RNI No. MAHBIL/2009/35827

सत्यमेव जयते

महाराष्ट्र शासन राजपत्र

भाग दोन-संकीर्ण सूचना व जाहिराती

वर्ष ७, अंक ५] गुरुवार ते बुधवार, फेब्रुवारी ४-१०, २०२१/माघ १५-२१, शके १९४२ [पृष्ठे २१, किंमत : रुपये १५.००

प्राधिकृत प्रकाशन

संकीर्ण सूचना व जाहिराती

उस्मानाबाद जिल्हा परिषद, उस्मानाबाद

जा.क्र. जिपउ/साप्रवि/महसूल/कावि/३५९ ते ३६३/२०२१

अधिसूचना

महाराष्ट्र जिल्हा परिषदा व पंचायत समित्या अधिनियम, १९६१ चे कलम १४२ खाली महाराष्ट्र शासनाने जिल्हा परिषदेचे वार्षिक प्रशासन अहवाल तयार करण्यासंबंधी महाराष्ट्र जिल्हा परिषदा व पंचायत समित्या (वार्षिक प्रशासन अहवाल प्रसिद्ध करणे) नियम, १९६४ तयार करून त्यात वारंवार सुधारणा केल्या आहेत.

सदर नियमाखाली उस्मानाबाद जिल्हा परिषदेचा सन २०१९-२०२० चा वार्षिक प्रशासन अहवाल तयार करून त्यास जिल्हा परिषदेच्या सर्वसाधारण सभेत ठराव क्रमांक २ दिनांक ९ डिसेंबर २०२० अन्वये मंजुरी दिलेली आहे.

सदर अहवाल उक्त नियमावलीच्या नियम क्रमांक ९ खाली जनतेच्या माहितीस्तव प्रसिद्ध करण्यात येत आहे.

उस्मानाबाद,
दिनांक १९ जानेवारी २०२१.

डॉ. विजयकुमार फड,
मुख्य कार्यकारी अधिकारी,
जिल्हा परिषद, उस्मानाबाद.

Serial No. M-2060

IN THE HIGH COURT OF JUDICATURE AT BOMBAY

ORDINARY ORIGINAL CIVIL JURISDICTION

COMPANY PETITION NO. 729 OF 2000

In the matter of Rule 24 of the

Companies (Court) Rules, 1959

AND

In the matter of Section 433 and section 434 of the Companies Act, 1956.

AND

In the matter of M/s. Sunflower Food Products Private Limited, a private limited company constituted under the Indian Companies Act, 1956, having its registered office at 95, Kadbane house, Shivaji Nagar, Bhivandi District Thane – 421 302

Asset Reconstruction Company (India) Limited,
A Company incorporated under the Companies Act, 1956 and registered as a Securitizations company and an Asset Reconstruction Company pursuant to Section 3 of the Securitizations and Reconstruction of Financial Assets and Enforcement of Security Interest Act, 2002 having its Registered Office at The Ruby, 10th Floor, 29, Senapati Bapat Marg, Dadar (W.), Mumbai 400 028 .... *Petitioner.*

Advertisement of Petition

NOTICE is hereby given that Company Petition under section 433, 434 and 439 of Companies Act, 1956 for winding up of M/s. Sunflower Food Products Private Limited, was presented by **Asset Reconstruction Company (India) Limited,** the Petitioner abovenamed on 16th May 2000 and was admitted on 7th February 2001 and by further order dated 19th January 2021 the said Petition is fixed for hearing before His Lordship the Hon'ble Mr. Justice K. R. Shriram on 23rd February 2021 in the forenoon or soon thereafter.

Any person desirous of supporting or opposing the Petition should send to the Petitioner's advocates notice of his intention, signed by him or his advocate with his name and address so as to reach the petitioner's advocate not later than five days before the next date fixed for hearing of the petitioner or a copy of the affidavit shall be furnished with such notice.

A copy of the petition will be furnished by the undersigned to any person requiring the same on payment of the prescribed charges for the same.

Any affidavit intended to be used in opposition to the petition should be filed in court and a copy served on the petitioner or his advocate not less than 5 days before the date fixed for the hearing.

Dated this 28th day of January, 2021.

M/s Apex Law Partners,

Advocates for the Petitioners.

Apex Chambers, 3rd Floor,
75, Janmabhoomi Marg,
Fort, Mumbai – 400 001.
Email: admin@alpindia.com

जिल्हा परिषद, वाशिम

जा.क्र. जिपवा/साप्रवि/नियोजन/वाप्रअ २०१९-२०२०/२३०/२०२१

अधिसूचना

ज्याअर्थी, महाराष्ट्र जिल्हा परिषद व पंचायत समिती अधिनियम, १९६१ चे कलम १४२ व महाराष्ट्र जिल्हा परिषद व पंचायत समिती (वार्षिक प्रशासन अहवाल प्रसिद्ध करणे) अधिनियम, १९६४ च्या नियम ९ अन्वये जिल्हा परिषदेने प्रसिद्ध केलेल्या अहवालाची अधिसूचना देणे आवश्यक आहे. त्याअर्थी, मी, मंगेश व. मोहिते (भा.प्र.से.), मुख्य कार्यकारी अधिकारी, जिल्हा परिषद, वाशिम सन २०१९-२०२० चा वाशिम जिल्हा परिषदेचा वार्षिक प्रशासन अहवाल दिनांक २८ डिसेंबर २०२० रोजी मंजूर केल्याप्रमाणे प्रसिद्ध केल्याची अधिसूचना देत आहे.

वाशिम,
दिनांक २० जानेवारी २०२१.

