be taken for consideration:-

- It is proposed to insert the following new clause as Bye-Law No. 8 in "Chapter VII: Dealing by Clearing Members" of NCL Bye-Law applicable to Capital Market segment, Futures & Options segment, Currency Segment and Commodity segment after the existing Bye-Law No.7 :-

Quote

8 - Potential Default by Clearing Member

(1) The Clearing Corporation shall act in accordance with the circulars issued by SEBI from time to time with respect to Standard Operating Procedure in cases of Clearing Member leading to default.

(2) In accordance with the Circular(s) issued by SEBI under the above Byelaw, the Clearing Corporation shall issue instructions to the bank(s) concerned to freeze the bank account(s) maintained by a Clearing Member, for all debits / withdrawal by the Clearing Member in the event of potential default by such a Clearing Member in meeting its obligations to a recognised stock exchange / Clearing Member / Clearing Corporation and / or repayment of funds / securities to his / its clients.

Unquote

For NSE Clearing Limited
CHIRAG S. NAGDA
Company Secretary

NSE CLEARING LIMITED

Regd. Office:- Exchange Plot No. C-1, Block G, Bandra-Kurla Complex, Bandra (East), Mumbai - 400 051

NCL Rules (Capital Market segment, Commodity Derivatives segment, Futures & Options segment and Currency Derivatives Segment) are amended as under:

The following new clauses are inserted as new Sub-Rules i.e., Sub-Rule No. 4 and Sub-Rules No. 5 under Rule 9 in Chapter IV "RESIGNATION" of NCL Rules applicable to Capital Market segment, Commodity Derivatives segment, Futures & Options segment and Currency Derivatives Segment after the existing Sub-Rule No. 3:-

Quote

(4) A non-defaulting Clearing Member may resign unconditionally within a period of 30 calendar days in the circumstances specified in explanation 2 to Bye Law 8 of Chapter XII of NCL Bye-Laws after making additional contribution as required by Clearing Corporation to Core Settlement Guarantee Fund. In such resignation cases, the provisions of clause (2) above shall not be applicable.

(5) The resignation under clause (4) above shall be subject to fulfillment of the following conditions :

- The non-defaulting Clearing Member has closed out/settled outstanding positions
- The non-defaulting Clearing Member has paid the capped additional contribution to the Clearing Corporation
- The non-defaulting Clearing Member has paid outstanding dues to SEBI

Unquote

For NSE Clearing Limited
CHIRAG S. NAGDA
Company Secretary

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

Registered Office: Exchange Plaza, Plot No. C-1, Block G, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051

The Byelaws of the National Stock Exchange of India Limited (NSEIL) are amended as under:

NSEIL Byelaws

1. Definition

The following be added to Chapter on Definition:

Authorised Person- Any person - individual, partnership firm, LLP or body corporate – who is appointed as such by a stock broker (including trading member) and who provides access to trading platform of a stock exchange as an agent of the stock broker.

2. Chapter V-Trading Segments

2(i) A trading member shall not deal with sub-brokers who are not registered with SEBI nor allow operation of its trading terminal at any office other than its registered office, branch offices and the offices of its registered sub-brokers.

Amended Provision

Deleted

3. Chapter XI-Arbitration

i. Definition

The following amendment is proposed

Existing Provision

Admissible Claim Value shall mean the claim value admissible to the Constituent as ascertained by the Investor Grievance Redressal Committee or Panel and recorded in the directions or order.

Amended Provision

Admissible Claim Value shall mean the claim value admissible to the Constituent as ascertained by the Grievance Redressal Committee or Panel and recorded in the directions or order.

ii. The word sub-broker is proposed to be substituted as given under (words in bold):

(1)(A)- All claims, differences or disputes between the Trading Members and authorised persons and between authorised persons and Clients of authorised persons arising out of or in relation to dealings, contracts and transactions made subject to the Byelaws, Rules and Regulations of the Exchange or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfilment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions and contracts have been entered into shall be submitted to arbitration in accordance with the provisions of these Byelaws and Regulations; Provided in disputes between authorised persons and clients of authorised persons, the Trading Member should be added as a necessary party.

(13)(B)- For cases, other than cases falling under the purview of Byelaw 13A above, where the arbitration award is passed against the Trading Member and/or its authorised persons and in favour of a Constituent, the Exchange may debit from the deposits or other monies of the Trading Member lying with the Exchange, the amount of award payable to the awardee together with interest payable, if any, till the date of debit after setting off the counter claim of the Trading Member and /or its authorised persons allowed under the award, if any, and keep aside the said amount in a separate account to be dealt with in such manner as mentioned in Byelaw 13C below

Provided however, where the award is for the delivery of securities, the Exchange may consider the closing price of such securities on the Exchange as on the date of the award or such other date the relevant authority may specify to be reasonable, stating reasons for arriving at the value of such securities and award amount

iii. In Byelaw 13A the clauses (i), (ii), (iii) and (vii) shall be substituted as:—

- (i) In cases where the Trading Member informs the exchange of his intention to pursue arbitration against the Order of IGRP in favour of the Constituent, within 7 days from the date of signing of IGRP Order, and the admissible claim value is not more than Rupees Twenty Lakhs then 50% of the admissible claim value or Rs. 2.00 Lakhs, whichever is less, shall be released to the Constituent from Investor Protection Fund (IPF) of the Exchange.
- (ii) In case the arbitration award is in favour of the Constituent in the matter as mentioned in Clause (i) above or Arbitration Award passed in favour of the Constituent is not more than Rupees Twenty Lakhs in the matter directly filed for arbitration and the member conveys his intention of preferring appeal against such arbitral award within 7 days from the date of receipt of the award, then 50% of the award amount or Rs. 3.00 lakhs, whichever is less will be released to the constituent from IPF of the Stock Exchanges. The amount released shall exclude the amount already released to the constituent at clause (i) above.

- (iii) In case the appellate arbitration award is in favour of the constituent and the trading member conveys his intention of filing petition in court to set aside the Appellate arbitration award u/s 34 of the Arbitration and Conciliation Act, 1996 within 7 days from the receipt of the Appellate Arbitration Award, then 75% of the amount determined in the appellate arbitration award or Rs. 5.00 lakhs (Rs. Five Lakhs), whichever is less will be released to the Constituent from IPF of the Stock Exchanges. The amount released shall exclude the amount already released to the constituent at clause (i) and (ii) above.
- (iv)
- (v)
- (vi)
- (vii) (a) In case Constituent loses at any stage of the proceedings and decides not to pursue the matter further, then the Constituent shall refund the amount released from IPF, back to the IPF of the Exchange. In case the Constituent fails to make good the amount released out of IPF then the Constituent (based on the PAN of the Constituent) shall not be allowed to trade on any of the Exchanges till such time the Constituent refunds the amount to IPF. Further the securities lying in the demat account(s) of the Constituent shall be frozen till such time as the Constituent refunds the amount to the IPF
- (viii) (b) In addition to the above, the constituent may also be declared as a defaulter if the constituent does not pay the award amount to the trading member as directed in the IGRP/arbitration/appellate arbitration order and also does not appeal at the next level of redressal mechanism within the timelines prescribed by SEBI or file an application to court to set aside such order in accordance with section 34 of the Arbitration and Conciliation Act, 1996 (in case aggrieved by arbitration or appellate award). The common database of such clients shall be accessible to members/depository participants across the stock exchanges/depositories.