मंगेश व. मोहिते, (भा.प्र.से.),
मुख्य कार्यकारी अधिकारी,
जिल्हा परिषद, वाशिम.

ZILLA PARISHAD, WASHIM

ZP/GAD/AAR 2019-20/230/2021

NOTIFICATION

In pursuance of the provision of section 142 of Maharashtra Zilla Parishad and Panchayat Samiti Act, 1961 read with rule (Publiction of Annual Administration Report) 1964, I, Mangesh V. Mohite (I.A.S.), Chief Executive Officer, Zilla Parishad, Washim hereby notify the publication of Annual Administration Report for the year 2019-2020 as approved by the Zilla Parishad on date 28th December, 2020.

Washim,
Dated the 20th January 2021.

MANGESH V. MOHITE (I.A.S.),
Chief Executive Officer,
Zilla Parishad, Washim.

झोपडपट्टी पुनर्वसन प्राधिकरण

अधिसूचना

क्रमांक झोपुप्रा/उजि/३क/कावि-२२०६९/मैत्री आणि पी पी रिअलर्टस/२०२०/OW/२०२१/3012.

ज्याअर्थी, महाराष्ट्र झोपडपट्टी (सुधारणा, निर्मूलन आणि पुनर्विकास) अधिनियम, १९७१ चे कलम ३ब च्या पोट-कलम (३) अनुसार झोपडपट्टी पुनर्वसन प्राधिकरणाने झोपडपट्टी पुनर्वसन योजना तयार करून दिनांक ९ एप्रिल १९९८ रोजी **राजपत्रात** प्रसिद्ध केली आहे ;

ज्याअर्थी, महाराष्ट्र झोपडपट्टी (सुधारणा, निर्मूलन आणि पुनर्विकास) अधिनियम, १९७१ चे कलम ३(क) उप-कलम (१) अनुसार " झोपडपट्टी पुनर्वसन क्षेत्र " घोषित करण्याचे अधिकार मुख्य कार्यकारी अधिकारी, झोपडपट्टी पुनर्वसन प्राधिकरण यांना आहेत.

त्याअर्थी, उक्त कलम ३(क) चे उप-कलम (१) मधील शक्तीचा वापर करून मी, खालीलप्रमाणे अनुसूचीमध्ये दर्शविलेले क्षेत्र " झोपडपट्टी पुनर्वसन क्षेत्र " म्हणून याद्वारे घोषित करीत आहे. सदरचे क्षेत्र बृहन्मुंबई विकास नियंत्रण नियमावली, १९९१ चे नियम ३३(१०) अन्वये झोपडपट्टी पुनर्वसन योजना दाखल करण्यास पात्र आहे :—

अनुसूची

मौजे. कुरार, ता. बोरीवली

अ. क्र.	गावाचे नांव	न.भू.क्र.	मिळकत पत्रिकेनुसार क्षेत्र (चौ.मी.)	घोषित करावयाचे क्षेत्र (चौ.मी.)	चतु:सीमा			
					पूर्व	पश्चिम	दक्षिण	उत्तर
(१)	(२)	(३)	(४)	(५)	(६)	(७)	(८)	(९)
१	मौजे-कुरार, ता. बोरीवली,	१०७अ	११६.७	१६६.७	न.भू.क्र. ११४अ(पै)	न.भू.क्र. ११६,११७	रस्ता	न.भू.क्र. ५६१
२		१०८	३४.८	३४.८				
३		१०८/१	१७.२	१७.२				
४		१०८/२	२२.१	२२.१				
५		१०८/३	१७.२	१७.२				
६		१०८/४	१७.७	१७.७				
७		१०८/५	१७.२	१७.२				
८		१०८/६	१७.२	१७.२				
९		१०८/७	१७.२	१७.२				
१०		१०८/८	१७.७	१७.७				
११		१०९	४३.३	४३.३				
१२		१०९/१	४२.२	४२.२				
१३		१०९/२	४२.२	४२.२				
१४		१०९/३	४२.२	४२.२				
१५		१०९/४	४२.२	४२.२				
१६		१०९/५	३४.३	३४.३				
१७		११०	१७.४	१७.४				
१८		११०/१	१७.४	१७.४				
१९		११०/२	१७.४	१७.४				
२०		११०/३	१७.४	१७.४				
२१		११०/४	१७.४	१७.४				
२२		११०/५	१७.४	१७.४				

(१)	(२)	(३)	(४)	(५)	(६)	(७)	(८)	(९)
२३		११०/६	१७.४	१७.४				
२४		११०/७	१७.४	१७.४				
२५		११२	१७.४	१७.४				
२६		११२/१	१७.४	१७.४				
२७		११२/२	१७.४	१७.४				
२८		११२/३	१७.४	१७.४				
२९		११२/४	१७.४	१७.४				
३०		११२/५	१७.४	१७.४				
३१		११२/६	१७.४	१७.४				
३२		११२/७	१७.४	१७.४				
३३		११३	२५.३	२५.३				
३४		११३/१	२५.३	२५.३				
३५		११३/२	२५.३	२५.३				
३६		११३/३	२५.३	२५.३				
३७		११४/अ	४३८९.९	१६६.७				
३८		११४ब	१९३.१	३४.८				
		एकूण क्षेत्र ...	**५५५४.०**	**२१४४.२२**				

झोपडपट्टी पुनर्वसन प्राधिकरण,
प्रशासकीय इमारत, प्रा. अनंत काणेकर मार्ग,
बांद्रा (पूर्व), मुंबई ४०० ०५१.
दिनांक २१ जानेवारी २०२१.

सतिश लोखंडे,
मुख्य कार्यकारी अधिकारी,
झोपडपट्टी पुनर्वसन प्राधिकरण.

SLUM REHABILITATION AUTHORITY

NOTIFICATION

No. SRA/ Dy. COLL/3C/WS-22069/Maitri & P. P. Realtors/2020/OW/2021/3012

Whereas, the Slum Rehabilitation Authority has formed Slum Rehabilitation Scheme under the provision of section 3B (*3*) of Maharashtra Slum Area (Improvement, Clearance and Redevelopment) Act, 1971 and published in *gazette* on 9th April 1998 ;

Whereas, in view of the provision of section 3C (*1*) of the Maharashtra Slum Area (Improvement, Clearance and Redevelopment) Act, 1971 the Chief Executive Officer, Slum Rehabilitation Authority is empowered to declare any area as " Slum Rehabilitation Area ".

Therefore in view of the said provision of section 3C (*1*) of the Maharashtra Slum Area (Improvement, Clearance and Redevelopment) Act, 1971. I, undersigned is hereby declare the area shown in schedule as " Slum Rehabilitation Area ". Now the said area is open to submit scheme of slum rehabilitation as per regulation 33 *(10)* of Development Control Regulation, 1991 of Greater Mumbai.

Schedule

Village Kurar, Taluka Borivali

Sr. No.	C.T.S. No.	Area as per Property Card (Sq. mtr.)	Area to be declared as "Slum Rehabilitation Area" (Sq.mtr.)	Boundaries			
				East	West	North	South
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
1	107A	116.7	166.7	CST No.	CST No.	ROAD	CST No.
2	108	34.8	34.8	114PT	116,117		561
3	108/1	17.2	17.2				
4	108/2	22.1	22.1				
5	108/3	17.2	17.2				
6	108/4	17.7	17.7				
7	108/5	17.2	17.2				
8	108/6	17.2	17.2				
9	108/7	17.2	17.2				
10	108/8	17.7	17.7				
11	109	43.3	43.3				
12	109/1	42.2	42.2				
13	109/2	42.2	42.2				
14	109/3	42.2	42.2				
15	109/4	42.2	42.2				
16	109/5	34.3	34.3				
17	110	17.4	17.4				

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
18	110/1	17.4	17.4				
19	110/2	17.4	17.4				
20	110/3	17.4	17.4				
21	110/4	17.4	17.4				
22	110/5	17.4	17.4				
23	110/6	17.4	17.4				
24	110/7	17.4	17.4				
25	112	17.4	17.4				
26	112/1	17.4	17.4				
27	112/2	17.4	17.4				
28	112/3	17.4	17.4				
29	112/4	17.4	17.4				
30	112/5	17.4	17.4				
31	112/6	17.4	17.4				
32	112/7	25.3	25.3				
33	113	25.3	25.3				
34	113/1	25.3	25.3				
35	113/2	25.3	25.3				
36	113/3	4389.9	166.7				
37	114/A	193.1	34.8				
38	114/B	17.4	17.4				
	Total . .	**5554.0**	**2144.22**				

Slum Rehabilitation Authority,
Administrative Building,
Prof. Anant Kanekar Marg,
Bandra (E.), Mumbai 400 051.

SATISH LOKHANDE,
Chief Executive Officer,
Slum Rehabilitation Authority.

dated the 21st January 2021.

वसई-विरार शहर महानगरपालिका

सूचना

क्रमांक व. वि. श. म./न. र./शा./९४६/२०२०-२१

(महाराष्ट्र प्रादेशिक व नगररचना अधिनियम, १९६६ कलम ३७ अन्वये)

ज्याअर्थी, शासनाने नगरविकास विभाग अधिसूचना क्रमाक टी. पी. एस. १२०१/१५४८/ सी. आर. २३४ (सी), दिनांक ९ फेब्रुवारी २००७ अन्वये वसई-विरार उप प्रदेशाची विकास योजना भागश: मंजूर केली असून ती दिनांक १५ मार्च २००७ पासून अंमलात आलेली आहे. तसेच शासन निर्णय, नगरविकास विभाग क्रमांक २३०६/४१२/प्र.क्र./२२३/२००६/युडी-२४, दिनांक ३ जुलै २००९ अन्वये वसई-विरार शहर महानगरपालिका अस्तित्वात आलेली असून नगरविकास विभाग निर्णय क्र. टी.पी.एस. १२०९/२४२९/सी.आर. २६२/युडी-१२, दिनांक ७ जुलै २०१० नुसार या महानगरपालिकेला नियोजन प्राधिकरण म्हणून अधिकार प्रदान केलेले आहे. तसेच शासनाने वसई-विरार शहर महानगरपालिका हद्दीतून वगळलेल्या २१ गावांसाठी विशेष नियोजन प्राधिकरण म्हणून सिडकोकडे असलेले अधिकार, दिनांक २१ फेब्रुवारी २०१५ पासून वसई-विरार शहर महानगरपालिकेला प्रदान केलेले आहेत.

आणि ज्याअर्थी, वसई-विरार शहर महानगरपालिकेने वसई-विरार उप प्रदेशाच्या विकास योजनेमध्ये महाराष्ट्र प्रादेशिक नियोजन व नगररचना अधिनियम, १९६६ चे कलम ३७(१) मधिल तरतुदीनूसार खालीलप्रमाणे सुधारणा करणेचे ठरविलेले आहे. वरील तरतुदी अनुसार मा. आयुक्त (सध्या प्रशासक म्हणून कारभार संभाळणारे) यांनी विकास आराखड्यामध्ये आरक्षण क्र. ५२५ च्या आरक्षणाच्या वापरात बदल करून बसस्टॅण्ड चे गार्डन तेसच आरक्षण क्र. ५२६ च्या आरक्षणाच्या वापरात बदल करून गार्डन चे बस आगार करण्यास मान्यता दिलेली आहे. सदर माहिती खालील प्रमाणे आहे :—

(१) डि. पी. आरक्षण : बसस्टॅण्ड

(२) डि. पी. आरक्षण क्रमांक : ५२५

(३) डि. पी. आरक्षण क्षेत्र : २१२० चौ. मी.