iv. Clause (4), Sub Clauses (i), (ii) and (iii) shall be substituted as:—

- (i) In cases where the Trading Member informs the Exchange of his intention to pursue arbitration against the order of the IGRP in favour of the Constituent, within 7 days from the date of signing of IGRP order, and the admissible claim value is not more than Rupees Twenty Lakhs, then 50% of the admissible claim value or Rs. 2.00 lakhs, whichever is less, shall be released to the Constituent from IPF of the Exchange.
- (ii) In case the arbitration award is in favour of the Constituent in the matter as mentioned in clause (i) above or Arbitration award in favour of the constituent is not more than Rupees Twenty Lakhs in the matter directly filed for arbitration and the Member conveys his intention of preferring appeal against such arbitral award within 7 days from the date of receipt of the award, then 50% of the award amount or Rs. 3.00 lakhs, whichever is less will be released to the constituent from IPF of the Stock Exchanges. The amount released shall exclude the amount already released to the constituent at clause (i) above.
- (iii) In case the appellate arbitration award is in favour of the Constituent and the trading member opts for making an application under Section 34 of the Arbitration and Conciliation Act, 1996 to set aside the appellate arbitration award within 7 days from the receipt of the Appellate Arbitration Award, then a positive difference of 75% of the amount determined in the appellate arbitration award or Rs. 5.00 lakhs (Rs. Five Lakhs), whichever is less, will be released to the Constituent from IPF of the Stock Exchanges. The amount released shall exclude the amount already released to the Constituent at Clause (i) and (ii) above. .
- (iv)
- (v)
- (vi)
- (vii)
- (viii)

v. Byelaw (17) shall be substituted as:

Jurisdiction

(17) The arbitration and appellate arbitration shall be conducted at the regional centre nearest to the address provided by Constituent in the KYC form or as per the change in address communicated thereafter by the Constituent to the trading member. Further, in case the award amount is more than Rs. 50 Lakh, the next level of proceedings (arbitration and appellate arbitration) may take place at the nearest metro city, if desired by any of the party involved. The additional cost for arbitration and appellate arbitration, if any, is to be borne by the appealing party. Furthermore, the application under Section 34 of the Act, if any, against the decision of the Appellate Arbitral Award passed by the Appellate Arbitrator shall be filed in the competent court nearest to the address provided by Constituent in the KYC form or as per the change in address communicated thereafter by the Constituent to the trading member.

RENU BHANDARI
Authorized Signatory

NATIONAL COMMODITY CLEARING LIMITED

Ackruti Corporate Park, 1st Floor, Near G.E.Garden, L.B.S. Marg, Kanjurmarg (West), Mumbai - 400 078.

CIN: U74992MH2006PLC163550

Subject to SEBI's approval, it is proposed to make the following amendments in the Articles of Associations of National Commodity Clearing Limited (NCCL). The proposed amendments are published as per Rule 18 of Securities Contracts (Regulation) Rules, 1957 for information/public comments/criticism. Any person having any comments/ observations on the proposed amendments to the Articles of Associations of NCCL may send the same to NCCL at rahul.poojari@nccl.co.in within fifteen days from the date of this publication. The observation received after the said fifteenth day will not be considered. The draft will be taken in to consideration immediately after expiry of fifteen days.

The proposed amendments to the Articles of Association of National Commodity Clearing Limited are as follows:

- (1) The Article 2 be amended as given here under words in bold be included:

The regulations for the management of the Company and for the observance by the Members thereof and their representatives, shall subject to any exercise of the statutory powers of the Company with reference to the repeal or alteration of, or addition to its regulations by special resolution, or as prescribed by the Companies Act, 2013, be such as are contained in these Articles. No provision of the Articles of Association shall operate in contravention of any provisions of Securities Contracts (Regulation) Act, 1956, Securities Contracts Regulation Rules, 1957, Securities and Exchange Board of India Act, 1992 or any Rules or Regulations and Circulars etc., issued by SEBI from time to time. The provisions of these Articles shall be subject to the applicable provisions of the Companies Act, 2013, and the rules made thereunder and any requirements of applicable law.

- (2) The Article 3 (2) (l) be amended as given here under words in strike through mode be deleted:

“Control” shall have the same meaning as assigned to it under clause (e) of sub regulation (1) of regulation 2 of the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 ~~or any mode.~~

- (3) The Article 3 (2) (m) be amended as given here under words in bold be included and words in strike through mode be deleted:

“Debenture” ~~includes Debenture Stock, bonds or any other instrument of a Company evidencing a debt, whether constituting a charge on the assets of the Company or not~~ shall have the same meaning as assigned to it under Section 2 (30) of the Companies Act, 2013.

- (4) The Article 3 (2) (v) be amended as given here under words in bold be included and words in strike through mode be deleted:

“Fit and proper” shall mean the Fit and proper criteria as laid down ~~in the SCRA 1956, SEBI Act 1992, Depositories Act 1996 and any rules, regulations, circulars, guidelines or directions issued there under by SEBI in this regard~~ under the Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018.

- (5) The Article 3 (2) (y) be amended as given here under words in strike through mode be deleted:

“Independent Director” means a person as defined in Section 149(6) of the Act and shall include Public Interest Director as defined elsewhere. Public Interest Director means an independent director, representing the interests of investors in securities market and who is not having any association, directly or indirectly, which in the opinion of the SEBI, is in conflict with his role ~~as such in the Clearing Corporation.~~

- (6) The Article 3 (2) (bb) be amended as given here under words in strike through mode be deleted:

“Key Managerial Personnel” means ~~key management personnel as defined under Regulation 2(1)(i) of SECC Regulations and includes any person defined as key managerial personnel defined under section 2 (51) of the Companies Act, 2013.~~

- (7) The Article 3 (2) (oo) be amended as given here under words in bold be included and words in strike through mode be deleted:

“Foreign portfolio investor” shall have the same meaning as provided under Regulation 2 (1) (j) ~~h~~ of ~~under~~ the SEBI (Foreign Portfolio Investors) Regulations, 2014.

- (8) The Article 3 (2) (rr) be amended as given here under words in strike through mode be deleted:

“Regulatory department” means a department of the Company ~~as per provisions contained in Procedural Norms~~ which is entrusted with regulatory powers and duties and includes such department as may be specified by SEBI.

- (9) The Article 3 (2) (ww) be amended as given here under words in bold be included and words in strike through mode be deleted:

“SECC Regulations” means Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018 ~~2~~ and include any statutory modification or re-enactment thereof, Circulars and guidelines issued, for the time being in force.

- (10) The Article 3 (2) (ccc) be amended as given here under words in bold be included and words in strike through mode be deleted:

“Shareholder director” shall have the same meaning as assigned to it under Section 2 (1) (t #) of the Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018 ~~SECC Regulations~~.

- (11) The Article 10 be amended as given here under words in bold be included:

Subject to the provisions of the Act and these Articles, the shares in the Capital of the Company for the time being (including any shares forming part of any increased capital of the Company) shall be under the control of the Directors who may issue, allot or otherwise dispose of the same or any of them to such persons, in such proportion and on such terms and conditions and either at a premium or at par and at such time as they may from time to time think fit and with the sanction of the Company in the General Meeting to give any person or persons the option or right to call for any shares either at par or premium during such time and for such consideration as the directors think fit, and may issue and allot shares in the capital of the Company on payment in full or part of any property sold and transferred or for any services rendered to the Company in the conduct of its business and any shares which may so be allotted may be issued as fully paid up shares and if so issued, shall be deemed to be fully paid shares. Provided that option or right to call of shares shall not be given to any person or persons without the sanction of the Company in General Meeting.