(४) स्थळ अहवाल : गाव मौजे-सातीवली, सर्व्हे नं. ०३, ता. वसई, जिल्हा पालघर.

तसेच

(१) डि. पी. आरक्षण : गार्डन

(२) डि. पी. आरक्षण क्रमांक : ५२६

(३) डि. पी. आरक्षण क्षेत्र : ७८५० चौ. मी.

(४) स्थळ अहवाल : गाव मौजे-सातीवली, सर्व्हे नं. ०३, ०४ ता. वसई, जिल्हा पालघर.

संबंधित माहिती वसई-विरार शहर महानगरपालिकेच्या नगररचनेच्या सुचना फलकावर प्रदर्शीत करण्यात आलेली आहे.

महाराष्ट्र प्रादेशिक नियोजन व नगररचना अधिनियम, १९६६ चे कलम ३७(१) च्या तरतुदीनुसार वसई-विरार उप प्रदेशाचे विकास योजनेत करावयाच्या उक्त सुधारणे बाबत नागरिकांच्या काही हरकती व सूचना असतील तर त्या त्यांनी ही सूचना **महाराष्ट्र राज्य शासन राजपत्रात** प्रसिद्ध झालेल्या दिनांकापासून १ महिन्याच्या मुदतीत उप संचालक, नगररचना विभाग, तिसरा मजला, वसई-विरार शहर महानगरपालिकेकडे लेखी स्वरुपात किंवा mail at *ddtpvvcmc2020@gmail.com* वर पाठविल्यास त्यांचा विचार विकास योजनेमधील सुधारणा/फेरफार मंजुरीसाठी शासनाकडे सादर करणेपूर्वी करणेत येईल.

वसई-विरार शहर महानगरपालिका,
नगररचना विभाग, मुख्यालय, तिसरा माळा,
बाजार वॉर्ड, विरार (पू.),
दिनांक २९ जानेवारी २०२१.

गंगाथरण डी.,
आयुक्त,
वसई-विरार शहर महानगरपालिका.

Sr. No. M-2061

TATA CONSULTANCY SERVICES LIMITED

REGD. OFFICE : NIRMAL BUILDING, 9TH FLOOR, NARIMAN POINT, MUMBAI - 400 021

NOTICE

Notice is hereby given that the certificates for the under mentioned securities of the Company have been lost/mislaid and the holder of the said securities/applicant has applied to the Company to issue duplicate certificates.

Any person who has a claim in respect of the said securities should lodge such claim with the Company at its Registered office within 15 days from this date, else the Company will proceed to issue duplicate certificates without further intimation.

Name of the Holder	Kind of Securities and Face Value	No. of Securities	Distinctive Nos.
Kailash Chand Gupta	Equity shares of Re.1/- each	650	1970717631—1970717955 1957481972—1957482296

Jaipur,

Date : 25th January 2021. KAILASH CHAND GUPTA.

Sr. No. M-2062

GRINDWELL NORTON LIMITED

5th Level, Leela Business Park, Andheri-Kurla Road, Marol, Andheri East, Mumbai 400 059

Notice is hereby given that the certificates for the undermentioned securities of the Company have been lost and the holder of the securities have applied to the Company to issue duplicate certificates.

Any person who has a claim in respect of the said securities should lodge such claim with the Company at its Registered office within 15 days from this date; else the Company will proceed to issue duplicate certificates without further intimation.

Name of the Shareholders : **LAXMINIWAS SHRINIWAS TOSHNIWAL**

Folio No.	Certificate Nos.	Distinctive No.(s)	No. of Securities	Kind of Securities and Face Value
GNL0000349	00076673,	0018997359—0018997458	100	Equity shares of
	00085613,	0055831750—0055831949	200	Rs. 5/- each
	B00081673	0046677359—0046677458	100	
		Total . .	**400**	

Latur,

dated the 31st January 2021. LAXMINIWAS SHRINIWAS TOSHNIWAL.

Names of Holders.

Sr. No. M-2063

NOTICE

VOLTAS LIMITED

Regd. Office : Voltas House 'A', Dr. Babasaheb Ambedkar Road, Chinchpokli, Mumbai 400 033.

Notice is hereby given that the certificate for the under mentioned securities of the Company have been lost/mislaid and the holder of the securities/applicant has applied to the Company to issue duplicate certificate.

Any person who has a claim in respect of the said securities should lodge such claim with the Company at its Registered office within 15 days from this date, else the Company will proceed to issue duplicate certificate without further intimation.

Name of the Holder	Kind of Securities and face Value	No. of Securities	Distinctive Nos.
Ganesh Lal Modi	Equity shares of Re. 1/- each	450	8911951-8912400

Jaipur,

Date 27th January 2021. GANESH LAL MODI.

पालघर नगरपरिषद, पालघर

आदेश

क्रमांक पानप/कार्या-६३४/२०२०-२१

ज्याअर्थी **महाराष्ट्र शासन राजपत्र,** असाधारण भाग ४, दिनांक १३ मार्च २०१२ मध्ये प्रसिद्ध केल्यानुसार सन २०१२ चा महाराष्ट्र अधिनियम क्रमांक २ अन्वये महाराष्ट्र प्रादेशिक व नगररचना अधिनियम, १९६६ मध्ये दुरूस्ती करण्यात आलेली आहे.