- (12) The Article 14 (d) be amended as given here under words in bold be included:

The Company shall not grant any sweat equity or grant options under such ESOP plan(s) to its directors and/or to its key managerial personnel identified under the provisions of SECC Regulations.

- (13) The Article 23 be amended as given here under words in bold be included:

The Board may, from time to time, make calls upon the Members in respect of any monies unpaid on their shares (whether on account of the nominal value of the shares or by way of premium) and not by the conditions of allotment thereof made payable at fixed times.

Provided that no call shall exceed one-fourth of the nominal value of the share or be payable at less than one month from the date fixed for the payment of the last preceding call.

Provided further that the Board shall not give the option or right to call on shares to any person except with the sanction of the Company in a General Meeting.

Each Member shall, subject to receiving at least fourteen days notice specifying the time or times and place of payment, pay to the company, at the time or times and place so specified, the amount called on his shares.

A call shall be deemed to have been made at the time when the resolution of the Board authorizing the call was passed and may be required to be paid by installments.

The joint-holders of a share shall be jointly and severally liable to pay all calls in respect thereof.

- (14) The Article 47 be amended as given here under words in bold be included and words in strike through mode be deleted:

(i) Notwithstanding anything contained in these Articles, no person shall, directly or indirectly, acquire or hold any equity shares in or voting rights of the Clearing Corporation unless he is a fit and proper person, as per the criteria prescribed by SEBI.

(ii) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquires ~~ing~~ equity shares in or voting rights of the Clearing Corporation, that entitles the person(s) so acquiring to exercise any voting rights in the range of two percent to five percent, ~~such that his shareholding in the Clearing Corporation exceeds two per cent of the paid up equity share capital of Clearing Corporation~~, shall seek approval of SEBI within fifteen days of such the acquisition.

(iii) Any person eligible to acquire or hold more than five per cent of the paid up equity share capital under Article 48 (ii) and (iii) ~~sub Article (ii) above (shareholding in Clearing Corporation)~~, may acquire or hold more than five percent of the paid up equity share capital of the Clearing Corporation, only if, he has obtained the prior approval of SEBI.

(iv) If approval under sub-Article (ii) above is not granted by SEBI to any person, such person shall forthwith divest his entire ~~excess~~ shareholding in the Clearing Corporation. ~~if any~~.

(v) Any person holding ~~more than~~ two per cent or more of the paid up equity share capital in the Clearing Corporation shall file a declaration with the Clearing Corporation within fifteen days from the end of every financial year that he complies with the fit and proper criteria prescribed by SEBI.

(vi) Subject to applicable law, in the event of any person ceasing to be a 'fit and proper person' or being declared so, by SEBI, such person shall forthwith divest his shareholding in the Clearing Corporation. Further, pending divestment

of shares, the voting rights of such person in the Clearing Corporation shall stand extinguished and any corporate benefit in lieu of such holding shall be kept in abeyance /withheld by Clearing Corporation. The Clearing Corporation shall take necessary steps, as it may deem fit, so as to ensure that the shareholding of such person is divested forthwith.

For the purposes of these Articles, a person shall be deemed to be a fit and proper person, as per the criteria prescribed under the Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018, (as applicable and amended from time to time and includes any re-enactment thereof). ~~directives of SEBI, as amended from time to time and which, at present, are as follows~~

(a) ~~such person has a general reputation and record of fairness and integrity, including but not limited to—~~

- ~~(i) financial integrity;~~
- ~~(ii) good reputation and character; and~~
- ~~(iii) honesty;~~

(b) ~~such person has not incurred any of the following disqualifications~~

- ~~(i) the person, or any of its whole time directors or managing partners, has been convicted by a Court for any offence involving moral turpitude or any economic offence, or any offence against the securities laws;~~
- ~~(ii) an order for winding up has been passed against the person;~~
- ~~(iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;~~
- ~~(iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners from dealing in commodity derivatives or securities or from accessing the commodity derivative or securities market, has been passed by SEBI or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;~~
- ~~(v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by SEBI or any other regulatory authority and a period of three years from the date of the order has not elapsed;~~
- ~~(vi) the person has been found to be of unsound mind by a Court of competent jurisdiction and the finding is in force; and~~
- ~~(vii) the person is financially not sound.~~

The question arising as to whether a person is a fit and proper person, the decision of SEBI in this behalf shall be final.

- (15) The Article 48 including its heading be amended as given here under words in bold be included and words in strike through mode be deleted:

SHAREHOLDING IN ~~A RECOGNISED~~ CLEARING CORPORATION

- (i) Atleast fifty one per cent of the paid up equity share capital of ~~a recognized~~ the Clearing Corporation shall be held by one or more recognized stock exchange(s):

Provided that no recognized stock exchange shall, directly or indirectly, either individually or together with persons acting in concert, acquire or hold more than fifteen per cent of the paid up equity share capital in more than one recognized clearing corporation.

- (ii) No person resident in India, except a recognized stock exchange as permitted in sub-article (i) above, shall at any time, directly or indirectly, either individually or together with persons acting in concert, acquire or hold more than five per cent of the paid up equity share capital in ~~a recognized~~ the Clearing Corporation:

Provided that,—

- (i) a depository;
- (ii) a banking company;
- (iii) an insurance company; and
- (iv) a public financial institution,

may acquire or hold, either directly or indirectly, either individually or together with persons acting in concert, up to fifteen per cent of the paid up equity share capital of ~~a recognized~~ the Clearing Corporation.

- (iii) No person resident outside India shall, directly or indirectly, either individually or together with persons acting in concert, acquire or hold more than five per cent of the paid up equity share capital ~~in a recognized~~ of the Clearing Corporation.

Provided that,—

- (i) a foreign stock exchange;
 - (ii) a foreign depository
 - (iii) a foreign banking company;
 - (iv) a foreign insurance company;
 - (v) a foreign commodity derivatives exchange and
 - (vi) a bilateral or multilateral financial institution approved by the Central Government, may acquire or hold, either directly or indirectly, either individually or together with persons acting in concert, up to fifteen per cent of the paid up equity share capital of the Clearing Corporation.
- (iv) Subject to the limits as otherwise prescribed by the Central Government from time to time, the combined holding of all persons resident outside India in the paid up equity share capital of ~~a recognized~~ the Clearing Corporation shall not exceed, at any time, forty-nine per cent of its total paid up equity share capital.
- (v) The Clearing Corporation shall monitor and at all times ensure compliance with the requirements and guidelines in this regard, issued by SEBI.
- (vi) (i) The shareholding or voting rights of any person in the ~~recognized~~ Clearing Corporation shall not exceed the limits specified in these Articles.
- (ii) Further, the shareholding as specified in these Articles shall include any instrument owned or controlled, directly or indirectly, that provides for entitlement to equity or rights over equity at any future date.
- (vii) Without prejudice to the provisions of the SCRA, rules and SECC regulations, the Clearing Corporation shall disclose to SEBI, in the format specified by the SEBI, shareholding pattern on a quarterly basis within fifteen days from the end of each quarter, including therein the following:—
- (a) the names of the ten largest shareholders along with the number and percentage of shares held by them;
 - (b) the names of the shareholders falling under regulations ~~17 and~~ 18 of SECC Regulations who had acquired shares in that quarter.
- The Clearing Corporation shall monitor and ensure compliance with the foregoing requirements at all times.
- (viii) In addition to the requirements under other laws in force, the ~~recognised~~ Clearing Corporation shall maintain and preserve all the books, registers, other documents and records relating to the issue or transfer of its securities for a period of not less than ~~ten~~ eight years.