आणि ज्याआर्थी, महाराष्ट्र प्रादेशिक व नगररचना अधिनियम, १९६६ चे कलम १५२ मध्ये अंमलबजावणीसाठी व अनधिकृत बांधकामांवर प्रभावी नियंत्रण आणणेसाठी मुख्याधिकारी यांनी नगरपरिषदेच्या बाबतीत महाराष्ट्र प्रादेशिक आणि नगररचना अधनियम, १९६६ चे कलम १५२ (३) नुसार संबंधित अधिकाऱ्यास त्याच कार्यक्षेत्राकरीता पदनिर्देशित अधिकारी म्हणून अधिसूचीत करणेची तरतूद करण्यात आलेली आहे.

त्याअर्थी, आता महाराष्ट्र प्रादेशिक आणि नगररचना अधिनियम, १९६६ चे कलम १५२(३) मधील तरतुदीनुसार नगरपरिषद मुख्याधिकारी म्हणून मला प्राप्त झालेल्या अधिकारात मी, पालघर नगरपरिषदेच्या कार्यक्षेत्रासाठी पालघर नगरपरिषदेत कार्यरत असलेले नगरपरिषदेच्या खालील अधिकारी/कर्मचारी यांना अनधिकृत बांधकामांवर प्रभावी नियंत्रण आणण्यासाठी व अधिनियमातील कलम ५५ व ५६ मधील तरतुदीच्या अंमलबजावणीसाठी पदनिर्देशित अधिकारी म्हणून घोषित करीत आहे.

अ. क्र.	पदनिर्देशित अधिकाऱ्याचे नाव
(१)	श्री. प्रशांत म. पवार, नगर अभियंता
(२)	श्री. अरूण ग. जाधव, रचना सहाय्यक
(३)	श्री. इंद्रजीत प्र. सुर्यराव, स्थापत्य अभियंता
(४)	श्री. निशांत दि. पाटील, कर निर्धारण व प्रशासकीय सेवा
(५)	श्री. उमाकांत सु. पाटील, लिपीक/आरोग्य विभाग प्रमुख

पालघर,
दिनांक २९ जानेवारी २०२१.

स्वाती देशपांडे कुलकर्णी,
मुख्याधिकारी, पालघर नगरपरिषद.

Sr. No. M-2064

NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

Subject to SEBI's approval, it is proposed to make the following amendments in the Articles of Association of National Commodity & Derivatives Exchange Limited. The proposed amendments are published as per Rule 18 of Securities Contracts (Regulation) Rules, 1957 for information /public comments/criticism. Any person having any comments/observations on the proposed amendments to the Articles of Association may send the same to the Exchange at gazette@ncdex.com within fifteen days from the date of publication. The observation received after the said fifteenth day will not be considered. The draft will be taken into consideration immediately after expiry of fifteen days.

PROPOSED (DRAFT) AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

The Article no. 3(1) (d) is proposed to be substituted with the following:

"Clearing Member" means a person having clearing rights in any recognized clearing corporation and includes a clearing member as defined in clause (ae) of sub-regulation (1) of Regulation 2 of the Securities and Exchange Board of India (Stock Brokers and Sub- Brokers) Regulations, 1992.

The following Article no. 3(1) (e) is proposed to be deleted:

"Clearing House" is one which acts as the common agent of the members of the Clearing House for clearing contracts between members and for delivering commodities to and receiving commodities from members in connection with any of the contracts and to do all the things necessary or proper for carrying out the foregoing purposes. The functions of the Clearing House may be performed by the Exchange or any other agency identified by the Exchange for this purpose.

The Article no. 3(1) (ea) is proposed to be inserted as under:

"clearing corporation" means an entity that is established to undertake the activity of clearing and settlement of trades in securities or other instruments or products that are dealt with or traded on the Exchange and includes a clearing house.

The Article no. 3(1) (g) is proposed to be amended as under:

"The Company" means "National Commodity & Derivatives Exchange Limited or "the Exchange".

The following Article no. 3(1) (j) is proposed to be deleted:

"Exchange" means a commodity derivatives exchange that is demutualized, has an electronic trading platform and is permitted to assist, regulate or control the business of buying, selling or dealing in derivatives on all commodities as notified by the Central Government from time to time.

The Article no. 3(1) (mb) is proposed to be inserted as under:

"recognised stock exchange" means a stock exchange which is recognized by SEBI under section 4 of the Securities Contracts (Regulation) Act, 1956 (42 of 1956).

The Article no. 3(1) (mc) is proposed to be inserted as under:

"shareholder director" means a director who represents the interest of shareholders, and elected or nominated by such shareholders who are not trading members or clearing members, as the case may be, or their associates and agents

The Article no. 3(1) (pd) is proposed to be amended as under:

"public" includes any member or section of the public but does not include any trading member or clearing member or their associates and agents.

The Article no. 3(1) (pe) is proposed to be inserted as under:

"public financial institution" shall have the same meaning as assigned to it in sub-section (72) of section 2 of the Companies Act, 2013.

The Article no. 3(1) (sc) is proposed to be amended as under:

"SECC" means Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018 and includes any statutory modification or re-enactment thereof, Circulars and Guidelines issued, for the time being in force.

The Article no. 48A is proposed to be amended as under:

ELIGIBILITY FOR ACQUIRING OR HOLDING SHARES

48A. (1) Notwithstanding anything contained in these Articles, no person shall, directly or indirectly, acquire or hold any equity shares or voting rights of the Exchange unless he is a fit and proper person, as per criteria prescribed by SEBI.