- (16) The Article 73 be amended as given here under words in bold be included:

The new shares (resulting from an increase of capital as aforesaid) may, subject to the provisions of The Act and these Articles, be issued or disposed of by the Company in the General Meeting or by the Directors under their powers in accordance with these Articles and the following provisions:-

- 1.(a) Such new shares shall be offered to the persons who at the date of the offer are holders of the equity shares of the Company in proportion as nearly as circumstances admit to the capital paid-up on those shares at the date;
 - (b) The offer aforesaid shall be made by notice specifying the number of shares offered and limiting a time not being less than fifteen days from the date of the offer, within which the offer, if not accepted, will be deemed to have been declined;
 - (c) The offer aforesaid shall be deemed to include a right exercisable by the person concerned to renounce the shares offered to him or any of them in favour of any other person and the notice referred to in clause (b) shall contain a statement of this right;
 - (d) After the expiry of time specified in the notice aforesaid or on receipt of earlier intimation from the person to whom such notice is given that the person declines to accept the shares offered, the Board of Directors may dispose off them in such manner as they think most beneficial to the Company;
2. Nothing in clause (c) of sub-article (1) shall be deemed:-
- (a) to extend the time within which the offer should be accepted; or
 - (b) to authorise any person to exercise the right of renunciation for a second time on the ground that the person in whose favour the renunciation was first made has declined to take the shares comprised in the renunciation.

3. Notwithstanding anything contained in sub-article (1), further shares aforesaid may be offered to any persons (whether or not those persons include the persons referred to in clause (a) of sub-article (1) hereof) in any manner whatsoever.

- a) If a special resolution to that effect is passed by the Company in general meeting, or
- b) Where no such resolution is passed, if the votes cast (whether on a show of hands or on a poll as the case may be) in favour of the proposal contained in the resolution moved in that general meeting (including the casting vote, if any, of the Chairman) by members who, being entitled so to do, vote in person, or where proxies are allowed, by proxy, exceed the votes, if any, cast against the proposal by members, so entitled and voting and the Central Government is satisfied, on an application made by the Board of Directors in this behalf, that the proposal is most beneficial to the Company.

4. Nothing in this Article shall apply to the increase of the subscribed capital of the Company caused by the exercise of an option attached to the debentures issued or loans raised by the Company:

- (i) To convert such debentures or loans into shares in the Company; or
- (ii) To subscribe for shares in the Company.

Provided that the terms of issue of such debentures or the terms of such loans include a term providing for such option and such term:

- (a) Either has been approved by the Central Government before the issue of debentures or the raising of the loans or is in conformity with Rules, if any, made by that Government in this behalf; and
- (b) In the case of debentures or loans or other than debentures issued to, or loans obtained from the Government or any institution specified by the Central Government in this behalf, has also been approved by the special resolution passed by the Company in General Meeting before the issue of the loans.

(17) The Article 82 be amended as given here under words in bold be included:

Any bonds, debentures, debenture stocks or other securities may be issued at a discount, premium or otherwise and may be issued on condition that they shall be convertible into shares of any denomination and with any special privileges as to redemption, surrender, drawing, allotment of shares, attending (but not voting) at General Meetings of the Company, appointment of Directors and otherwise. Debentures with the right to conversion into or allotment of shares shall be issued only with the consent of the Company in the General Meeting by a Special Resolution.

(18) The Article 126 be amended as given here under words in bold be included and words in strike through mode be deleted:

The Board shall include –

- (i) Public Interest directors;
- (ii) Shareholder directors; and
- (iii) Managing Director.

Notwithstanding anything to the contrary contained in these Articles, SECC Regulations, directives issued by SEBI, Circulars issued from time to time with regard to composition of the Board, general requirements related to manner of appointment of directors, key management personnel, 'code of conduct for Directors and key management personnel' and other incidental and consequential matters relating to governance of the Company shall be complied with.

The manner of election, appointment, tenure, resignation, vacation etc. of Directors shall be governed by the Companies Act, 2013, the SECC Regulations, the directives issued by SEBI and the Circulars issued from time to time.

- (1) The number of public interest directors on the Board of Directors of a recognised the Clearing Corporation shall not be less than ~~two third, and shareholder directors shall not exceed one third, of its Board~~ the number of shareholder directors.
- (2) The managing director shall be ~~an ex-officio director on the Board of Director and shall not be included in either the category of public interest directors or included in the category of shareholder directors. Any employee of the Clearing Corporation may be appointed on the Board of Directors in addition to the managing director, and such director shall be deemed to be a shareholder director. At least one public interest director shall be present in the meetings of the Board of Directors to constitute the quorum.~~ The number of public interest directors in a meeting shall not be less than the number of shareholder directors to constitute the quorum for the meeting of the Board of Directors.
- (3) The persons to be appointed as Directors should satisfy the criteria of "fit and proper person" as prescribed by SEBI.
- (4) No trading member or clearing member, or their associates and agents, irrespective of the stock exchange / clearing corporation of which they are members, shall be on the Board of Directors of the Clearing Corporation.
- (5) No foreign portfolio investor shall have any representation in the Board of Directors of the Clearing Corporation.

- (19) The Article 127 (a) 1 be amended as given here under words in bold be included and words in strike through mode be deleted:

The public interest directors on the Board of Directors of the Clearing Corporation shall be nominated by the SEBI. ~~Public interest directors shall be nominated for a fixed term of three years, or for such extended period, as may be approved by SEBI.~~ Public interest directors shall be nominated for a term of three years, extendable by another term of three years, subject to performance review in the manner as may be specified by SEBI. After the expiry of term(s) at recognised stock exchange or recognised clearing corporation, a public interest director may be nominated for a further term of three years in another recognised stock exchange or recognised clearing corporation or depository, only after a cooling-off period of one year. If any issue arises as to whether an assignment or position of a public interest director is in conflict with his role, the SEBI's decision shall be final. ~~A public interest director may be renominated after a cooling-off period of one year or such period as the SEBI may deem fit in the interest of the securities market.~~ Public interest directors shall be paid only sitting fees as specified in the Companies Act, 2013.

- (20) The Article 127 (a) 3 be amended as given here under words in bold be included and words in strike through mode be deleted:

The Clearing Corporation shall ensure that public interest directors are selected from diverse field of work. While deciding to propose a particular person as a public interest director, the Clearing Corporation shall also take into account the following factors:

- a) Qualification in the area of law, finance, accounting, economics, management, administration or any other area relevant to the financial markets.
- b) Atleast one person may be inducted having experience and background in finance / accounts who may preferably be inducted in the audit committee.
- c) Persons currently holding positions of trust and responsibility in reputed organisations or person who have retired from such positions.
- d) Persons who are likely to have interested positions in commercial contracts and financial affairs of the Company, may be excluded. Also, persons who are regular traders/speculators in the market or are director in the board of the promoter entity of the ~~Exchange~~ Clearing Corporation shall be excluded.

- (21) The Article 127 (a) 4 be amended as given here under words in bold be included:

Public interest directors shall not be simultaneously on the board of the subsidiary of the Clearing Corporation or on the board of any other Exchange/Clearing Corporation / Depository or their subsidiary.

- (22) The Article 127 (a) 6 be amended as given here under words in bold be included and words in strike through mode be deleted:

In case of extension of the term of the public interest director or appointment of a new public interest director, the Clearing Corporation shall apply to SEBI two months before the expiry of the term. In addition to the other requirements prescribed, the application for extension of term of the public interest director shall be accompanied with, his attendance details on meetings of various mandatory committees and on the Board of Directors of the Clearing Corporation, ~~reasons for waiver of the cooling-off period~~ performance review and the reasons for extension of the term.

- (23) The following new Article 127 (a) 9 be inserted after existing Article 127 (a) 8:

A person may be nominated as a public interest director for a maximum of three terms across recognised stock exchanges / recognised clearing corporations / depositories, subject to a maximum age limit of seventy five years.

- (24) The following new Article 127 (a) 10 be inserted after new Article 127 (a) 9:

A public interest director shall not act simultaneously as a member on more than five committees of Clearing Corporation. The limitation on maximum number of committees that a public interest director can be member of shall be applicable only to statutory committees prescribed by SEBI under SECC Regulations.

- (25) The following new Article 127 (a) 11 be inserted after new Article 127 (a) 10:

A public interest director shall keep the Board of Directors apprised of any conflict of interest, which may arise as a result of the public interest director providing services, either directly or indirectly, to any trading member or clearing member or their associates and agents.

- (26) The Article 128 be amended as given here under words in bold be included and words in strike through mode be deleted:

To comply with Guidelines of SEBI

- a. Every director of the Company shall abide by the Code of Conduct as specified under Part A of Schedule II of SECC Regulations.

- b. Every director of the Company shall abide by the Code of Ethics as specified under Part B of Schedule II of SECC Regulations.
- c. Every director of the Company shall be a 'Fit & Proper' person as specified under Regulation 20 of SECC Regulations.

The Code of Conduct is as under;

i. ~~Meetings and minutes~~

~~Every director of the Clearing Corporation shall—~~

- ~~a) not participate in discussions on any subject matter in which any conflict of interest exists or arises, whether pecuniary or otherwise, and in such cases the same shall be disclosed and recorded in the minutes of the meeting;~~
- ~~b) not encourage the circulation of agenda papers during the meeting, unless circumstances so require;~~
- ~~c) offer their comments on the draft minutes and ensure that the same are incorporated in the final minutes;~~
- ~~d) insist on the minutes of the previous meeting being placed for approval in subsequent meeting;~~
- ~~e) endeavour to have the date of next meeting fixed at each board meeting in consultation with other members of the board;~~
- ~~endeavour to ensure that in case all the items of the agenda of a meeting were not covered for want of time, the next meeting is held within fifteen days for considering the remaining items~~

ii. ~~Code of Conduct for the public interest directors.~~

- ~~a) In addition to the conditions stated in Para (i) above, public interest directors of the Clearing Corporation shall, endeavour to attend all the board meetings and they shall be liable to vacate office if they remain absent for three consecutive meetings of the board or do not attend seventy five per cent of the total meetings of the board in a calendar year.~~
- ~~b) Public interest directors shall meet separately, at least once in six months to Clearing Corporation views on critical issues.~~

iii. ~~Strategic planning.~~

~~Every director of the Clearing Corporation shall—~~

- ~~a) participate in the formulation and execution of strategies in the best interest of the Clearing Corporation and contribute towards pro active decision making at the board level;~~
- ~~b) give benefit of their experience and expertise to the Clearing Corporation and provide assistance in strategic planning and execution of decisions.~~
- ~~c) endeavour to ensure that the Clearing Corporation takes steps commensurate to honour the time limit stipulated by SEBI for corrective action;~~
- ~~d) not support any decision in the meeting of the board which may adversely affect the interest of investors and shall report forthwith any such decision to the SEBI.~~

vi. ~~Regulatory compliances.~~

~~Every director of the Clearing Corporation shall—~~

- ~~a) endeavour to ensure that the Clearing Corporation abides by all the provisions of the Securities and Exchange Board of India Act, 1992, Securities Contracts (Regulation) Act, 1956, rules and regulations framed thereunder and the circulars, directions issued by SEBI from time to time;~~
- ~~b) endeavour compliance at all levels so that the regulatory system does not suffer any breaches;~~
- ~~c) endeavour to ensure that the Clearing Corporation takes steps commensurate to honour the time limit stipulated by SEBI for corrective action;~~
- ~~d) not support any decision in the meeting of the board which may adversely affect the interest of investors and shall report forthwith any such decision to the SEBI.~~

v. ~~General responsibility.~~

~~Every director of the Clearing Corporation shall—~~

- ~~a) place priority for redressing investor grievances and encouraging fair trade practice so that the Clearing Corporation becomes an engine for the growth of the securities market;~~
- ~~b) endeavour to analyse and administer the Clearing Corporation issues with professional competence, fairness, impartiality, efficiency and effectiveness;~~

- ~~e) submit the necessary disclosures/statement of holdings/dealings in securities as required by the Clearing Corporation from time to time as per their Rules or Articles of Association;~~
- ~~d) unless otherwise required by law, maintain confidentiality and shall not divulge/disclose any information obtained in the discharge of their duty and no such information shall be used for personal gains;~~
- ~~e) maintain the highest standards of personal integrity, truthfulness, honesty and fortitude in discharge of their duties in order to inspire public confidence and shall not engage in acts discreditable to their responsibilities;~~
- ~~f) perform their duties in an independent and objective manner and avoid activities that may impair, or may appear to impair, their independence or objectivity or official duties;~~
- ~~g) perform their duties with a positive attitude and constructively support open communication, creativity, dedication, and compassion;~~
- ~~h) not engage in any act involving moral turpitude, dishonesty, fraud, deceit, or misrepresentation or any other act prejudicial to the administration of the Clearing Corporation.~~

128. b. Every director and key management personnel of Clearing Corporation shall abide by the Code of Ethics as set out hereunder:

Code of Ethics

i. Objectives and underlying principles.

The Code of Ethics for directors and key management personnel of the Clearing Corporation seeks to establish a minimum level of business/ professional ethics to be followed by these directors and key management personnel, towards establishing a fair and transparent marketplace. The Code of Ethics is based on the following fundamental principles:

- Fairness and transparency in dealing with matters relating to the Clearing Corporation and the investors.
- Compliance with all laws/ rules/ regulations laid down by regulatory agencies/ the Clearing Corporation.
- Exercising due diligence in the performance of duties.
- Avoidance of conflict of interest between self interest of directors/ key management personnel and interests of the Clearing Corporation and investors.

ii. Ethics committee.

For overseeing implementation of this Code, an ethics committee shall be constituted by the Clearing Corporation under the respective Board of Directors.

iii. General standards.