(2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquires equity shares or voting rights of the Exchange that entitles the person(s) so acquiring to exercise any voting rights in the range of two percent to five percent, shall seek approval of SEBI within fifteen days of such acquisition.

(3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-Article (2) and (3) of Article 48B, may acquire or hold more than five percent of the paid up equity share capital of the Exchange, only if, he has obtained prior approval of SEBI.

(4) **DELETED.**

(5) If approval under sub-Article (2) above is not granted by SEBI to any person, such person shall forthwith divest his shareholding in the Exchange as directed by SEBI or in terms of SECC.

(6) Any person holding two per cent or more of the paid up equity share capital in the Exchange shall file a declaration with the Exchange within fifteen days from the end of every financial year that he complies with the fit and proper criteria prescribed by SEBI.

(7) Subject to applicable law, in the event of any person ceasing to be a 'fit and proper person' or being declared so, by SEBI, such person shall forthwith divest his shareholding in the Exchange. The divestment shall be in terms of SECC or as directed by SEBI.

For the purposes of these Articles, a person shall be deemed to be a fit and proper person, as per the criteria prescribed under the SECC (as applicable and amended from time to time and includes any re-enactment thereof).

The question arising as to whether a person is a fit and proper person, the decision of SEBI in this behalf shall be final.

The Article no. 48B is proposed to be amended as under:

SHAREHOLDING

48B (1) At least fifty one percent of the paid up equity share capital of the Exchange shall be held by public.

(2) No person resident in India shall at any time, directly or indirectly, either individually or together with persons acting in concert, acquire or hold more than five per cent of the paid up equity share capital in the Exchange:

Provided that—
(i) a stock exchange;
(ii) a depository;
(iii) a banking company;
(iv) an insurance company; and
(v) a public financial institution,

may acquire or hold, either directly or indirectly, either individually or together with persons acting in concert, upto fifteen per cent. of the paid up equity share capital of the Exchange.

(3) No person resident outside India, directly or indirectly, either individually or together with persons acting in concert, shall acquire or hold more than five percent of the paid up equity share capital in the Exchange

Provided that—

(i) a foreign stock exchange;
(ii) a foreign depository;
(iii) a foreign banking company;
(iv) a foreign insurance company;
(iv) a foreign commodity derivatives exchange and
(v) a bilateral or multilateral financial institution approved by the Central Government,

may acquire or hold, either directly or indirectly, either individually or together with persons acting in concert, up to fifteen per cent of the paid up equity share capital of the Exchange.

(4) Subject to the limits as otherwise prescribed by the Central Government from time to time, the combined holding of all persons resident outside India in the paid up equity share capital of the Exchange shall not exceed, at any time, forty-nine per cent of its total paid up equity share capital.

(5) No clearing corporation shall hold any right, stake or interest of whatsoever nature, in the Exchange.

(6) The Exchange shall monitor and at all times ensure compliance with the requirements and guidelines in this regard, issued by SEBI.

(7) (i) The shareholding or voting rights of any person in the Exchange shall not exceed the limits specified in these Articles.

(ii) Further, the shareholding as specified in these Articles shall include any instrument owned or controlled, directly or indirectly, that provides for entitlement to equity or rights over equity at any future date.

Provided that any equity or rights over equity, arising from such instruments in excess of limit of shareholding specified in these Articles, shall be reduced to the specified limit within the timeline as may prescribed by SEBI from time to time.

(8) Without prejudice to the provisions of the SCRA, rules and SECC regulations, the Exchange shall disclose to SEBI, in the format specified by the SEBI, shareholding pattern on a quarterly basis within fifteen days from the end of each quarter, including therein the following: —

(a) the names of the ten largest shareholders along with the number and percentage of shares held by them;

(b) the names of the shareholders falling under regulations 17 and 18 of SECC Regulations who had acquired shares in that quarter.

The Exchange shall monitor and ensure compliance with the foregoing requirements at all times.

(9) In addition to the requirements under other laws in force, the Exchange shall maintain and preserve all the books, registers, other documents and records relating to the issue or transfer of its securities for a period of not less than eight years.

The Article no. 70 (1A) is proposed to be amended as under:

70 (1A) The Company or an investor may exercise an option to issue, deal in, hold the securities (including shares) with a Depository in electronic form and the certificates in respect thereof shall be dematerialised, in which event the rights and obligations of the parties concerned and matters connected therewith or incidental thereof, shall be governed by the provisions of the Depositories Act, 1996 as amended from time to time or any statutory modification thereto or re-enactment thereof. The Company shall cause to be kept a register and index of Members in accordance with all applicable provisions of the Act and the Depositories Act, 1996 with the details of Members holding Shares in dematerialised form in any media as permitted by Law including any form of electronic media. The Company shall be entitled to keep in any State or Country outside India a branch Register of Members resident in that state or country.

The Article no. 70 (2) is proposed to be deleted:

Every person subscribing to securities offer by the Company shall have the option to receive security certificate or to hold the securities with a depository. Such a person who is the beneficial owner of the securities can at any time opt out of a depository, if permitted by the law in respect of any security in the manner provided by the Depositories Act, and the Company shall, in the manner and within the time prescribed, issue to the beneficial owner the required Certificate of Securities.

The Article no. 79 (5) is proposed to be amended as under:

Only the person whose name stands first in Register of Members as one of the joint holders of any share shall be entitled to delivery of such share or to receive document (which expression shall be deemed to include all documents mentioned in Article 201 from the Company and any notice given to or document served on such person shall be deemed service on all the joint holders.