- a) Directors and key management personnel shall endeavour to promote greater awareness and understanding of ethical responsibilities.
- b) Directors and key management personnel, in the conduct of their business shall observe high standards of commercial honour and just and equitable principles of trade.
- c) The conduct of directors and key management personnel in business life should be exemplary which will set a standard for other members of the Clearing Corporation.
- d) Directors and key management personnel shall not use their position to give/get favours to/from the executive or administrative staff of Clearing Corporation, technology or service providers and vendors of the Clearing Corporation.
- e) Directors and key management personnel shall not commit any act which will put the reputation of the Clearing Corporation, in jeopardy.
- f) Directors, committee members and key management personnel of the Clearing Corporation, should comply with all rules and regulations applicable to the securities market.

iv. Disclosure of dealings in securities by key management personnel of the Clearing Corporation.

- a) Key management personnel of the Clearing Corporation shall disclose on a periodic basis as determined by the Clearing Corporation (which could be monthly), all their dealings in securities, directly or indirectly, to the Board of Directors/ ethics committee/ Compliance Officer.
- b) The dealings in securities shall also be subject to trading restrictions for securities about which key management personnel in the Clearing Corporation may have non public price sensitive information. Requirement laid down under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992 may be referred in this regard.

- ~~e) All transactions must be of an investment nature and not speculative in nature. Towards this end, all securities purchased must be held for a minimum period of sixty days before they are sold. However, in specific/exceptional circumstances, sale can be effected anytime by obtaining pre clearance from the Compliance Officer to waive this condition after recording in writing his satisfaction in this regard.~~
- ~~Explanation. — "securities" for the purposes of this Code shall not include mutual fund units~~
- ~~v. — Disclosure of dealings in securities by directors of the Clearing Corporation~~
- ~~a) All transactions in securities by the directors and their family shall be disclosed to the Board Directors of the Clearing Corporation.~~
- ~~b) All directors shall also disclose the trading conducted by firms/corporate entities in which they hold twenty per cent. or more beneficial interest or hold a controlling interest, to the Ethics Committee.~~
- ~~e) Directors who are Govt. of India nominees or nominees of Govt. of India statutory bodies or financial institutions and are governed by their own codes shall be exempt from this requirement.~~
- ~~vi. — Avoidance of conflict of interest.~~
- ~~a) No director of the Board or member of any committee of the Exchange shall participate in any decision making/adjudication in respect of any person /matter in which he is in any way, directly or indirectly, concerned or interested.~~
- ~~b) Whether there is any conflict of interest or not in a matter, should be decided by the Board of Directors.~~
- ~~vii. — Disclosures of beneficial interest.~~
- ~~All directors and key management personnel shall disclose to Board of Directors, upon assuming office and during their tenure in office, whenever the following arises:~~
- ~~a) any fiduciary relationship of self and family members and directorship/partnership of self and family members in any trading member or clearing member;~~
- ~~b) shareholding, in cases where the shareholding of the director, directly or through his family exceeds 5 per cent. in any listed company or in other entities related to the securities markets;~~
- ~~c) any other business interests.~~
- ~~viii. — Role of the Chairperson and directors in the day to day functioning of the Clearing Corporation.~~
- ~~a) The Chairperson and directors shall not interfere in the day to day functioning of the Clearing Corporation and shall limit their role to decision making on policy issues and to issues as the Board of Directors may decide.~~
- ~~b) The Chairperson and directors shall abstain from influencing the employees of the Clearing Corporation in conducting their day to day activities.~~
- ~~c) The Chairperson and directors shall not be directly involved in the function of appointment and promotion of employees unless specifically so decided by the Board of Directors.~~
- ~~ix. — Access to information.~~
- ~~a) Directors shall call for information only as part of specific committees or as may be authorised by the Board of Directors.~~
- ~~b) There shall be prescribed channels through which information shall move and further there shall be audit trail of the same. Any retrieval of confidential documents/ information shall be properly recorded.~~
- ~~c) All such information, especially which is non public and price sensitive, shall be kept confidential and not be used for any personal consideration/gain.~~
- ~~d) Any information relating to the business/operations of the Clearing Corporation, which may come to the knowledge of directors/ key management personnel during performance of their duties shall be held in strict confidence, shall not be divulged to any third party and shall not be used in any manner except for the performance of their duties.~~
- ~~x. — Misuse of position.~~
- ~~Directors/ committee members shall not use their position to obtain business or any pecuniary benefit in the organization for themselves or family members.~~
- ~~xi. — Ethics committee to lay down procedures.~~
- ~~a) The ethics committee shall lay down procedures for the implementation of the code and prescribe reporting formats for the disclosures required under the code.~~

~~b) The Compliance Officer shall execute the requirements laid down by the ethics committee.~~

~~While the objective of this Code is to enhance the level of market integrity and investor confidence, it is emphasized that a written code of ethics may not completely guarantee adherence to high ethical standards. This can be accomplished only if directors and key management personnel of the Clearing Corporation commit themselves to the task of enhancing the fairness and integrity of the system in letter and spirit.~~

- (27) The Article 130 (c) be amended as given here under words in bold be included and words in strike through mode be deleted:

The appointment of the managing director shall be for a ~~tenure not less than three years~~ and term not exceeding five years. After the completion of the term of a managing director, the Clearing Corporation shall, in accordance with the prescribed appointment process, appoint the managing director. A person may be appointed as the managing director by the Clearing Corporation for a maximum of two terms not exceeding five years each, subject to a maximum age limit of sixty five years.

- (28) The Article 130 (d) be amended as given here under words in bold be included and words in strike through mode be deleted:

The managing director the Clearing Corporation shall not—

- (i) be a shareholder or an associate of a shareholder of the Clearing Corporation or shareholder of an associate of the Clearing Corporation;
- (ii) be a trading member or a clearing member, or his associate and agent, or shareholder of a trading member or clearing member or shareholder of an associate and agent of a trading member or a clearing member; or
- (iii) hold any position concurrently in the subsidiary of Exchange or Clearing Corporation or in any other entity associated with the Exchange or Clearing Corporation.

Provided that the managing director of the Clearing Corporation may be appointed on the Board of Directors, but not as Managing Director, of subsidiary of the Company or a recognised Clearing Corporation as the case may be.

- (29) The Article 130 (g) be amended as given here under words in bold be included and words in strike through mode be deleted:

The Nomination & Remuneration Committee of the Clearing Corporation ~~shall constitute a Committee~~ shall be responsible for the selection of the CEO /Managing Director /Executive Director, as the case may be. The managing director shall be selected through an open advertisement placed in all editions of atleast one national daily from amongst persons qualified in the fields of capital market/ finance/ management and possessing sufficient experience. ~~In case of re-appointment, or extension the Clearing Corporation shall apply to SEBI~~ The Clearing Corporation shall forward the list of candidates for appointment to SEBI before two months ~~before the last working day of such~~ from the last working day of the existing Managing Director.

- (30) The Article 130 (h) be amended as given here under words in bold be included and words in strike through mode be deleted:

In case a vacancy of managing director arises due to unforeseen reasons, the Clearing Corporation shall forward the list of candidates ~~the new names~~ to SEBI within 60 days from the date of submission of resignation or such vacation of office.

- (31) The Article 130 (i) be amended as given here under words in bold be included and words in strike through mode be deleted:

At the time of seeking approval of SEBI for the appointment of the managing director, the Clearing Corporation shall seek approval for the compensation of the managing director from SEBI. The compensation of the Managing Director of a Clearing Corporation shall be in accordance with the following compensation norms stipulated by SEBI:

- (i) The variable pay component will not exceed one-third of total pay.
- (ii) 50% of the variable pay will be paid on a deferred basis after three years.
- (iii) ESOPs and other equity linked instruments in the Clearing Corporation will not form part of the compensation for the ~~key management personnel~~ managing director.
- (iv) The compensation policy will have malus and clawback arrangements.

Apart from the above, the following shall also be taken into consideration:

- a. financial condition / health of the Clearing Corporation,

- b. average levels of compensation payable to employees in similar ranks,
- c. should not contain any provisions regarding incentives to take excessive risks over the short term,
- d. revenues, net profit of the Clearing Corporation,
- e. comparable to the industry standards,
- f. role and responsibilities of the managing director,
- g. periodic review

(32) The Article 134 (1) be amended as given here under words in bold be included:

The remuneration payable to Directors, including the Managing Director/ Whole time Director shall, subject to the applicable provisions of the Act, SECC Regulations and of these Articles and of any contract between him and the Company, be fixed by the Company in General Meeting from time to time, and may be by way of fixed salary and/or perquisites or commission on profits of the Company or participation in such profits, or by any or all these modes not expressly prohibited by the Act.

(33) The Article 149 (1) be amended as given here under words in bold be included:

No person, not being a retiring Director, shall be eligible for election to the Office of Director at any General Meeting, unless he or some other Member intending to propose him has, at least fourteen days before the meeting, left at the office a notice in writing under his hand signifying his candidature for the Office of Director or the intention of such Member, to propose him, as a candidate for that Office, as the case may be along with a deposit of such sum as may be prescribed which shall be refunded to such person, or as the case may be, to such Member, if the person succeeds in getting elected as a Director or gets more than such per cent of total valid votes as may be prescribed, cast either on show of hands or on poll on such resolution.

Provided that requirements of deposit of amount shall not apply in case of appointment of an independent director or a director recommended by the Nomination and Remuneration Committee.

(34) The Article 155 be amended as given here under words in bold be included and words in strike through mode be deleted:

The voting on a resolution in the meeting of the Board of Directors shall be valid only when the number of public interest directors who have cast their vote on such resolution is equal or more than the number of shareholder directors who have cast their vote on such resolution. The casting vote in the meetings of the Board of Directors shall be with ~~Questions arising at any meeting shall be decided by a majority of votes, and in case of an equality of votes, the Chairman of the meeting (whether the Chairman appointed by virtue of these Articles or the Director presiding at such meeting) shall have a second or casting vote.~~

(35) The Article 156 be amended as given here under words in bold be included and words in strike through mode be deleted:

The quorum for meeting of the Board of Directors of the Company shall be one-third of its total strength (any fraction contained in that one-third being rounded off to the next one) or two Directors whichever is higher and the participation of the directors by video conferencing or by other audio visual means shall also be counted for the purpose of quorum; provided that where at any meeting, the number of interested Directors exceeds or is equal to two-thirds of the total strength, the number of the remaining Directors, that is to say, the number of the Directors who are not interested, present at the meeting being not less than two, shall be the quorum during such time. ~~Further, atleast one Public Interest Director shall be present in meetings of the Board to constitute quorum.~~ Further, the number of public interest directors shall not be less than the number of shareholder directors to constitute the quorum for the meeting of the board of directors.

Any Director participating through Electronic Mode in respect of restricted items with the express permission of Chairman shall however, neither be entitled to vote nor be counted for the purpose of Quorum in respect of such restricted items.

Provided that where there is quorum in a meeting through physical presence of directors, any other director may participate through video conferencing or other audio visual means in such meeting on restricted items.

Quorum shall be present throughout the Meeting. Quorum shall be present not only at the time of commencement of the Meeting but also while transacting business.

For the purpose of this Article:—

- (1) "total strength" means the total strength of the Directors of the Company as determined in pursuance of the Act, after deducting there from the number of the Directors, if any, whose place may be vacant at the time ;
- (2) "interested Director" means any Director whose presence cannot by reason of Article 140 or any other provision in the Act count for the purpose of forming a quorum at a meeting of the Board, at the time of the discussion or vote on any matter.

- (36) The Article 159 be amended as given here under words in bold be included and words in strike through mode be deleted:

The Company shall constitute Committees as to ensure effective oversight of the functioning of the Clearing Corporation as mandated under the Companies Act, 2013, SEBI SECC Regulations and Circulars issued from time to time. Additionally, the Committees that are mandated for listed companies shall apply mutatis mutandis to Clearing Corporation. The Clearing Corporation shall lay down the policy for the frequency of meetings, quorum, etc., for the statutory committees. ~~The meeting shall be conducted with atleast one public interest director being present except in the case of oversight committees wherein minimum 50% of the public interest directors need to be present.~~ The composition, terms of reference, frequency and quorum requirements of the Committees may be decided by the Board which shall be in line with the requirements of SECC Regulations and circulars issued by SEBI from time to time, the Companies Act, 2013 and rules made thereunder and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as applicable. In the case of public interest directors committee, all public interest directors shall be present.

Independent external persons appointed to committees: The Board may in case of the statutory committees mandated by SECC Regulations, appoint independent external persons as its member and also decide the remuneration or fees that may be payable to independent external persons so appointed on respective committees for their meetings. The independent external persons shall be from amongst the persons of integrity, having a sound reputation and not having any conflicts of interests. They shall be specialists in the field of work assigned to the committee. They shall not be associated in any manner with the Clearing Corporation and its members. The Clearing Corporation shall frame the guidelines for appointment, tenure, code of conduct, etc., of independent external persons. Extension of the tenure may be granted at the expiry of the tenure, subject to performance review in the manner prescribed by SEBI for public interest directors. ~~pursuant to a review of the contribution, record of attendance at meetings, etc.~~ The maximum tenure limit of independent external persons in a committee of the Clearing Corporation shall be at par with that of public interest directors as prescribed under SECC Regulations.

~~The Clearing Corporation shall constitute the following Committees and such other Committees as may be statutorily required:-~~

Sr. No	Name of the Committee	Functions handled	Composition
Operational Committee			
1	Membership Selection Committee	~~Selection/ admission of members to the various segments of the clearing corporation~~	~~(i)The public interest directors shall form a majority of the membership selection committee. (ii) A maximum of two key management personnel of the clearing corporation shall be on the committee one of which shall necessarily be the Managing Director of the clearing corporation. (iii)The committee may also include independent external persons.~~
2	Disciplinary Action Committee	~~The Committee shall formulate the policy for regulatory actions including warning, monetary fine, suspension, deactivation of terminal, expulsion, to be taken for various violations by the members of the clearing corporation.~~ ~~Based on the laid down policy, the Committee shall consider the cases of violations observed during inspection, etc and impose appropriate regulatory measure on the members of the clearing corporation.~~ ~~While imposing the regulatory measure, the Committee shall adopt a laid down process, based on the 'Principles of natural justice~~	i) The disciplinary action committee shall ~~comprise of public interest directors and officials of the clearing corporation.~~ (ii) The public interest directors shall form a majority of the committee. (iii)A maximum of two key management personnel of the clearing corporation shall be on the committee one of which shall necessarily be the Managing Director of the clearing corporation.
3.	Grievance Redressal Committee (GRC)	~~To deal with the complaints referred to it by the Clearing corporation, hear the~~	~~a) The clearing corporation may be guide by SEBI circular Ref.No.~~