The Article no. 126A is proposed to be amended as under:

Composition of Board of Directors

126A. The Board shall include -

(i) Public Interest directors;

(ii) Shareholder directors; and

(iii) Managing Director.

Notwithstanding anything to the contrary contained in these Articles, SECC, directives issued by SEBI, Circulars issued from time to time with regard to composition of the Board, general requirements related to manner of appointment of directors, key management personnel, 'code of conduct for Directors and key management personnel' and other incidental and consequential matters relating to governance of the Company shall be complied with.

The manner of election, appointment, tenure, resignation, vacation etc. of Directors shall be governed by the Companies Act, 2013, SECC, directives issued by SEBI, Circulars issued from time to time.

1.1 The number of public interest directors shall not be lesser than the number of shareholder directors in the Exchange.

1.2 The Managing Director shall be included in the category of shareholder directors. Any employee of the Exchange may be appointed on the Board of Directors in addition to the Managing Director, and such director shall be deemed to be a shareholder director. The number of public interest directors in a meeting shall not be less than the number of shareholder directors to constitute the quorum for the meeting of the Board of Directors.

1.3 The persons to be appointed as Directors should satisfy the criteria of "fit and proper person" as prescribed by SEBI.

The Article no. 126B is proposed to be amended as under:

Public Interest Directors

126B. 1.1 The public interest directors on the Board of Directors of the Exchange shall be nominated by the SEBI. Public interest directors shall be nominated for a term of three years, extendable by another term of three years, subject to performance review in the manner as may be specified by SEBI. After the expiry of term(s) at recognised stock exchange or recognised clearing corporation, a public interest director may be nominated for a further term of three years in another recognised stock exchange or recognised clearing corporation or depository, only after a cooling-off period of one year. If any issue arises as to whether an assignment or position of a public interest director is in conflict with his role, SEBI's decision shall be final. Public interest directors shall be paid only sitting fees as specified in the Companies Act 2013.

1.2 The names of public interest directors shall be forwarded to SEBI after the approval of the Board of the Exchange. The shareholders approval shall not be necessary. A minimum of two names shall be submitted by the Exchange at the time of making request for appointment of public interest director and extension of the term of existing public interest director, including appointment of public interest director for the purpose of broad basing Board of the Exchange, against each such vacancy.

1.3 The Exchange shall ensure that public interest directors are selected from diverse field of work. While deciding to propose a particular person as a public interest director, the Exchange shall also take into account the following factors:

a) Qualification in the area of law, finance, accounting, economics, management, administration or any other area relevant to the financial markets.

b) Atleast one person may be inducted having experience and background in finance / accounts who may preferably be inducted in the audit committee.

c) Persons currently holding positions of trust and responsibility in reputed organisations or person who have retired from such positions.

d) Persons who are likely to have interested positions in commercial contracts and financial affairs of stock exchanges, may be excluded. Also, persons who are regular traders/speculators in the market or are director in the board of the promoter entity of the Exchange shall be excluded.

1.4 Public interest directors shall not be simultaneously on the Board of subsidiary of the Company or on the Board of any other stock exchange/ clearing corporation/ depository or their subsidiary.

1.5 Public interest directors shall peruse the relevant laws, code of conduct, code of ethics, etc. and submit an undertaking to the Exchange that they are aware of their role, responsibilities and obligations. The Exchange shall also provide at least seven days of training to every public interest director each year.

1.6 In case of extension of the term of the public interest director or appointment of a new public interest director, the Exchange shall apply to SEBI two months prior to the expiry of the term. In addition to the other requirements prescribed, the application for extension of term of the public interest director shall be accompanied with, his attendance details on meetings of various mandatory committees and on the Board of Directors of the stock exchange, performance review and the reasons for extension of the term.

1.7 The public interest director shall not be subject to retirement by rotation.

1.8 The existing public interest director shall continue holding the post, till a new public interest director is appointed in his place.

1.9 A person may be nominated as a public interest director for a maximum of three terms across recognised stock exchanges / recognised clearing corporations / depositories, subject to a maximum age limit of seventy five years.

2.0 A public interest director shall not act simultaneously as a member on more than five committees of the Company. The limitation on maximum number of committees that a public interest director can be member of shall be applicable only to statutory committees prescribed by SEBI under SECC.

2.1 A public interest director shall keep the Board apprised of any conflict of interest, which may arise as a result of the public interest director providing services, either directly or indirectly, to any trading member or clearing member or their associates and agents.

2.2 No public interest director shall become a shareholder director unless there is a cooling-off period of three years after ceasing to be a public interest director.

2.3 No public interest director on the board of a recognized stock exchange or a recognized clearing corporation, shall become a director on the board of subsidiary of that recognized stock exchange or recognized clearing corporation, as the case may be, unless there is a cooling-off period of three years after ceasing to be a public interest director.

The Article no. 126C is proposed to be amended as under:

126C (1) No trading member or clearing member, or their associates and agents, irrespective of the stock exchange / clearing corporation of which they are members, shall be on the Board of Directors of the Exchange.

(2) No foreign portfolio investor shall have any representation in the Board of Directors of the Exchange.

The Article no. 126E is proposed to be amended as under:

Code of Conduct for Directors and Key Management Personnel

126E 1.1. Every director of the Company shall abide by the Code of Conduct as specified under Part A of Schedule II of the SECC.

1.2 Every director of the Company shall abide by the Code of Ethics as specified under Part B of Schedule II of the SECC.