		parties and resolve their complaints / disputes	CIR/MRD/DSA/03/2012 dated January 20, 2012. b) The members of GRC shall not be associated with a clearing member in any manner. e) The disclosures and code of conduct prescribed under para 3.4 and 4 of SEBI circular Ref. No CIR/MRD/DSA/24/2010 dated August 11, 2010, shall be applicable, as far as may be, to members of GRC also.
4	Defaulters' Committee/ SGF utilisation Committee	• To realize all the assets / deposits of the defaulter/ expelled member and appropriate the same amongst various dues and claims against the defaulter/ expelled member in accordance with the Rules, Byelaws and Regulations of the Clearing corporation. • Admission or rejection of claims of clients/trading members/clearing members over the assets of the defaulter/expelled member. • Recommendation in respect of the claims to the Trustees of the IPF on whether the claim is to be paid out of IPF or otherwise. • The defaulter's committee or any other committee set up by the Board of Directors of the clearing corporation with similar composition shall manage the settlement guarantee fund/ trade guarantee fund of the clearing corporation.	(i) The public interest directors shall form a majority of the defaulter's committee. (ii) A maximum of two key management personnel of the clearing corporation shall be on the committee. (iii) The committee may also include independent external persons such as retired judge, etc.
5	Compensation Committee	• The compensation committee shall lay down the policy for compensation of key management personnel in terms of the compensation norms prescribed by the SEBI. • The compensation committee shall determine the tenure of the key management personnel to be posted to a regulatory department	i) The Committee shall comprise of a majority of public interest directors and shall be chaired by a public interest director. (ii) Shareholder directors or any person appointed by the Board of Directors of the clearing corporation for such purpose may form the balance of the Committee.
6	Selection committee	Committee for the selection of the Managing Director	The Selection Committee shall comprise of four persons i.e. two public interest directors and two independent external persons. In case of non-availability of adequate number of PIDs or independent external persons, then the number of PID or independent external persons as required could be increased accordingly to form the committee. Further, the Clearing Corporation shall ensure that one PID shall be part of the selection committee and the meetings at all times.
Oversight Committees			
7	Standing committee on technology	• To monitor whether the technology used by the clearing corporation remains upto date and meets the growing demands of the markets. To monitor the adequacy of systems capacity and	The Committee shall comprise of two outside experts proficient in technology and atleast one public interest director

		efficiency. • To look into the changes being suggested by the clearing corporation to the existing software/hardware. • To investigate into problems of computerised Risk Management/ Clearing and Settlement System system, such as hanging/ slowdown/ breakdown. • To ensure that transparency is maintained in disseminating information regarding slowdown/ breakdown in Risk Management/ Clearing and Settlement System. • The Committee shall submit a report to the Board of Directors of the clearing corporation. The SEBI will deliberate on the report and suitable action/ remedial measure will be taken. • Any stoppage beyond five minutes will be explained and reported to the SEBI. The Clearing corporation shall issue a press release specifying the reasons for the breakdown.	
8.	Sub-Committee for Monitoring Compliance of suggestions given in SEBI inspection report	• To review the actions taken to implement the suggestions of SEBI's Inspection Reports. • To place the same before the Board of Directors of the clearing corporation. • To follow up and ensure compliance /implementation of the inspection observations.	(i) The Committee shall comprise of a majority of public interest directors. (ii) One shareholder director and (iii) One key management personnel
9.	Investor services committee	Supervising the functioning of Investors' Services Cell of the Clearing corporation which includes review of complaint resolution process, review of complaints remaining unresolved over long period of time, estimate the adequacy of resources dedicated to investor services, etc	(i) The investors services committee shall comprise of a majority of public interest directors. (ii) The committee may also include independent external persons such as retired judge, etc
10	Public Interest Directors' Committee	During their meetings, the Public Interest Directors shall review the following: • Status of compliance with SEBI letters/ circulars. • Review the functioning of regulatory departments including the adequacy of resources dedicated to regulatory functions. • The public interest directors shall prepare a report on the working of the other committees on which they are present in. The report shall be circulated to the other public interest directors. • A consolidated report shall then be submitted to the Board of Directors of the clearing corporation. • The public interest directors shall	All the public interest directors shall necessarily attend every meeting of the committee.

		identify important issues which may involve conflict of interest for the clearing corporation or may have significant impact on the market and report the same to SEBI	
11	Ethics Committee	To oversee the implementation of the code of ethics.	The ethics committee shall comprise of public interest directors, shareholder directors, key management personnel and compliance officer
12	~~Independent oversight committee of the governing board for member regulation~~	The committee shall oversee matters related to member regulation such as admission of members, inspection, disciplinary action, etc. • The head(s) of department(s) handling the above matters shall report directly to the committee and also to the managing director. • Any action of a recognised clearing corporation against the aforesaid head(s) shall be subject to an appeal to the committee, within such period as may be determined by the board of directors of the Clearing Corporation. • The committee shall oversee SEBI inspection observations on membership related issues. • To estimate the adequacy of resources dedicated to member regulation. • Monitor the disclosures made by the Clearing Corporation in accordance with regulation 35 of SECC Regulations.	(i) The Committee shall be comprised of a majority of public interest directors and shall be chaired by a public interest director. (ii) The balance shall be formed of independent outside experts
13	Risk Management Committee	• Formulate a detailed risk management policy which shall be approved by the Board of Directors. • The head of the risk management department shall report to the risk management committee and to the managing director of the recognised clearing corporation. • The risk management committee shall monitor implementation of the risk management policy and keep the SEBI and the Board of Directors informed about its implementation and deviation, if any.	The risk management committee shall comprise of the public interest directors of the clearing corporation and independent external experts and shall report to the Board of Directors.
14	Advisory Committee	To advise the Board of Directors of Clearing Corporation on non regulatory and operational matters including product design, technology, charges and levies	• The Committee shall comprise of clearing members of the clearing corporation. • The chairperson of the Board of Directors shall be the head of the advisory committee and the managing director shall be a permanent invitee to every meeting of the advisory committee

(37) The Article 190 (2) be amended as given here under words in bold be included and words in strike through mode be deleted:

A copy of the financial statements, including consolidated financial statements, if any, auditor's report and every other document required by law to be annexed or attached to the financial statements, which are to be laid before a company in its general meeting, shall be sent to every member of the company, to every trustee for the debenture-holder of any

debentures issued by the company, and to all persons other than such member or trustee, being the person so entitled, not less than twenty-one days before the date of the meeting.

Provided that if the copies of the documents are sent less than twenty-one days before the date of the meeting, they shall, notwithstanding that fact, be deemed to have been duly sent if it is so agreed by members holding majority in number entitled to vote and who represent not less than ninety-five per cent of such part of the paid-up share capital of the Company as gives a right to vote at the meeting.

~~Provided that the provisions of this sub-section shall be deemed to be complied with, if the copies of the documents are made available for inspection at its registered office during working hours for a period of twenty-one days before the date of the meeting and a statement containing the salient features of such documents in the prescribed form or copies of the documents, as the company may deem fit, is sent to every member of the company and to every trustee for the holders of any debentures issued by the company not less than twenty-one days before the date of the meeting unless the shareholders ask for full financial statements.~~

Place: Mumbai
Date: 10/11/2020

RAJIV RELHAN
Managing Director & CEO