1.3 Every director of the Company shall be a 'Fit & Proper' person as specified under Regulation 20 of the SECC.

The Article no. 128.1.3, 1.7 and 1.9 are proposed to be amended as under:

Managing Director

128.1.3 The appointment of the managing director shall be for a term not exceeding five years. After completion of the term of the managing director, the Exchange shall, in accordance with the prescribed appointment process, appoint the managing director. A person may be appointed as the managing director by the Exchange for a maximum of two terms not exceeding five years each, subject to a maximum age limit of sixty five years.

1.7 The Nomination and Remuneration Committee of the Exchange shall be responsible for the selection of the CEO /Managing Director /Executive Director, as the case may be. The managing director shall be selected through open advertisement in all editions of atleast one national daily from amongst persons qualified in the fields of capital market/ finance/ management and possessing sufficient experience. The Exchange shall forward the new names to SEBI two months prior to the last working day of the existing Managing Director.

1.9 At the time of seeking approval of SEBI for the appointment of the managing director, the Exchange shall seek approval for the compensation of the managing director from SEBI and the terms and conditions of the compensation of the managing director shall not be changed without prior approval of SEBI. The compensation of the Managing Director of an Exchange shall be in accordance with the following compensation norms stipulated by SEBI:

a) The variable pay component shall not exceed one-third of total pay.

b) 50% of the variable pay shall be paid on a deferred basis after three years.

c) ESOPs and other equity linked instruments in the Exchange shall not form part of the compensation for the key management personnel.

d) The compensation policy shall have malus and clawback arrangements.

Apart from the above, the following shall also be taken into consideration:

- financial condition / health of the Exchange,
- average levels of compensation payable to employees in similar ranks,
- should not contain any provisions regarding incentives to take excessive risks over the short term,
- revenues, net profit of the Exchange,
- comparable to the industry standards,
- role and responsibilities of the managing director,
- periodic review

The Article no. 153 is proposed to be amended as under:

Question at a Board meeting, how decided

153. The voting on a resolution in the meeting of the Board of Directors shall be valid only when the number of public interest directors who have cast their vote on such resolution is equal or more than the number of shareholder directors who have cast their vote on such resolution. The casting vote in the meetings of the Board of Directors shall be with the Chairman of the meeting (whether the Chairman appointed by virtue of these Articles or the Director presiding at such meeting).

The Article no. 154 is proposed to be amended as under:

Quorum and its competence to exercise powers

154. The quorum for meeting of the Board of Directors of the Company shall be one-third of its total strength (any fraction contained in that one-third being rounded off to the next one) or two Directors whichever is higher and the participation of the directors by video conferencing or by other audio visual means shall also be counted

for the purpose of quorum; provided that where at any meeting, the number of interested Directors exceeds or is equal to two-thirds of the total strength, the number of the remaining Directors, that is to say, the number of the Directors who are not interested, present at the meeting being not less than two, shall be the quorum during such time. Further, the number of public interest directors shall not be less than the number of shareholder directors to constitute the quorum for the meeting of the Board of Directors.

Any Director participating through Electronic Mode in respect of restricted items with the express permission of Chairman shall however, neither be entitled to vote nor be counted for the purpose of Quorum in respect of such restricted items.

Quorum shall be present throughout the Meeting. Quorum shall be present not only at the time of commencement of the Meeting but also while transacting business.

For the purpose of this Article:-

(1) "total strength" means the total strength of the Directors of the Company as determined in pursuance of the Act, after deducting there from the number of the Directors, if any, whose place may be vacant at the time;

(2) "interested Director" means any Director whose presence cannot by reason of Article 136 or any other provision in the Act count for the purpose of forming a quorum at a meeting of the Board, at the time of the discussion or vote on any matter.

The Article no. 156A is proposed to be amended as under:

Board Committees

156A. The Company shall constitute Committees as to ensure effective oversight of the functioning of the Exchange as mandated under the Companies Act, 2013, SECC and Circulars issued from time to time. Additionally, the Committees that are mandated for listed companies shall apply mutatis mutandis to Exchange. The Exchange shall lay down the policy for the frequency of meetings, quorum, etc., for the statutory committees. The composition, terms of reference, frequency and quorum requirements of the Committees may be decided by the Board in line with the requirements of SECC and circulars issued by SEBI from time to time, and any other applicable law. In the case of public interest directors' committee, all public interest directors shall be present.

Independent external persons appointed to committees: The independent external persons shall be from amongst the persons of integrity, having a sound reputation and not having any conflicts of interests. They shall be specialists in the field of work assigned to the committee. They shall not be associated with the Exchange and its members. The Exchange shall frame the guidelines for appointment, tenure, code of conduct, etc., of independent external persons. Extension of the tenure may be granted at the expiry of the tenure, subject to performance review in the manner prescribed by SEBI for the public interest directors. The maximum tenure limit of independent external persons in a Committee of the Exchange shall be at par with that of public interest directors as prescribed under SECC.

Mumbai,
dated the 28th January 2021.

VIJAY KUMAR V.,
Managing Director & CEO.

ON BEHALF OF GOVERNMENT PRINTING, STATIONERY AND PUBLICATION, PRINTED AND PUBLISHED BY DIRECTOR, RUPENDRA DINESH MORE, PRINTED AT GOVERNMENT CENTRAL PRESS, 21-A, NETAJI SUBHASH ROAD, CHARNI ROAD, MUMBAI 400 004 AND PUBLISHED AT DIRECTORATE OF GOVERNMENT PRINTING, STATIONERY AND PUBLICATIONS, 21-A, NETAJI SUBHASH ROAD, CHARNI ROAD, MUMBAI 400 004. EDITOR : DIRECTOR, RUPENDRA DINESH MORE.